

# Jeff Clune

Harris Associate Professor, Computer Science



Senior Research Manager (Staff Scientist)





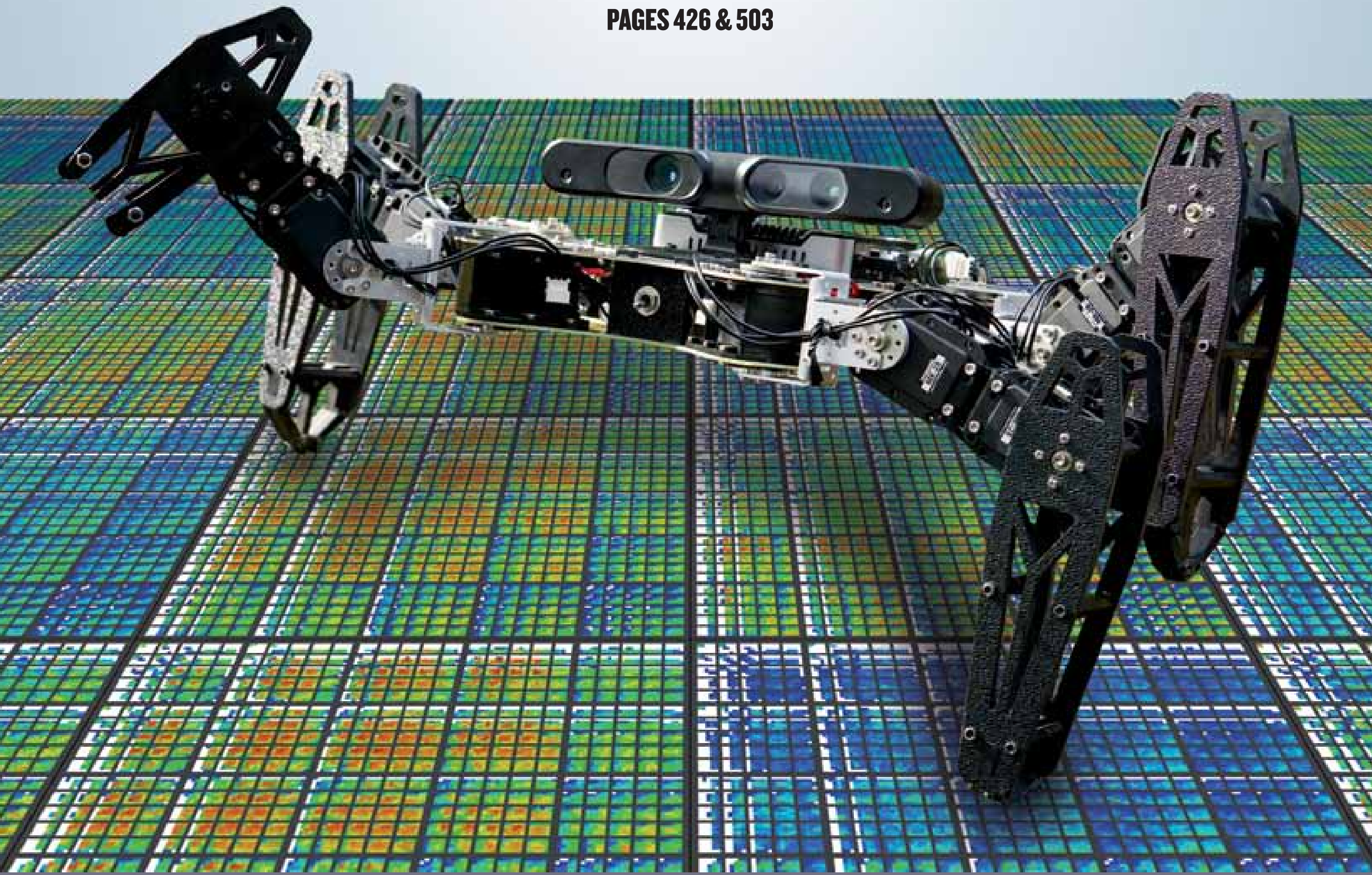
# nature

THE INTERNATIONAL WEEKLY JOURNAL OF SCIENCE

## Back on its feet

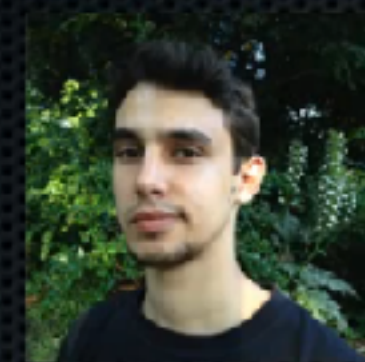
*Using an intelligent trial-and-error learning algorithm this robot adapts to injury in minutes*

PAGES 426 & 503



# Robots that adapt like animals

# 2015



**Antoine Cully**

UPMC Université  
France



**Jeff Clune**

University of Wyoming  
USA



**Danesh Tarapore**

UPMC Université  
France



**Jean-Baptiste  
Mouret**

UPMC Université  
France

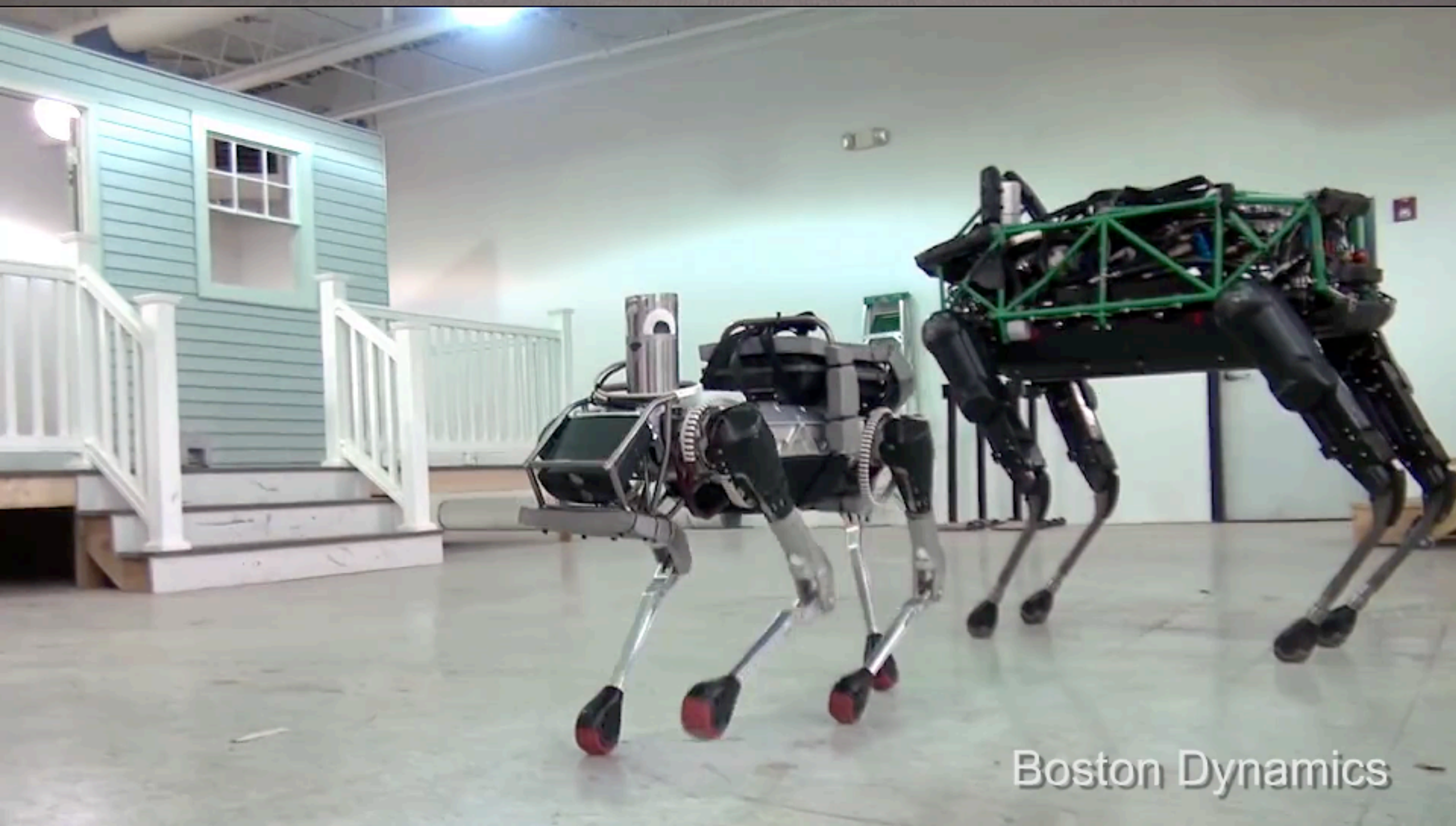




Boston Dynamics



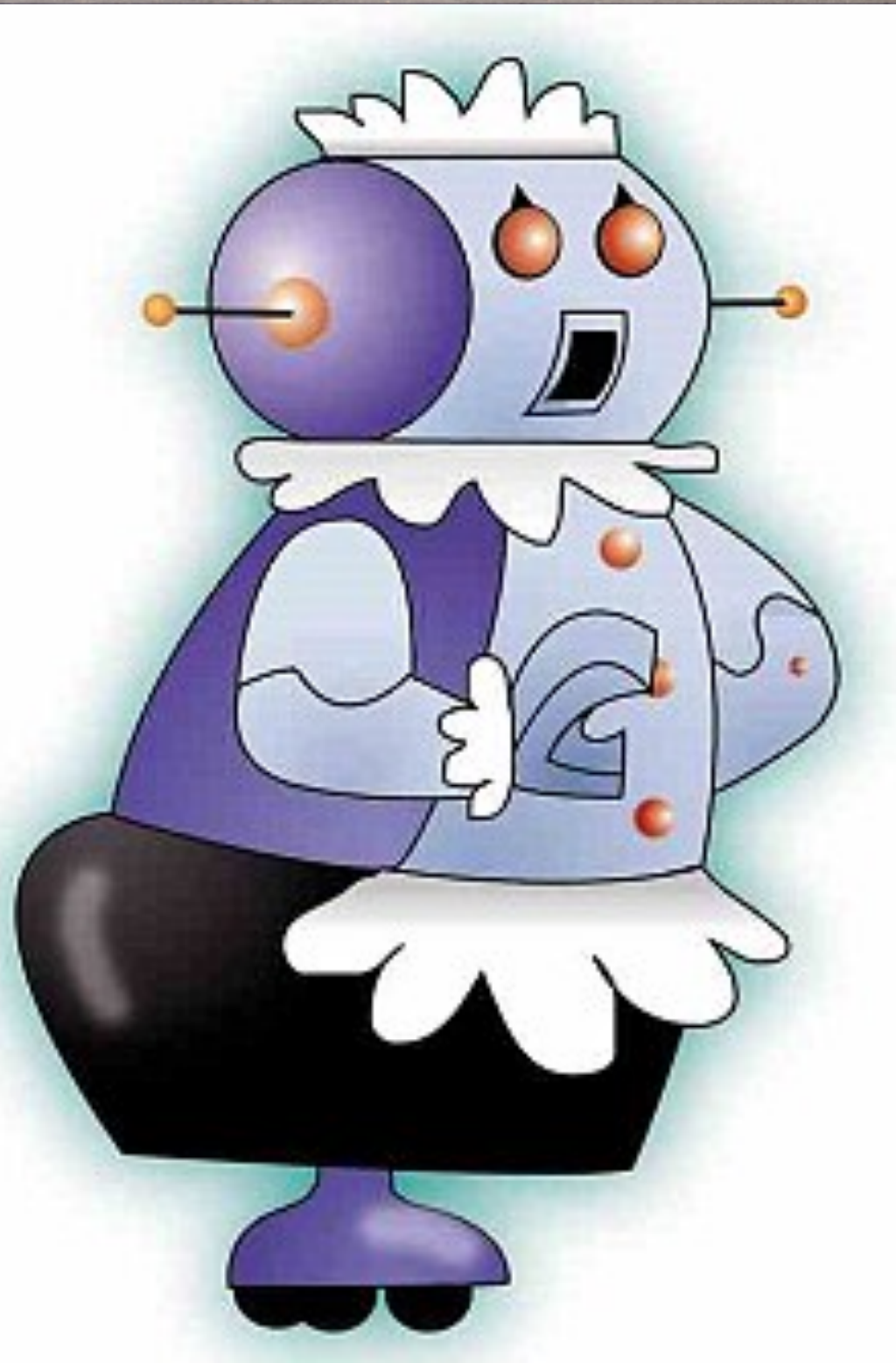
Boston Dynamics



Boston Dynamics









# Damage Recovery



**Damage occurs  
(leg loses power)**



# Classic Approach to Damage Recovery

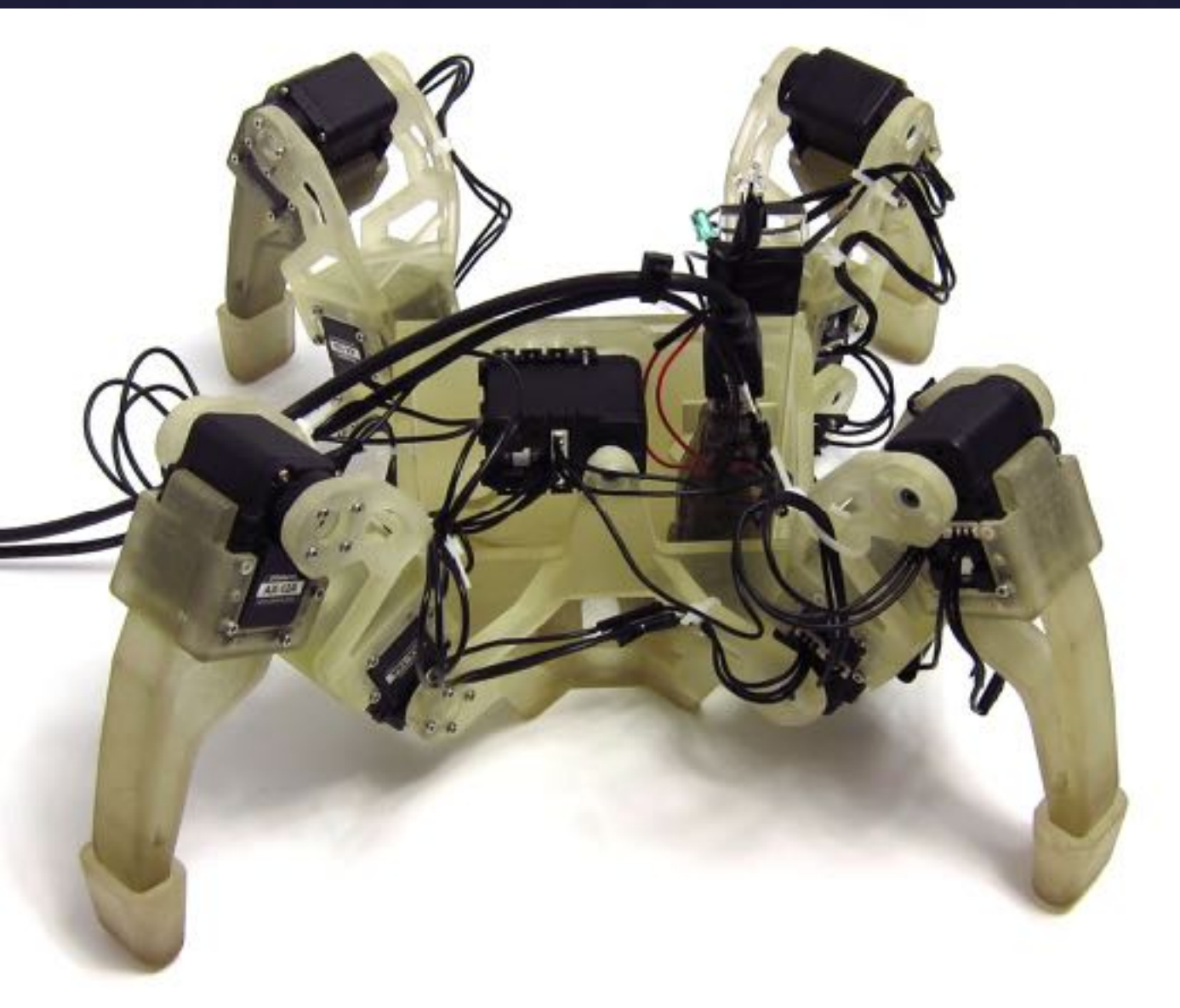
- Large suite of self-diagnosis sensors
- IF diagnosis is successful, choose pre-programmed response from large library
- Problems: expensive, error-prone, manual, doesn't scale (impractical to have plan for each case)





# Modern, Learning-Based Approaches

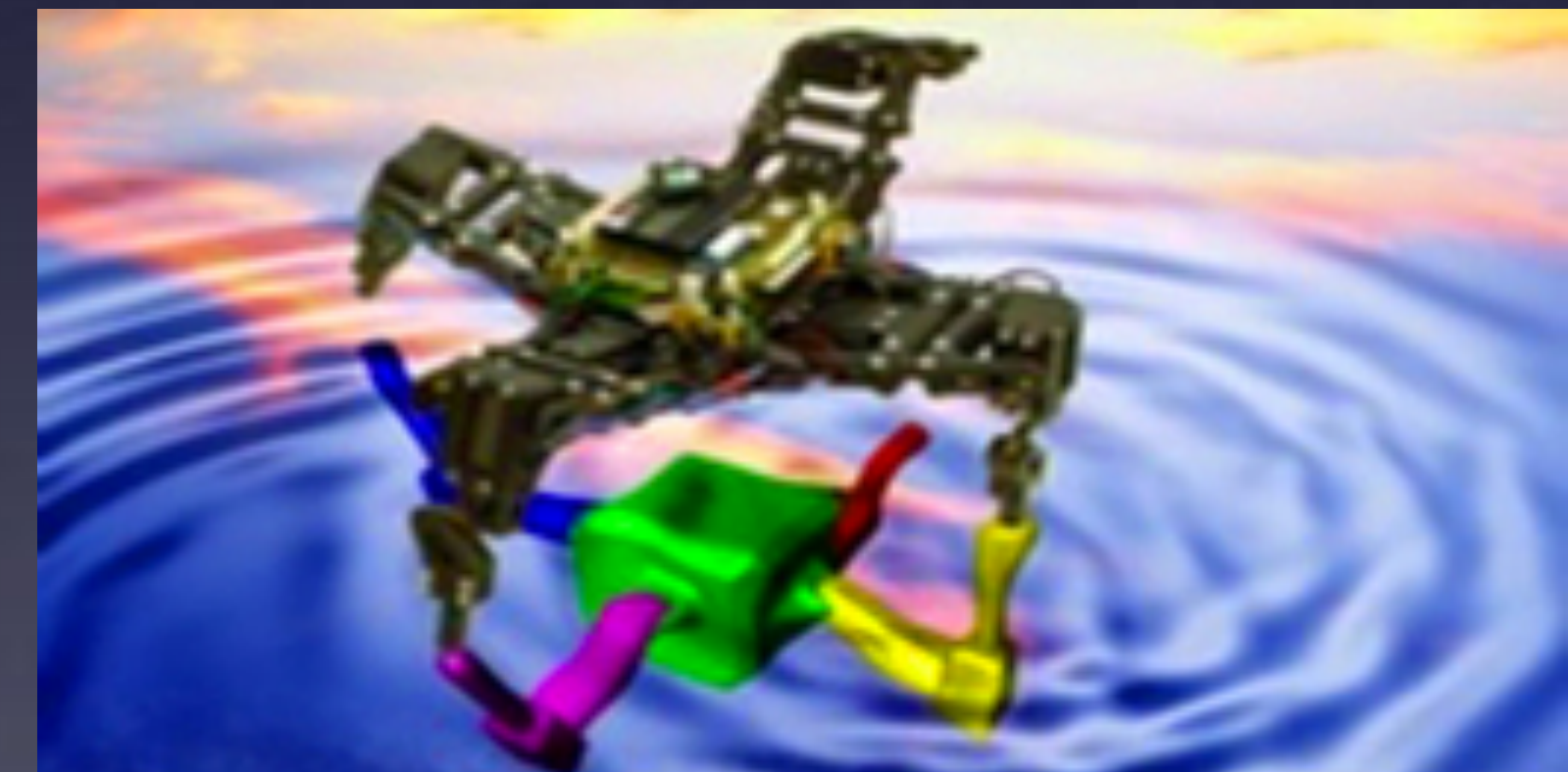
- Simple robots (low-dimensional state & action spaces)
- Require lots of real-world trials



Yosinski et al. 2013



Kohl & Stone 2004



Bongard et al. 2006



# Animals

- Have intuitions about different ways to move
- Conduct a few, intelligent tests
- Pick a behavior that works despite injury





# Robots that Adapt Like Animals

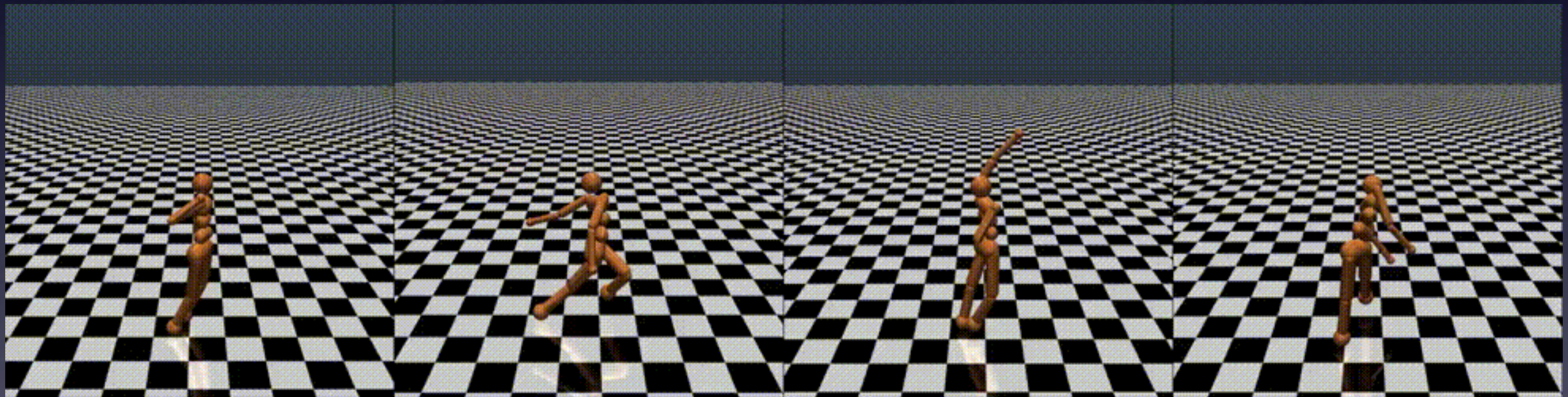
- Have **intuitions about different ways to move**
- Conduct a **few, intelligent** tests
- **Pick** a behavior that works despite injury





intuitions about  
different ways to move

- Traditional machine learning methods produce little diversity



Salimans, Ho, Chen, Sidor, Sutskever 2017



intuitions about  
different ways to move

- Traditional machine learning methods produce little diversity

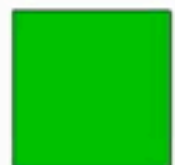
We gave evolution four materials:



Muscle: contract then expand



Tissue: soft support



Muscle2: expand then contract



Bone: hard support





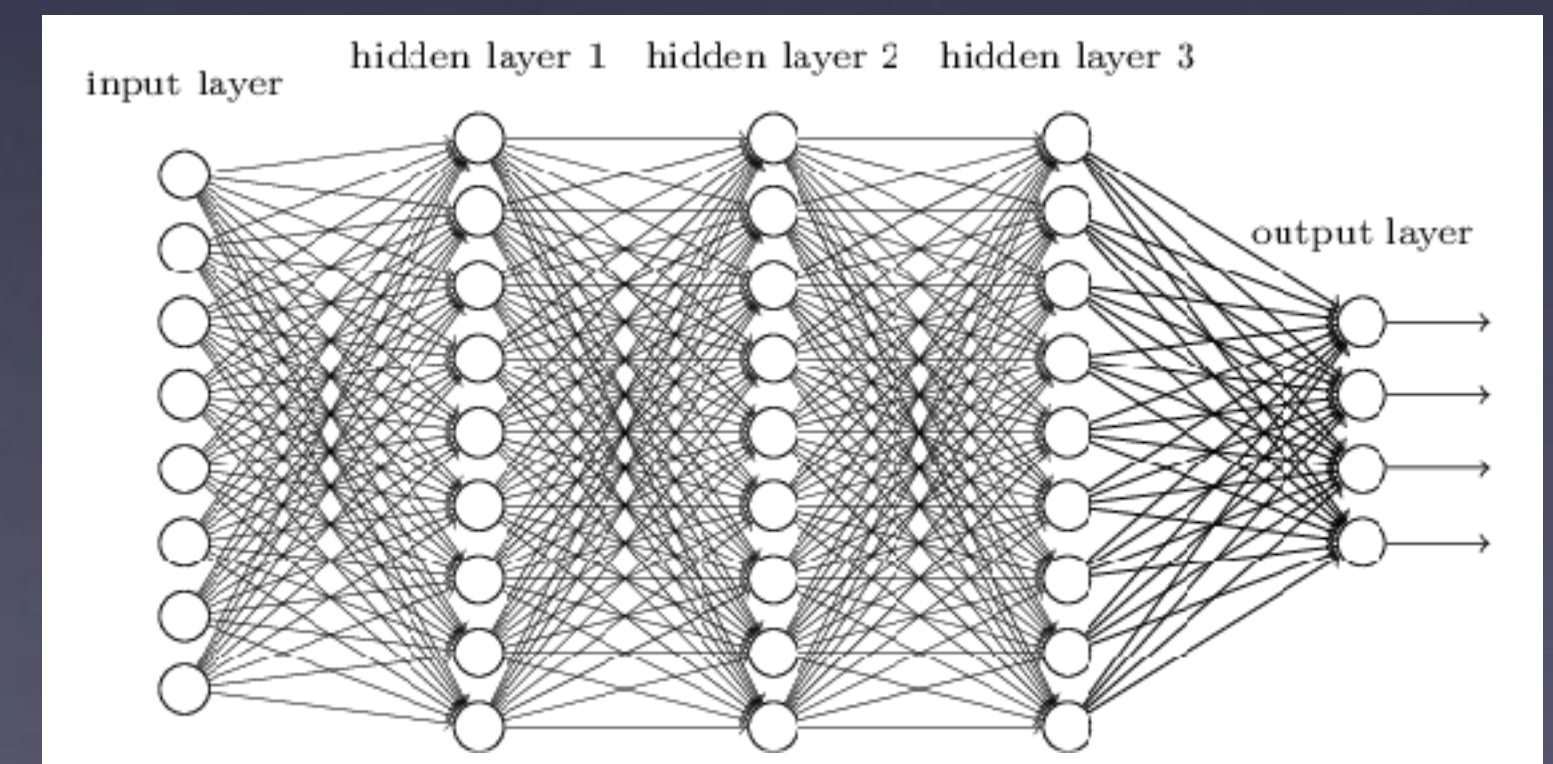
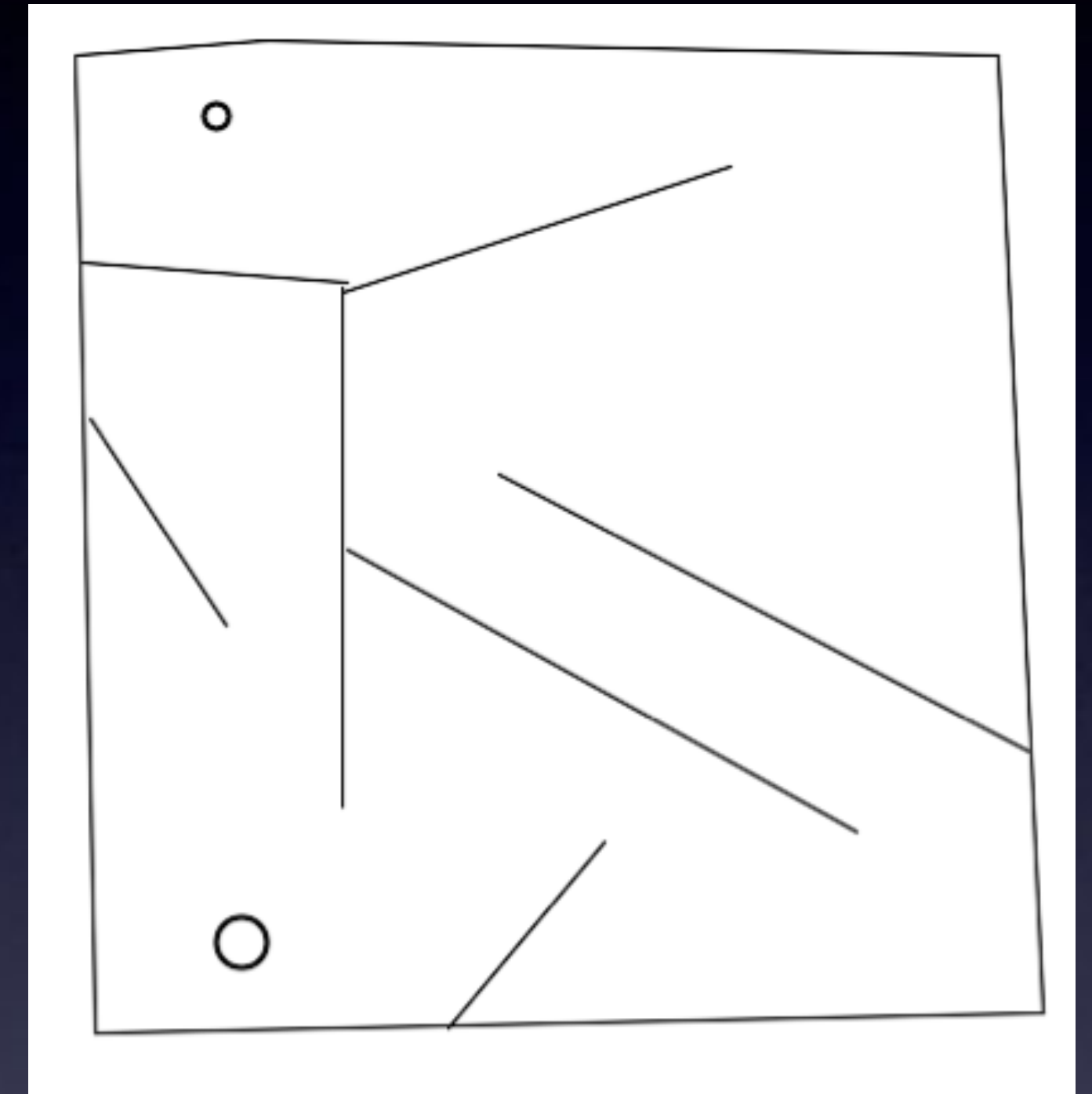
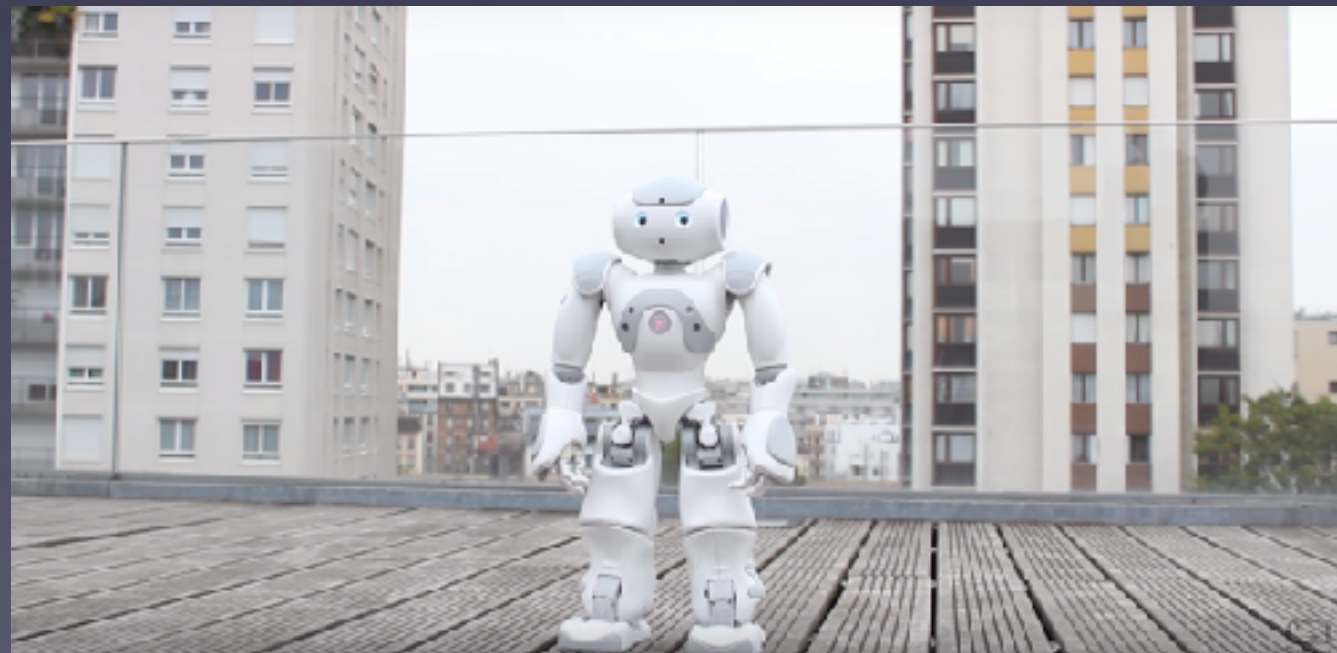
intuitions about  
different ways to move

- Traditional machine learning methods produce little diversity
- Need an algorithm good at producing
  - a diverse set of high-performing agents (policies)
  - “Quality Diversity algorithms”



# Promoting Diversity

- Old idea in optimization
  - but usually diversity in parameter space
    - might not produce new behaviors
    - deception remains
- Much better in behavior space
  - e.g. Lehman & Stanley 2011
  - imagine a robot in a city





# Challenge: Diversity & Performance

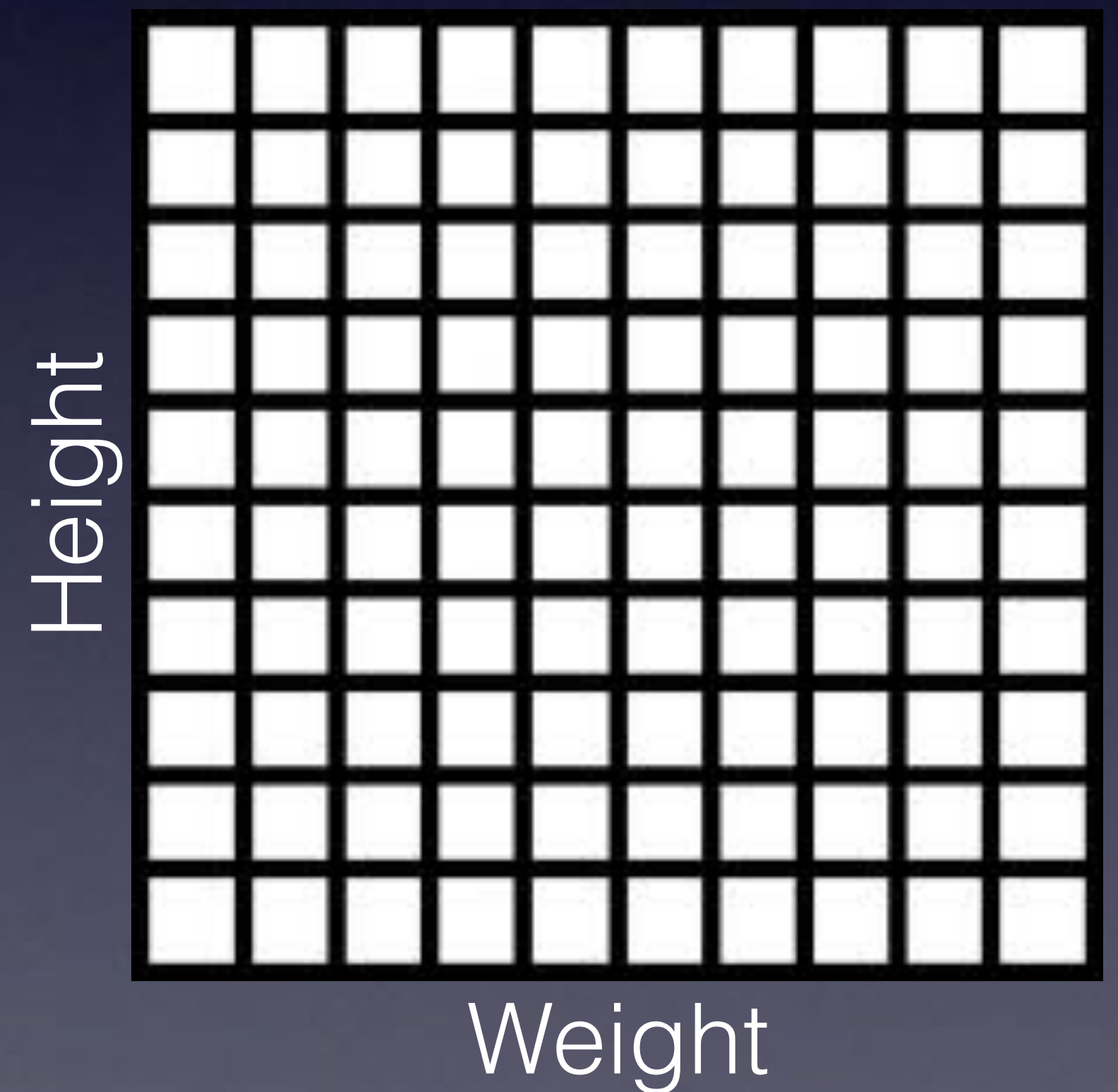
- Quality diversity algorithms
  - Novelty Search + Local Competition (Lehman & Stanley)
  - MAP-Elites (Mouret & Clune) 



# MAP-Elites

Mouret & Clune 2015, arXiv

- Multi-dimensional Archive of Phenotypic Elites
  - Choose dimensions of interest in behavior space
  - Discretize
  - Mutate, locate, replace if better, repeat

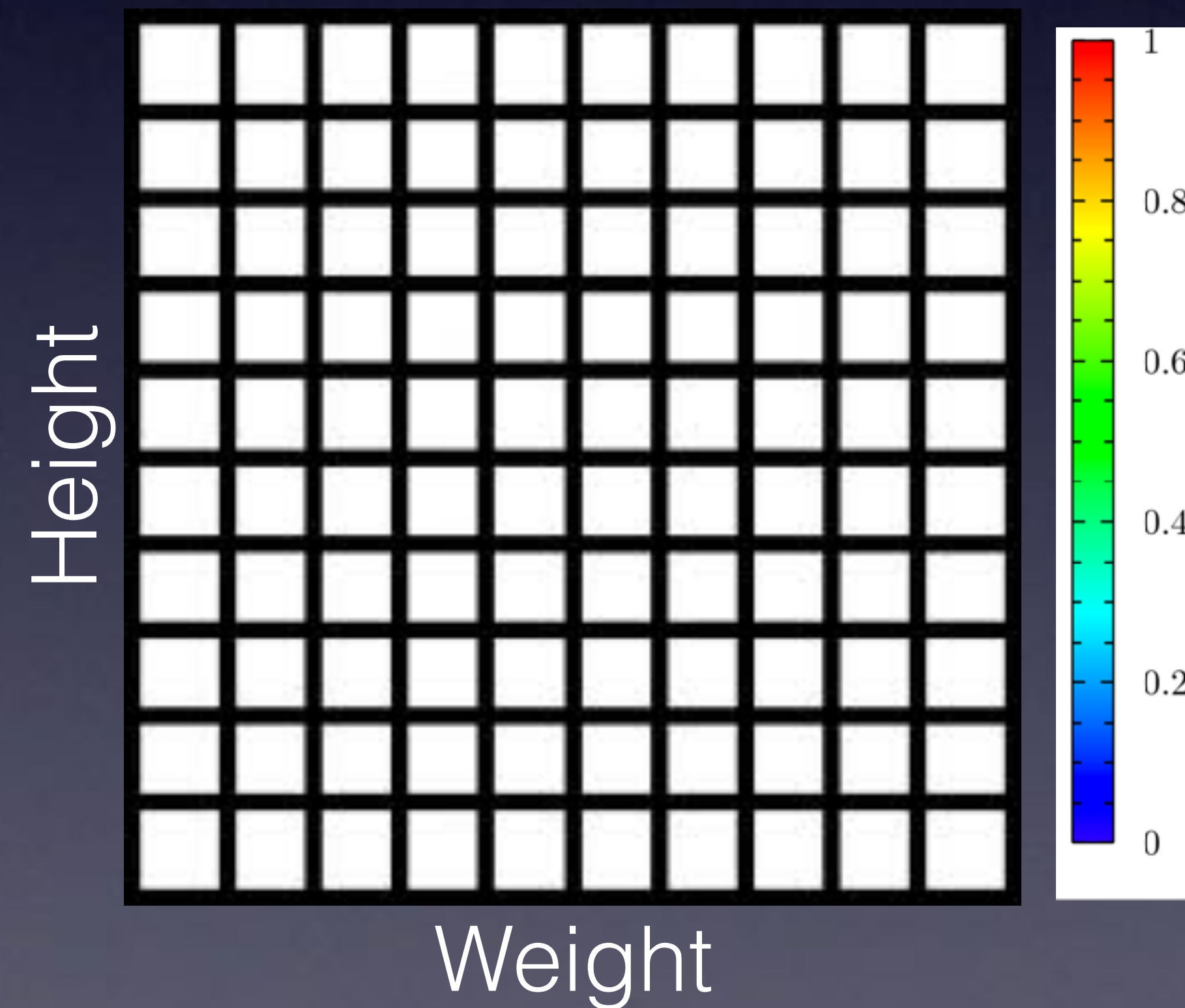




# MAP-Elites

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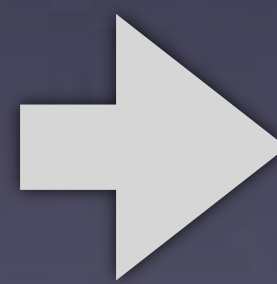
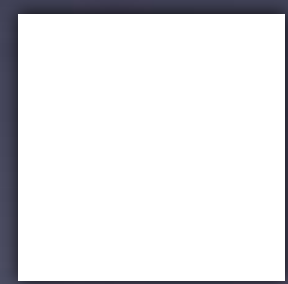


# MAP-Elites

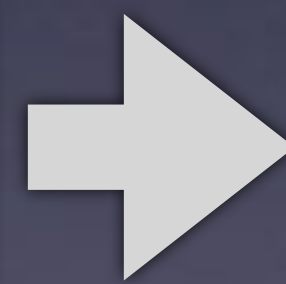
Mouret & Clune 2015, arXiv

- Multi-dimensional Archive of Phenotypic Elites
  - Choose dimensions of interest in behavior space
  - Discretize
  - Mutate, locate, replace if better, repeat

random  
organism:

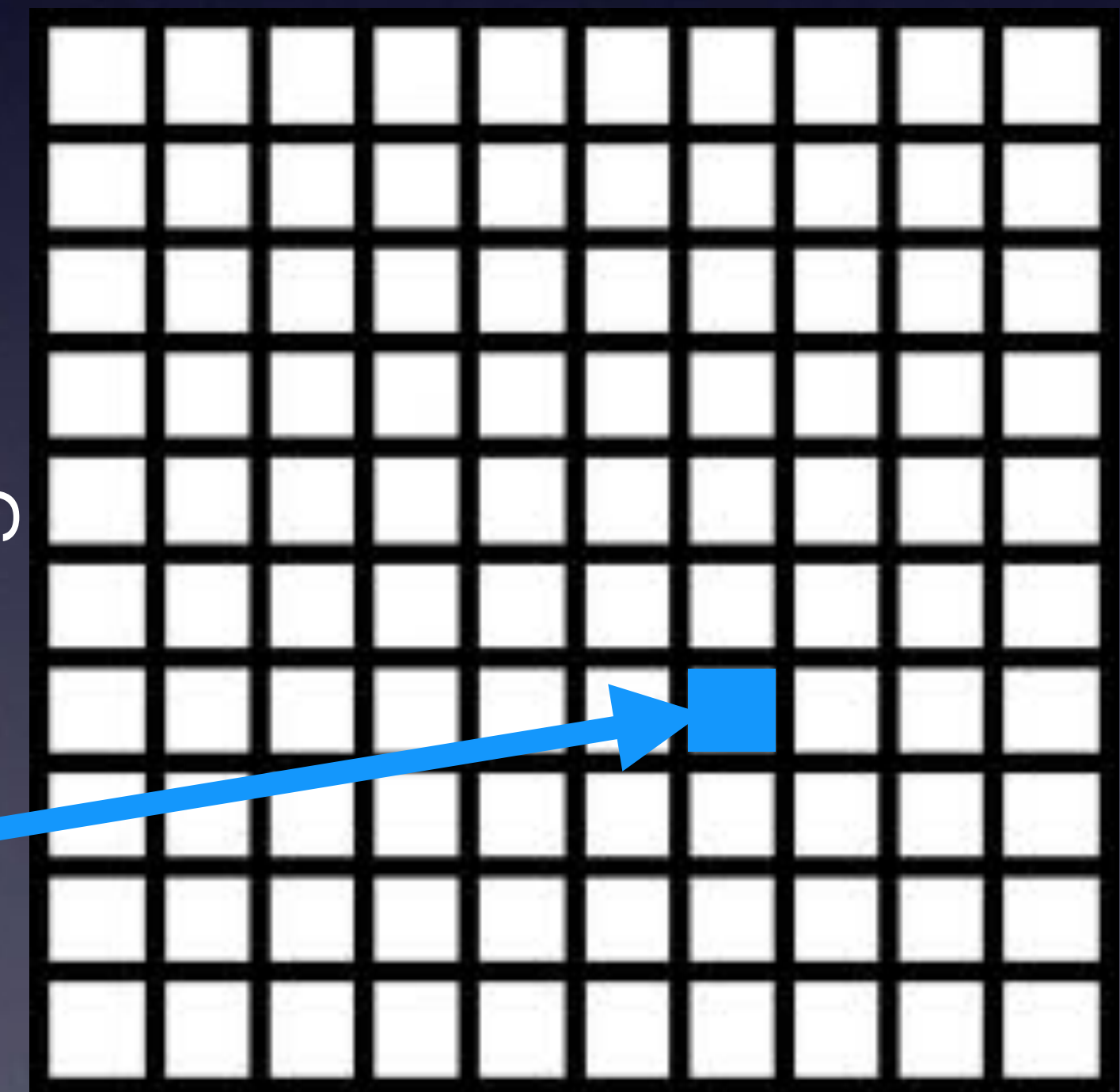


evaluate

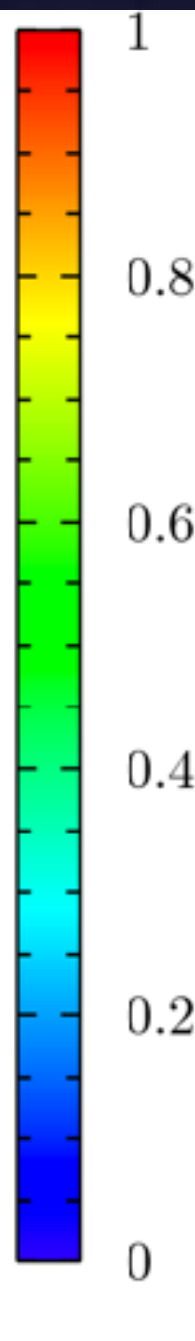


H: 4  
W: 7

Height



Weight

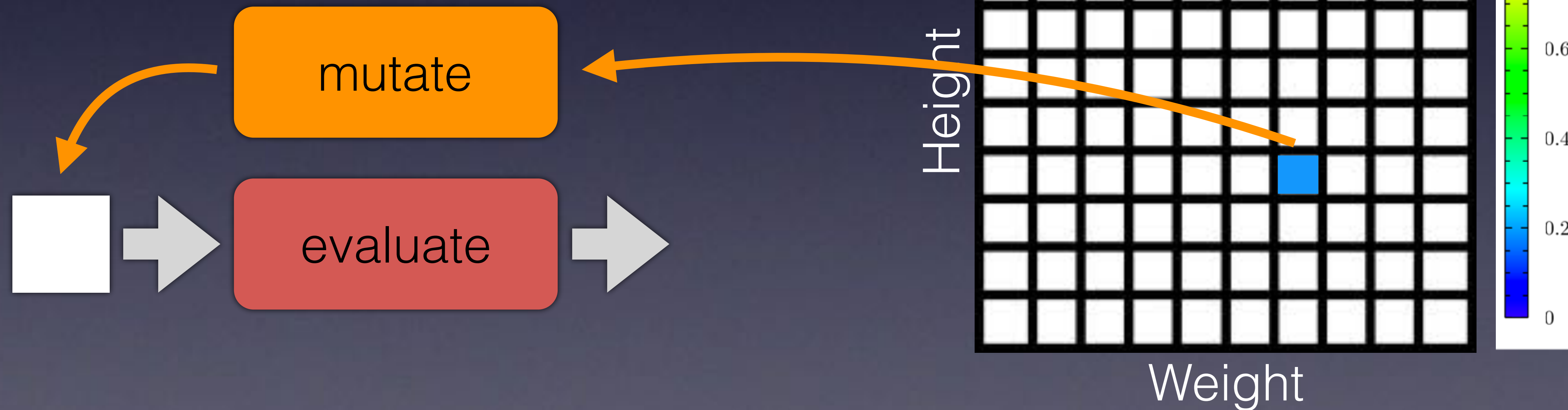




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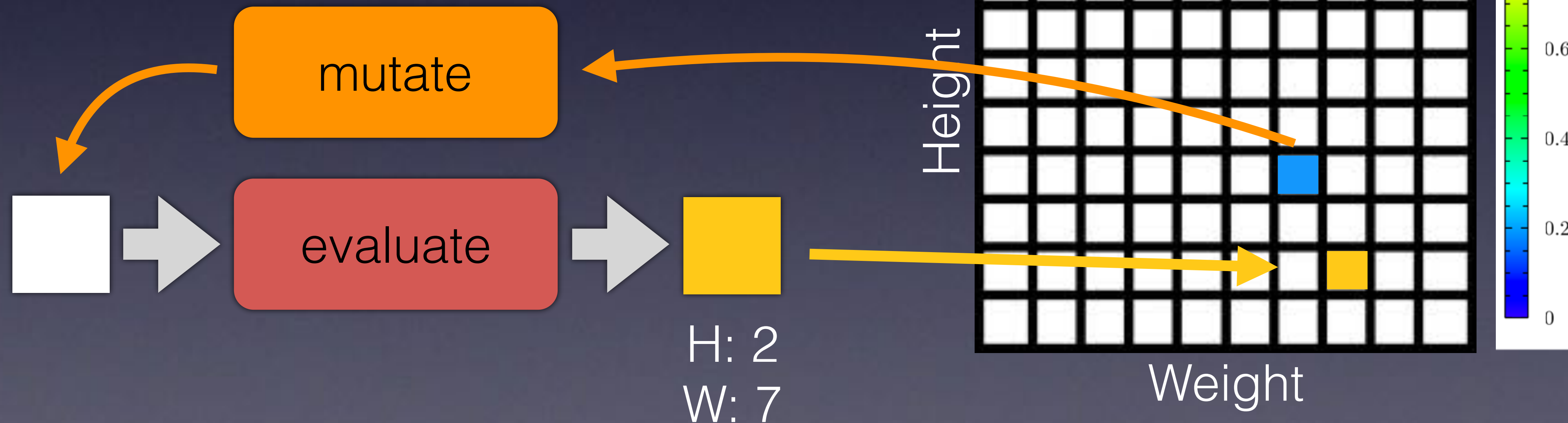




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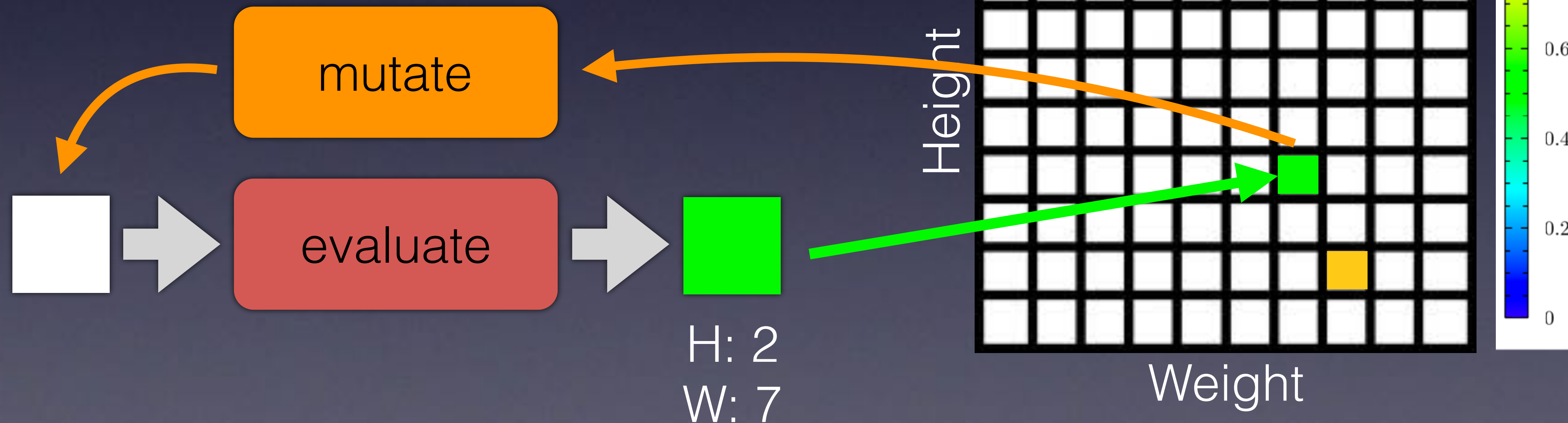




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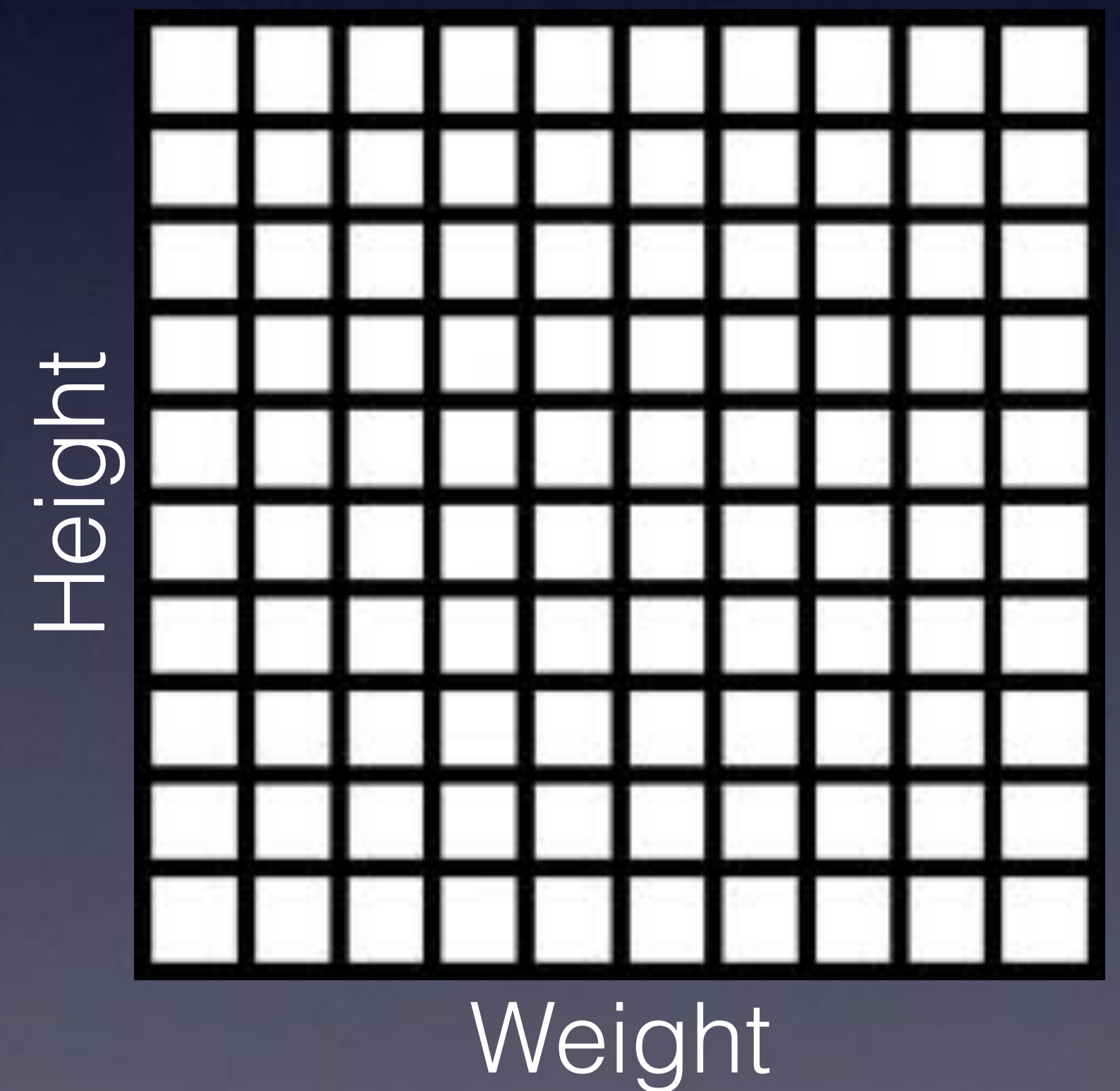
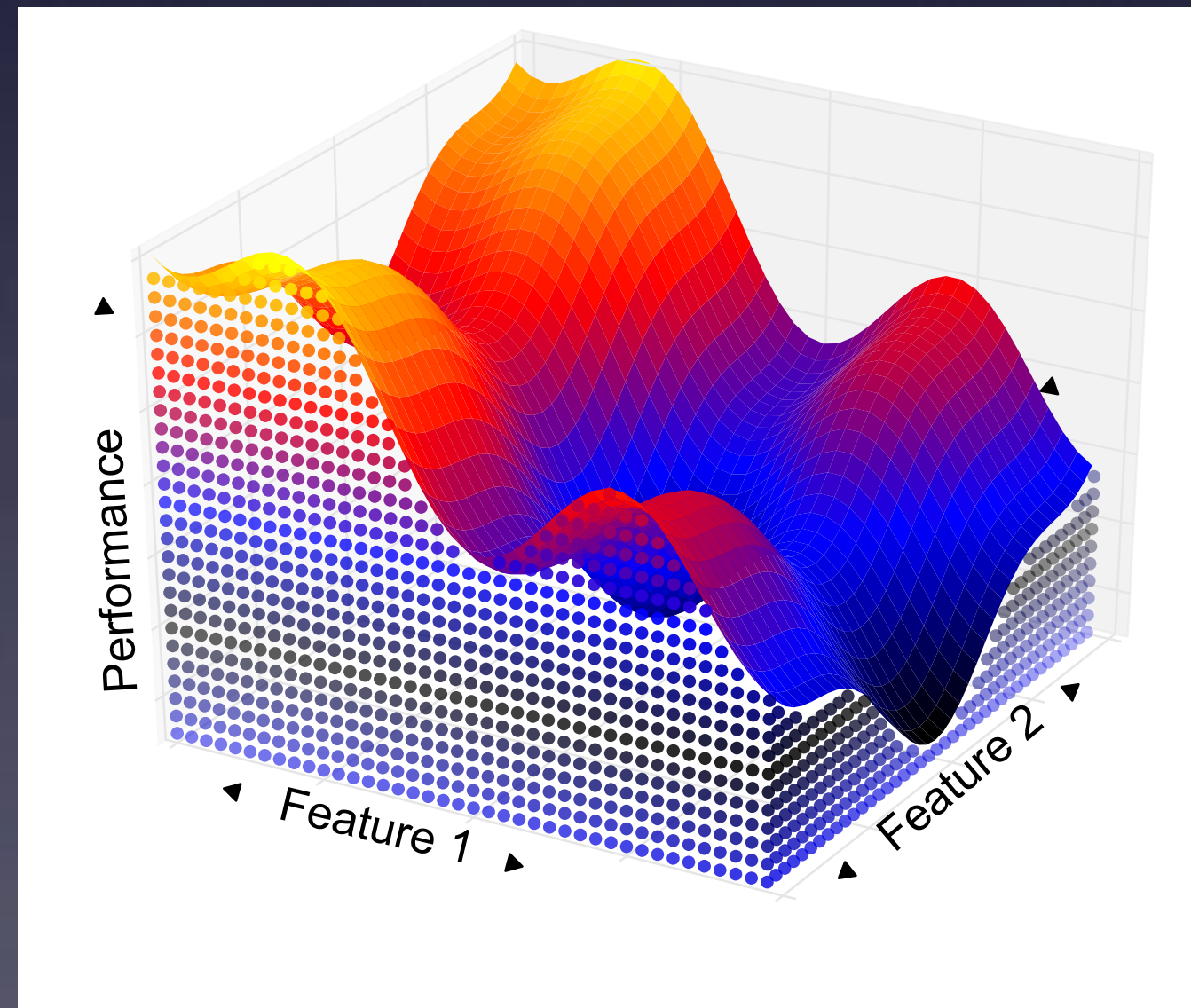


# MAP-Elites

Mouret & Clune 2015, arXiv

- Multi-dimensional Archive of Phenotypic Elites
  - Choose dimensions of interest in behavior space
  - Discretize
  - Mutate, locate, replace if better, repeat

**Set of diverse,  
high-quality  
solutions**

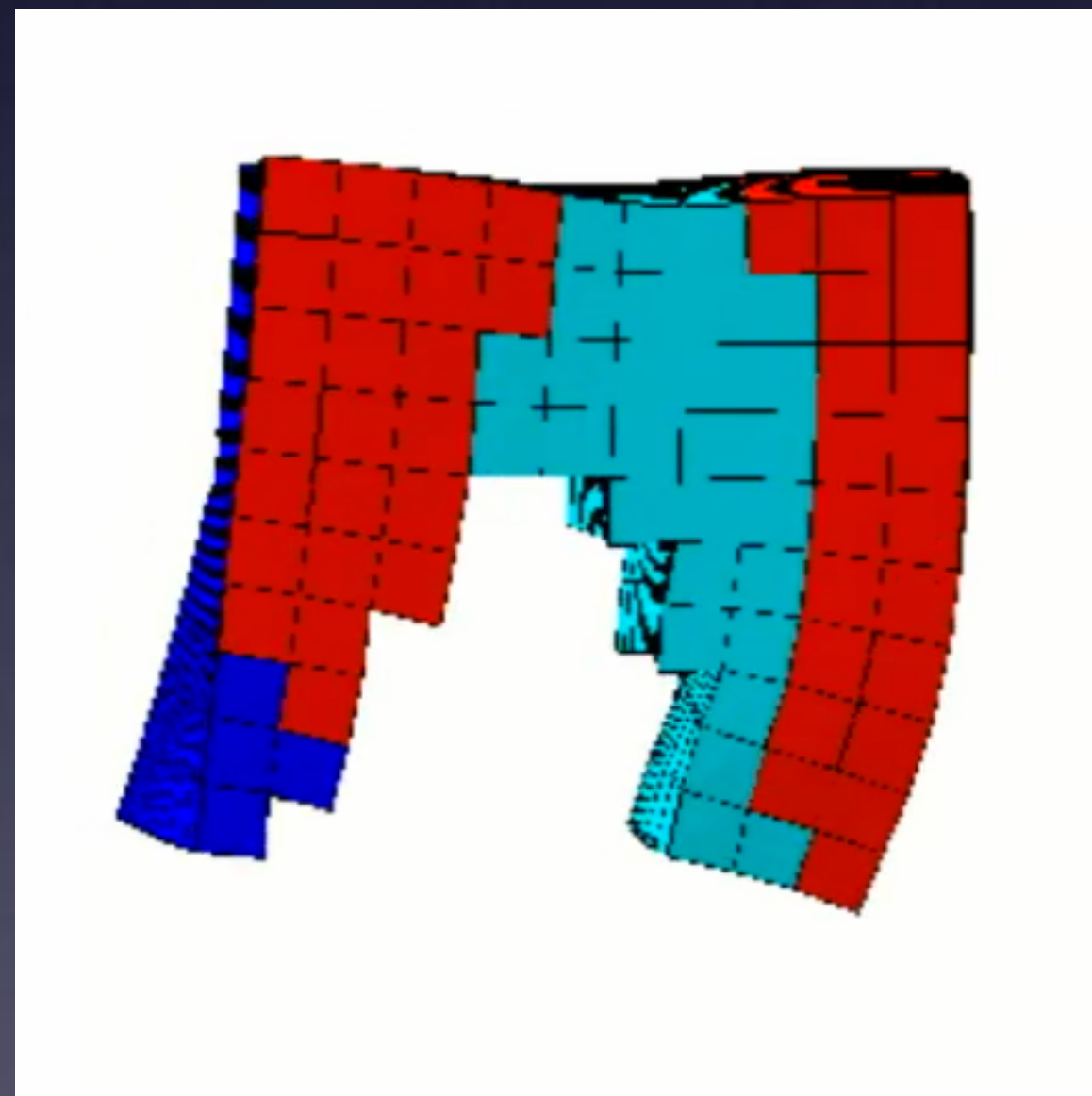
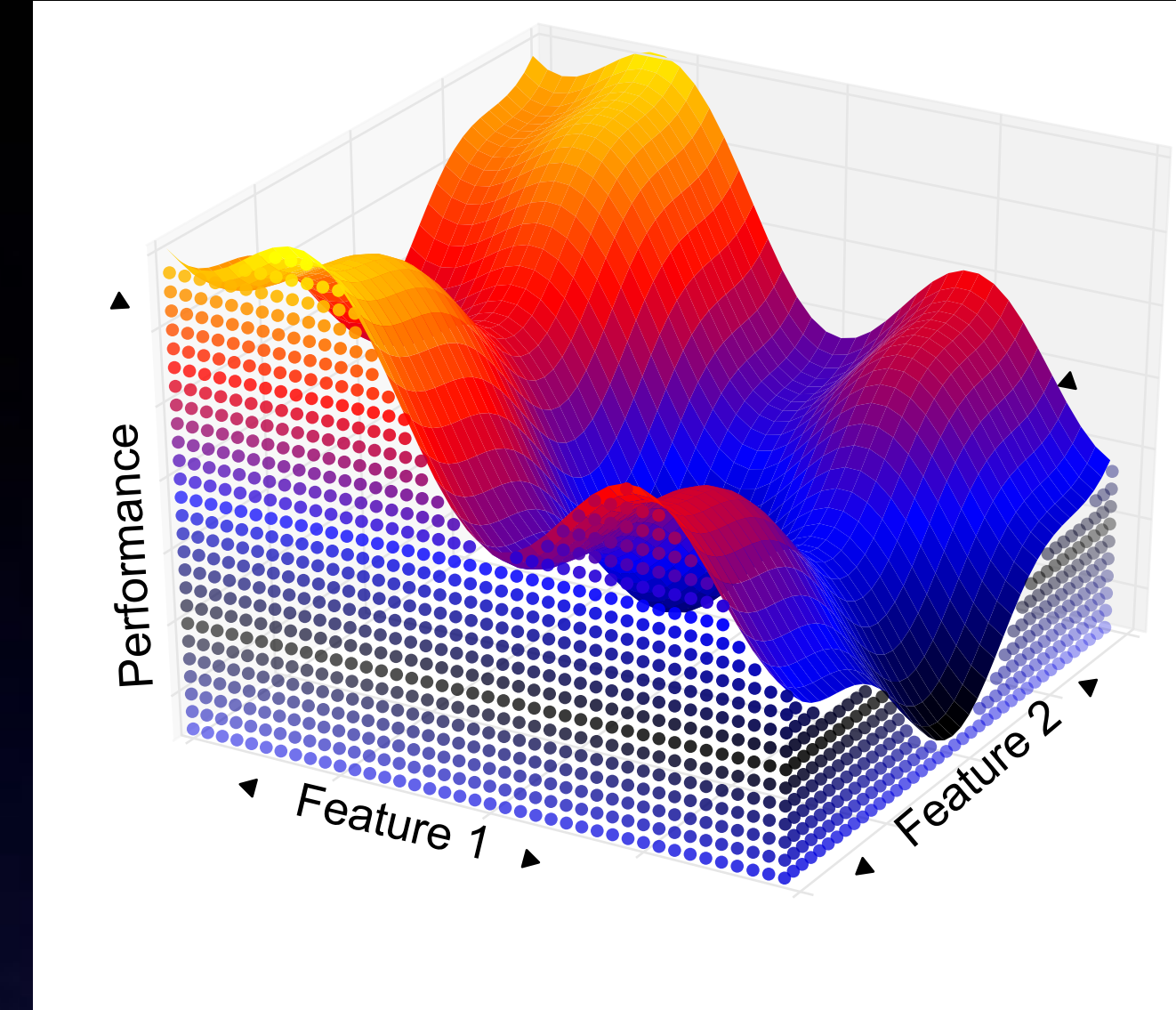




# Soft Robots Problem

Mouret & Clune 2015, arXiv

- Dimensions
  - number of voxels
  - % bone (dark blue)

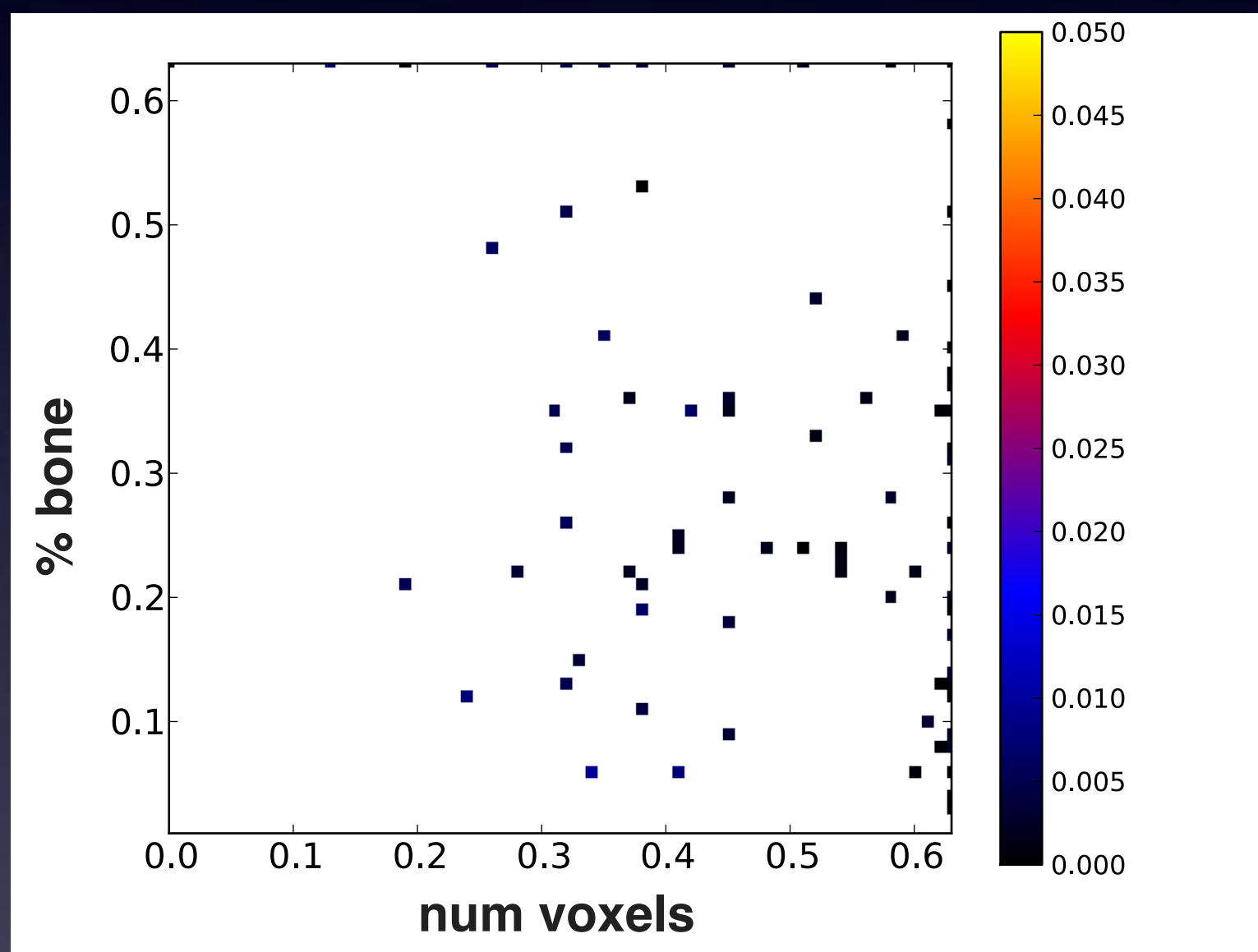




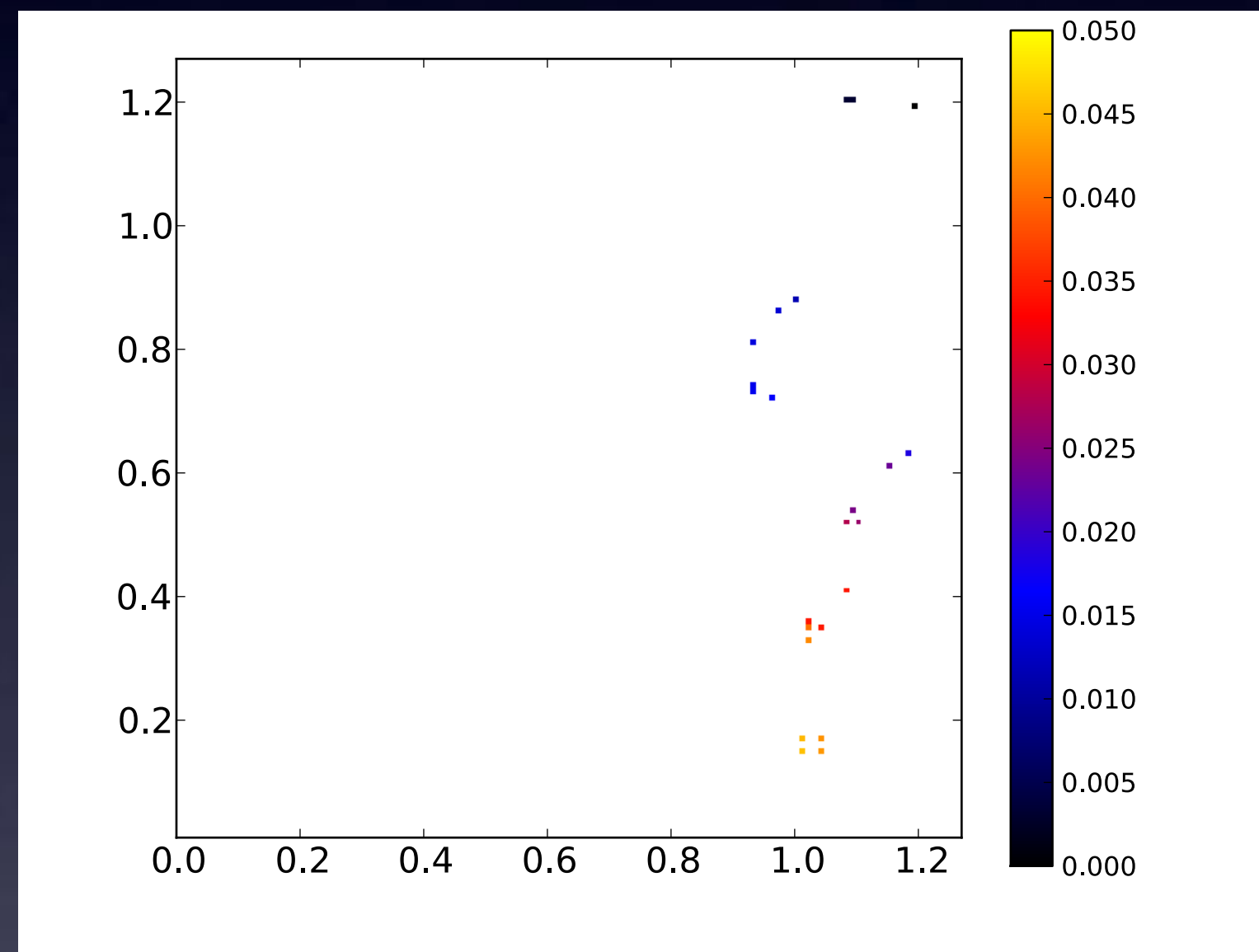
# Soft Robots Problem

Mouret & Clune 2015, arXiv

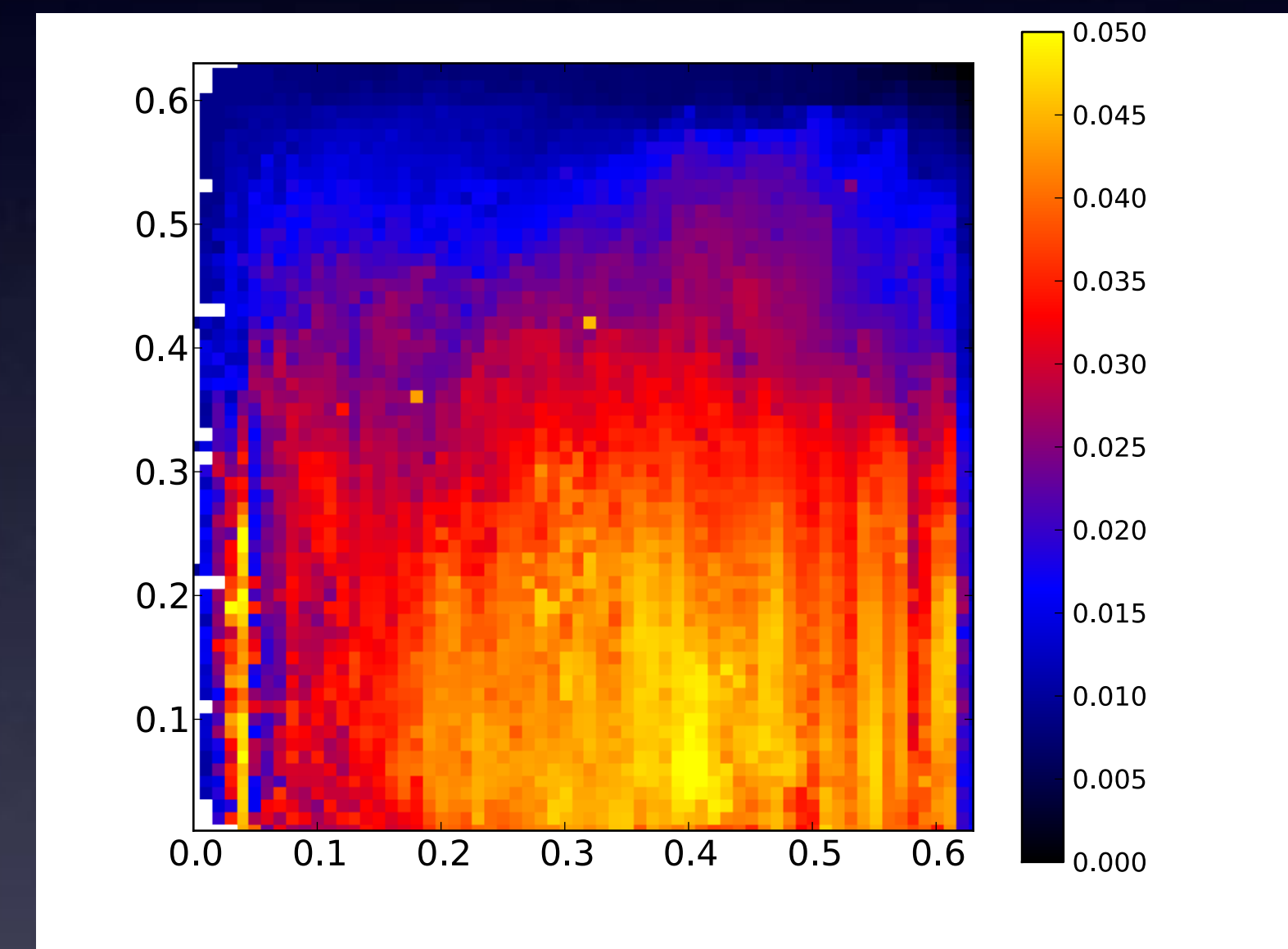
## Classic Optimization



## Classic + Diversity



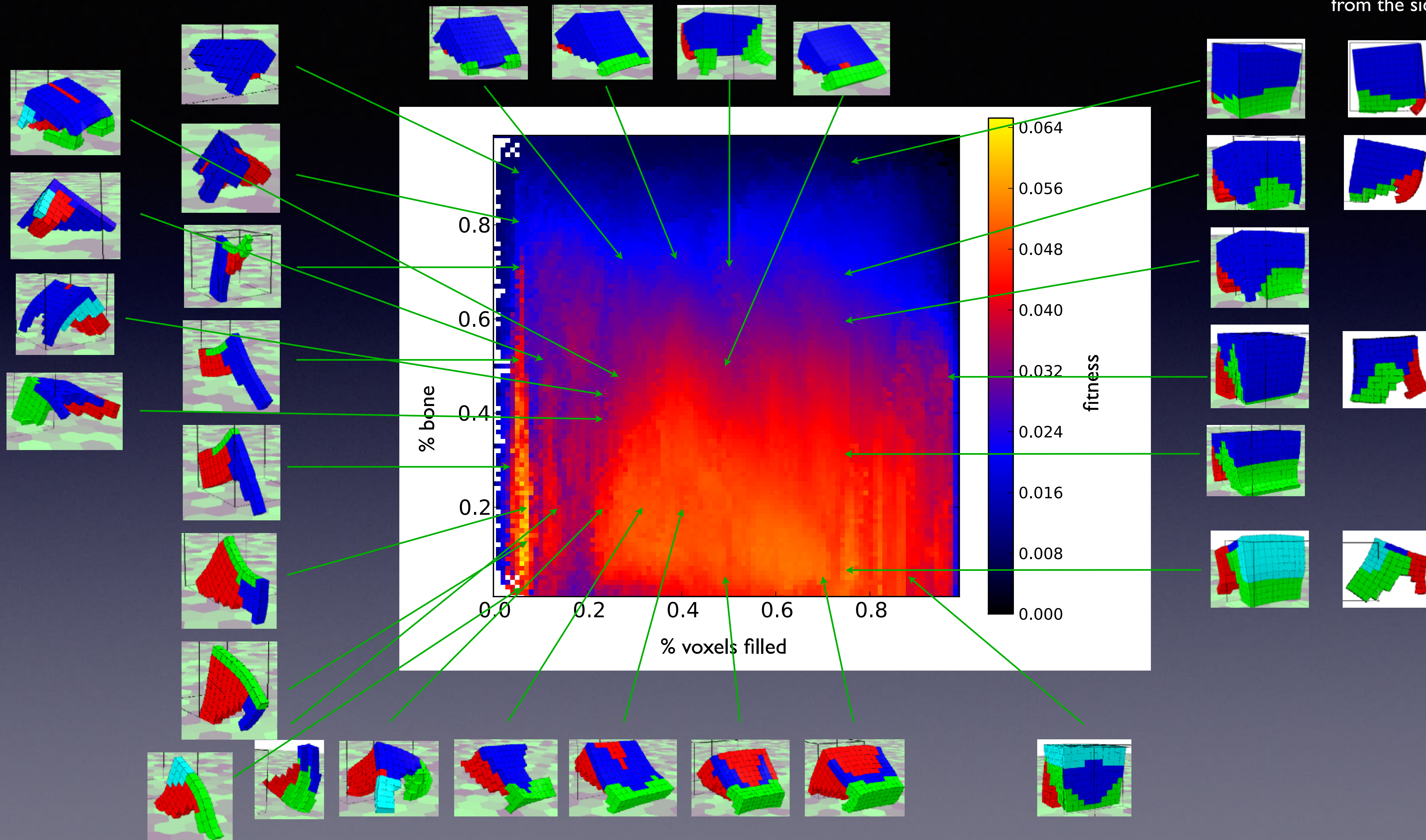
## MAP-Elites



same # evals!



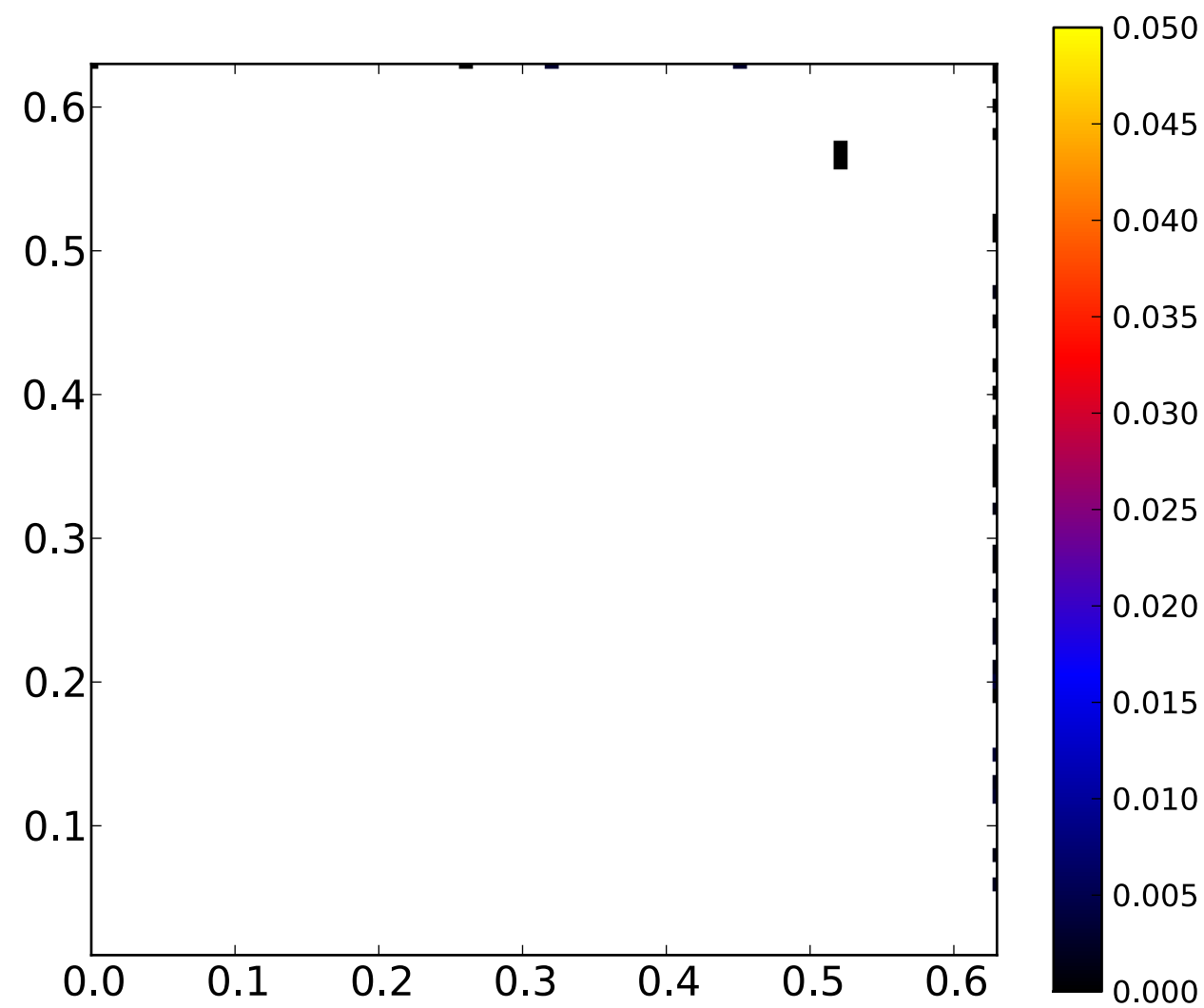
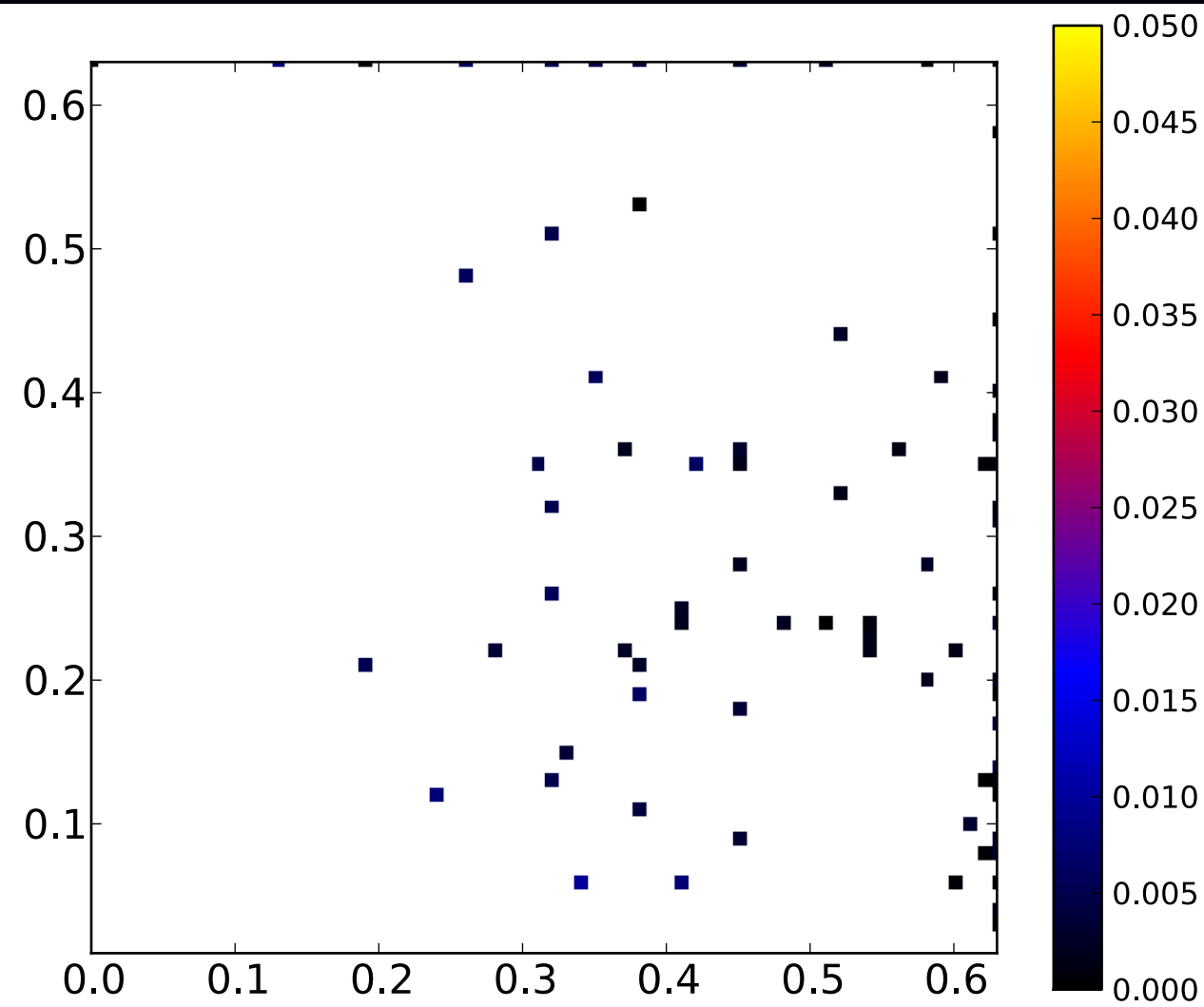
Same agents,  
from the side



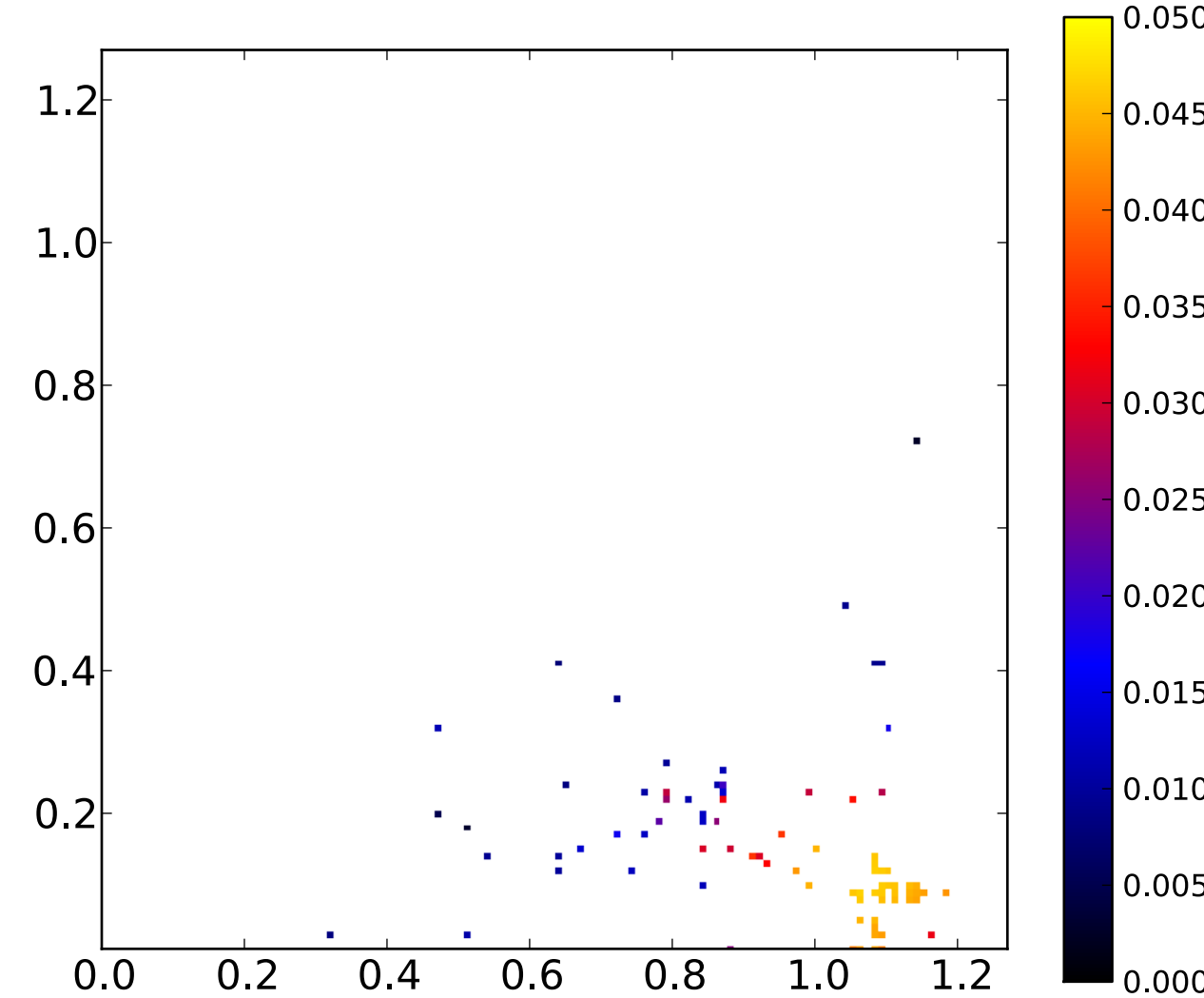
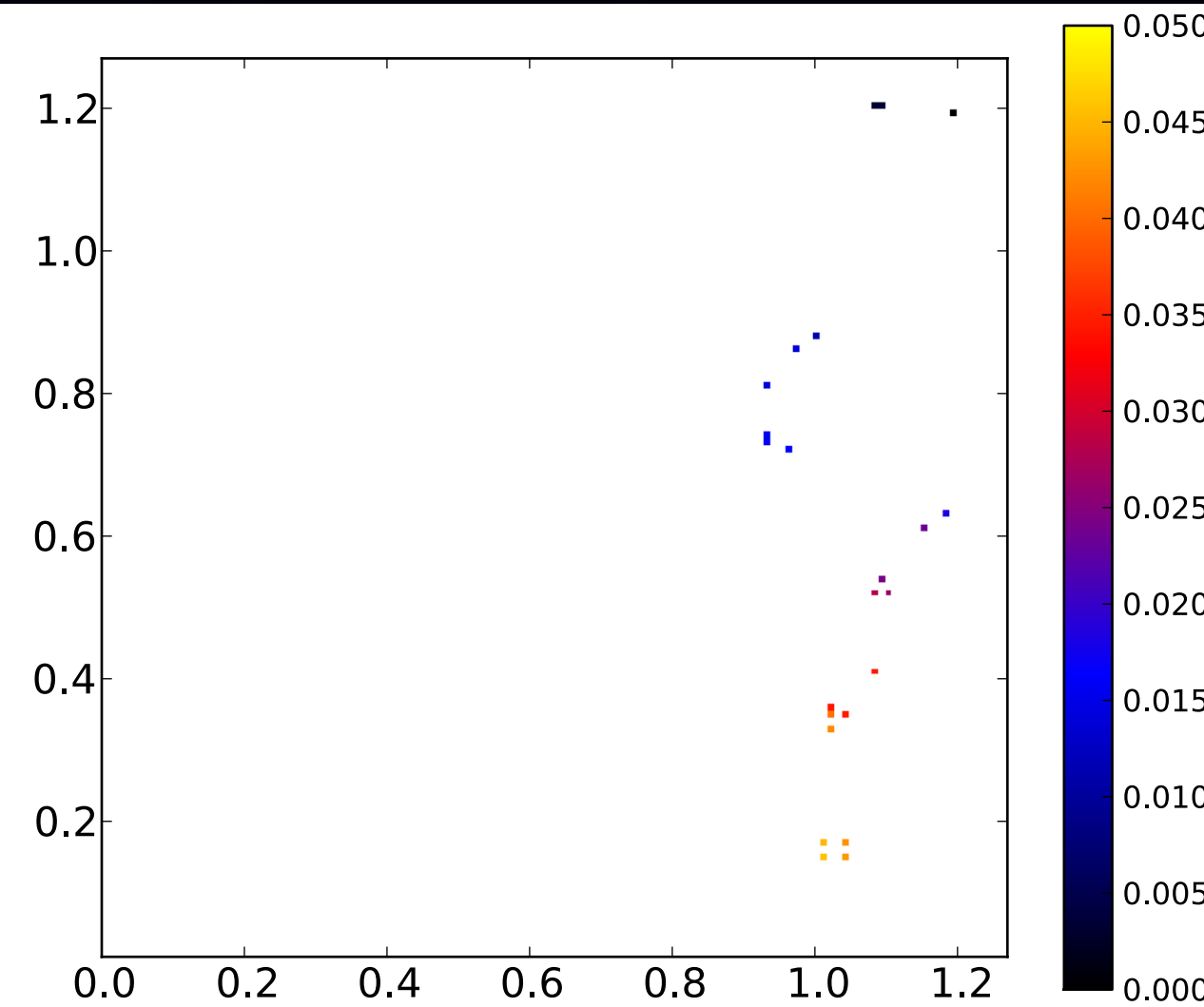


# Different Runs: Soft Robot Problem

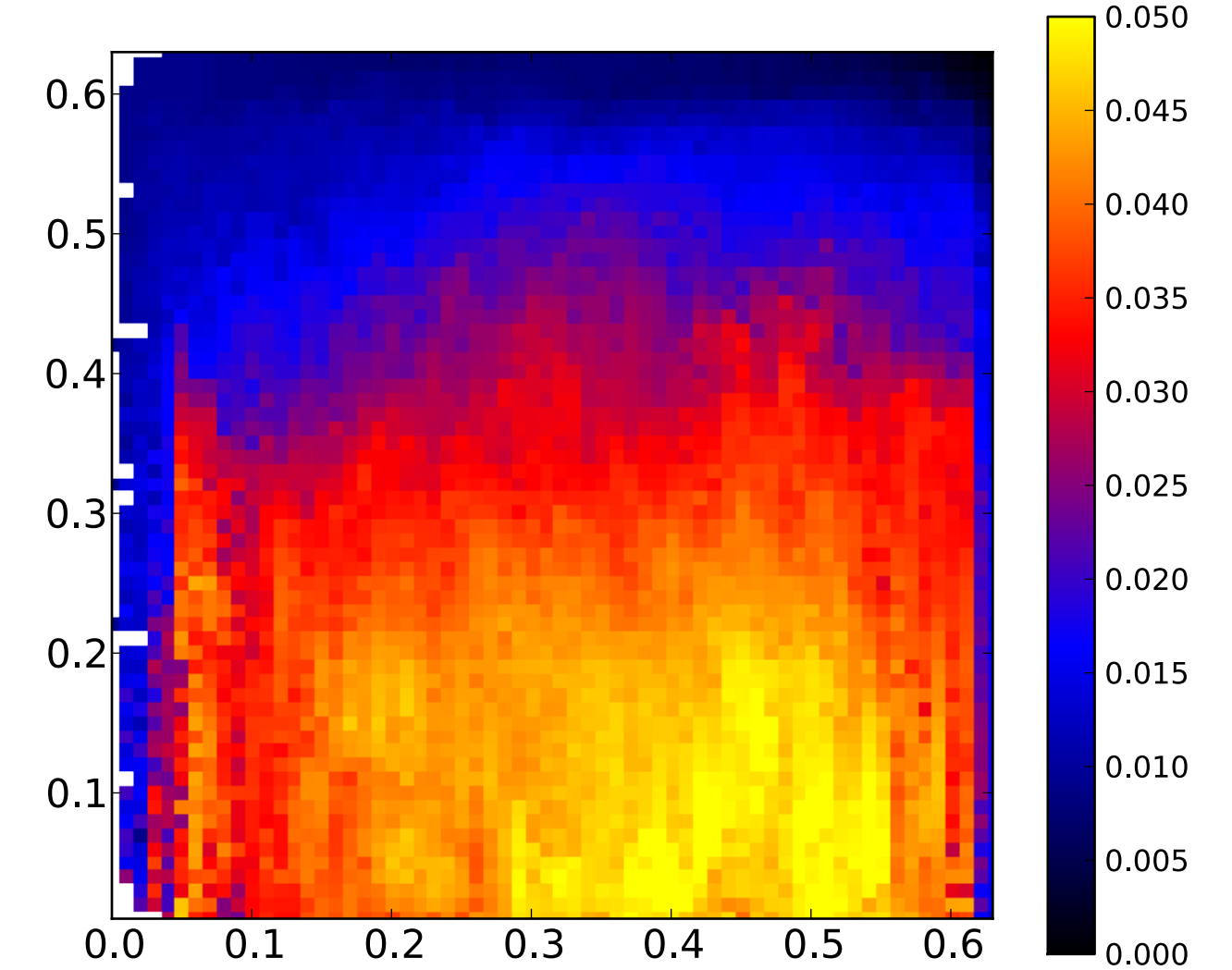
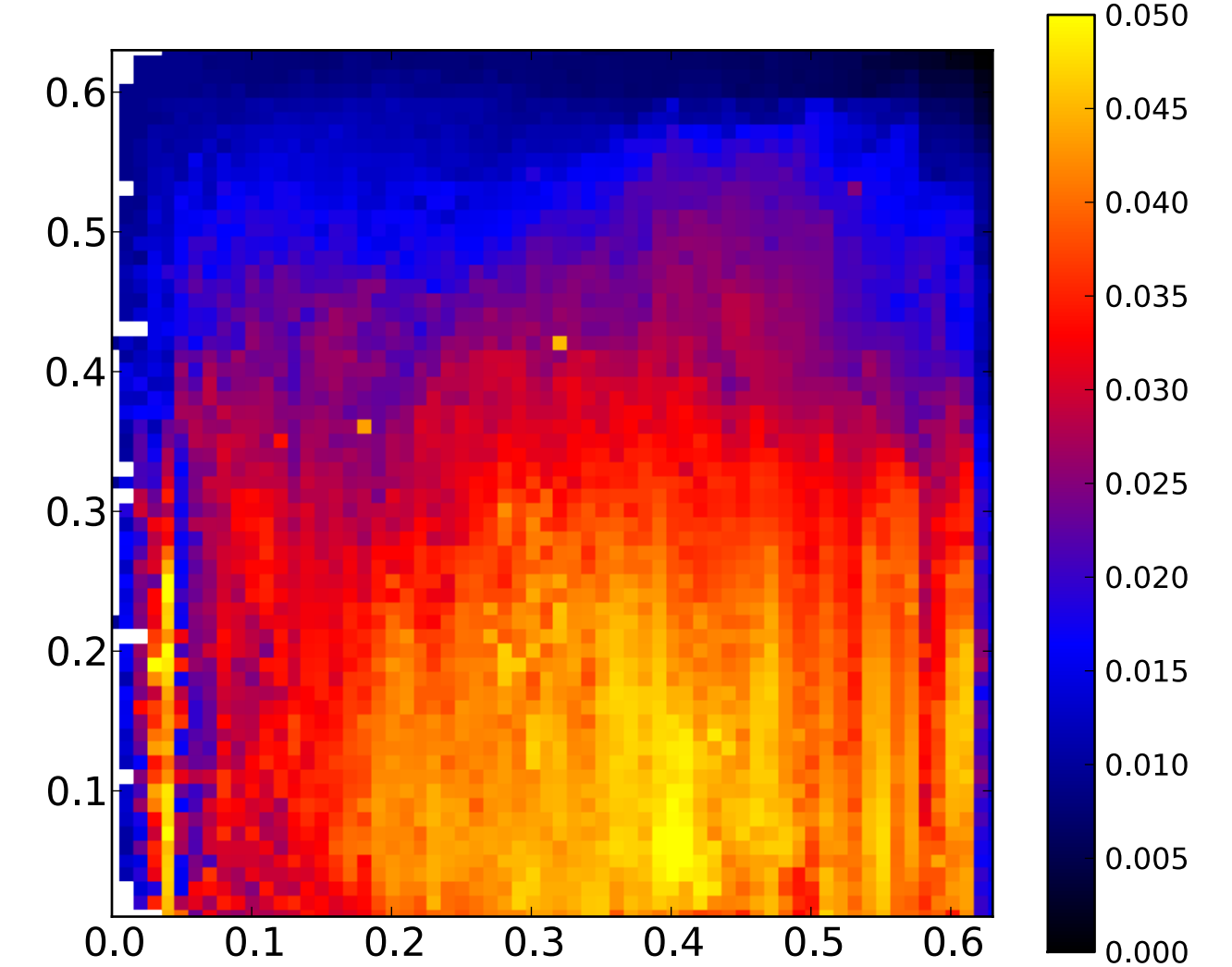
## Classic Optimization



## Classic + Diversity

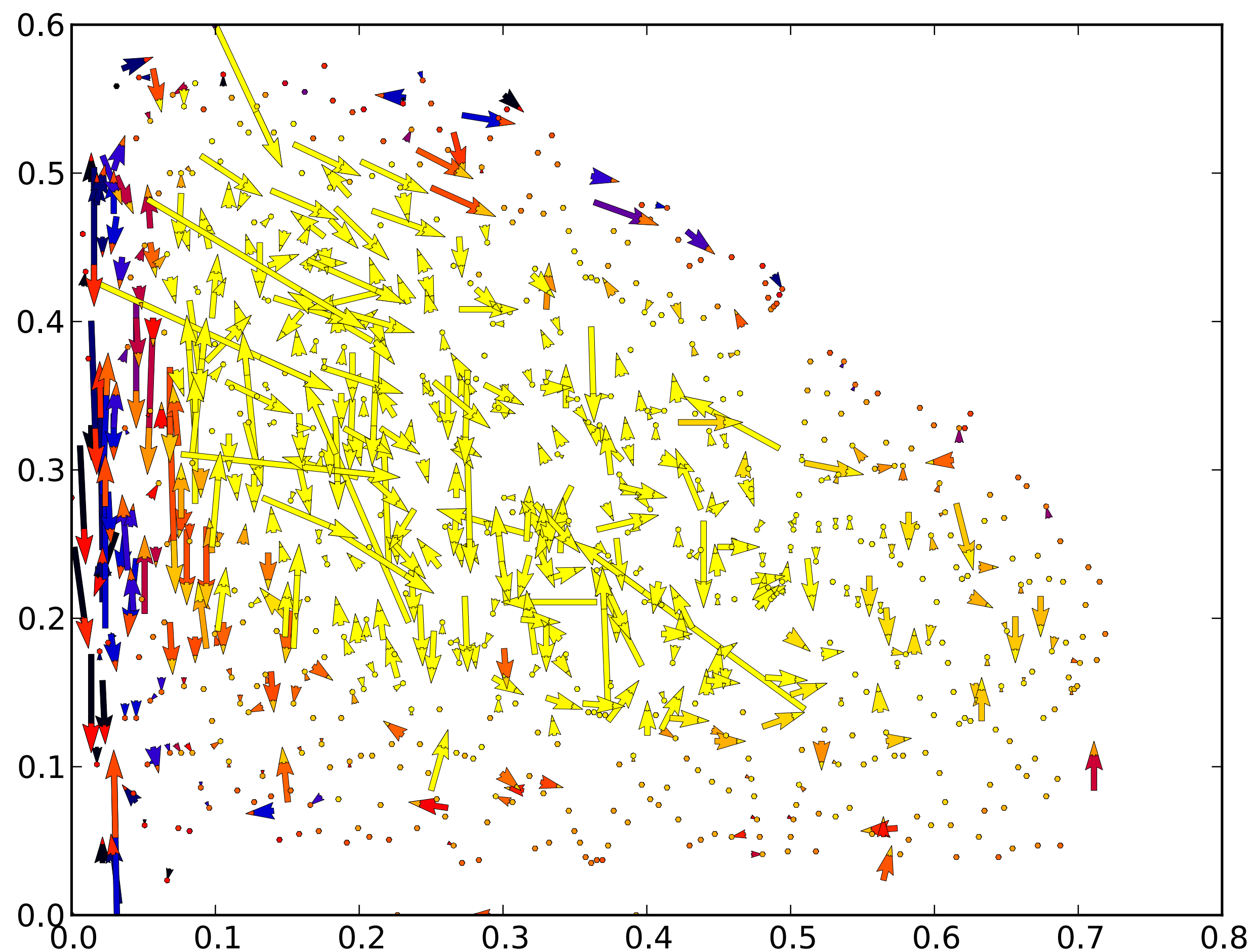


## MAP-Elites





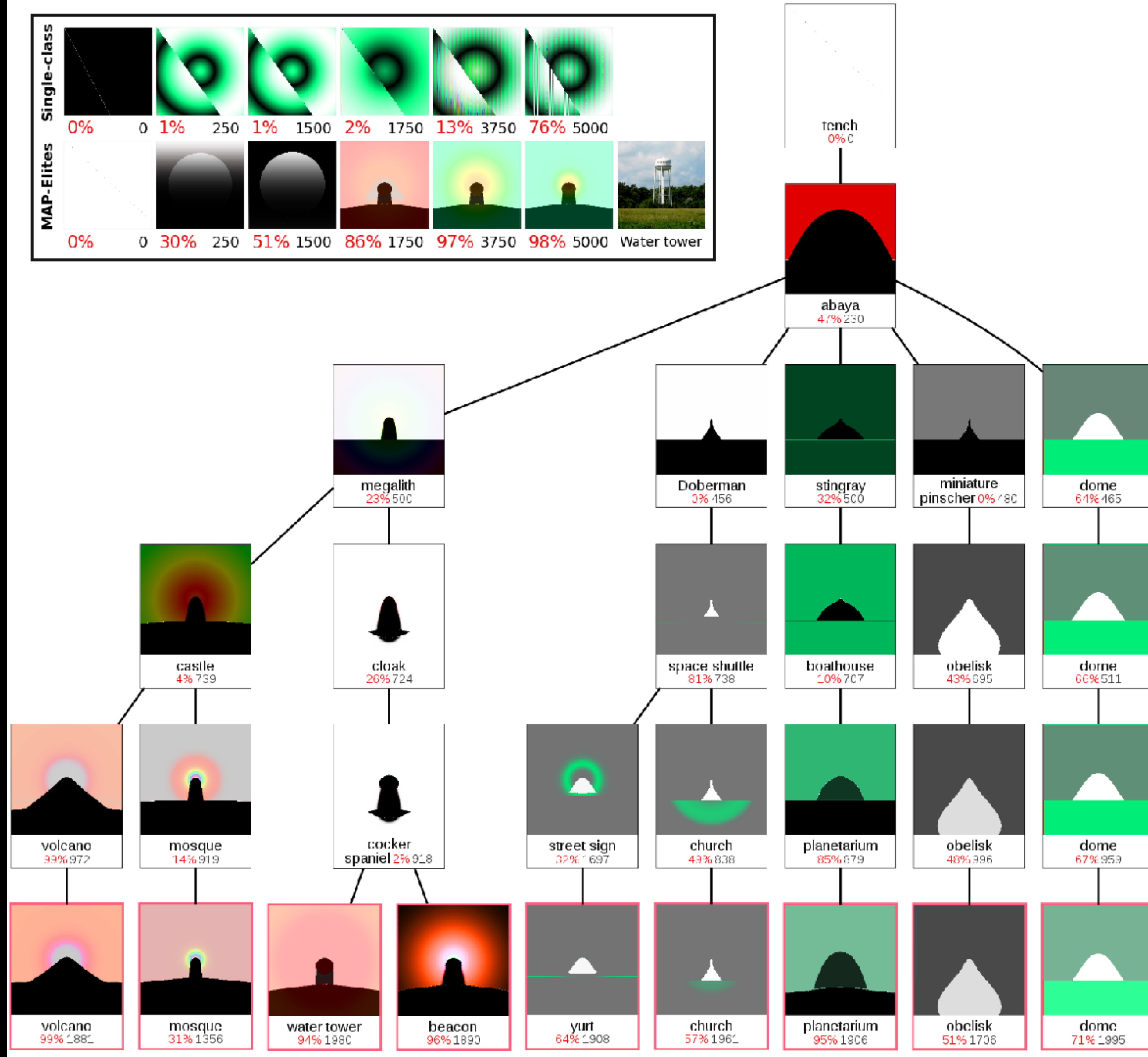
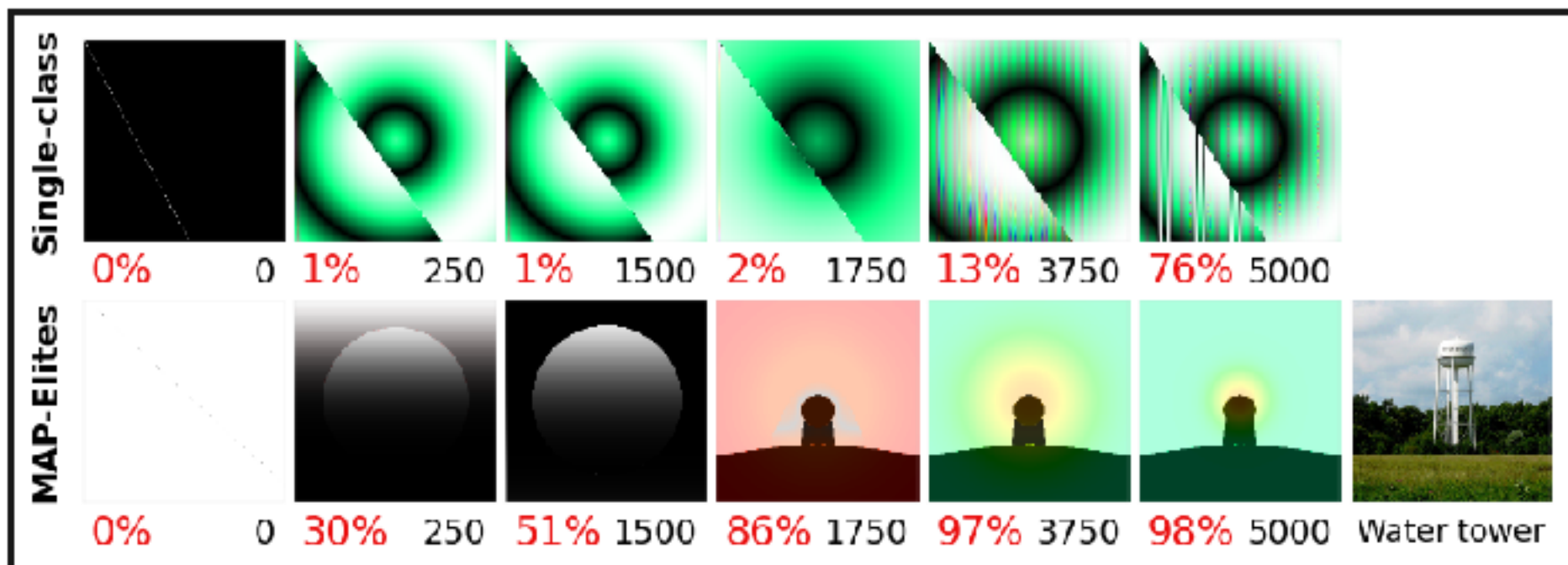
# Goal Switching is Critical



retina problem

color = reward



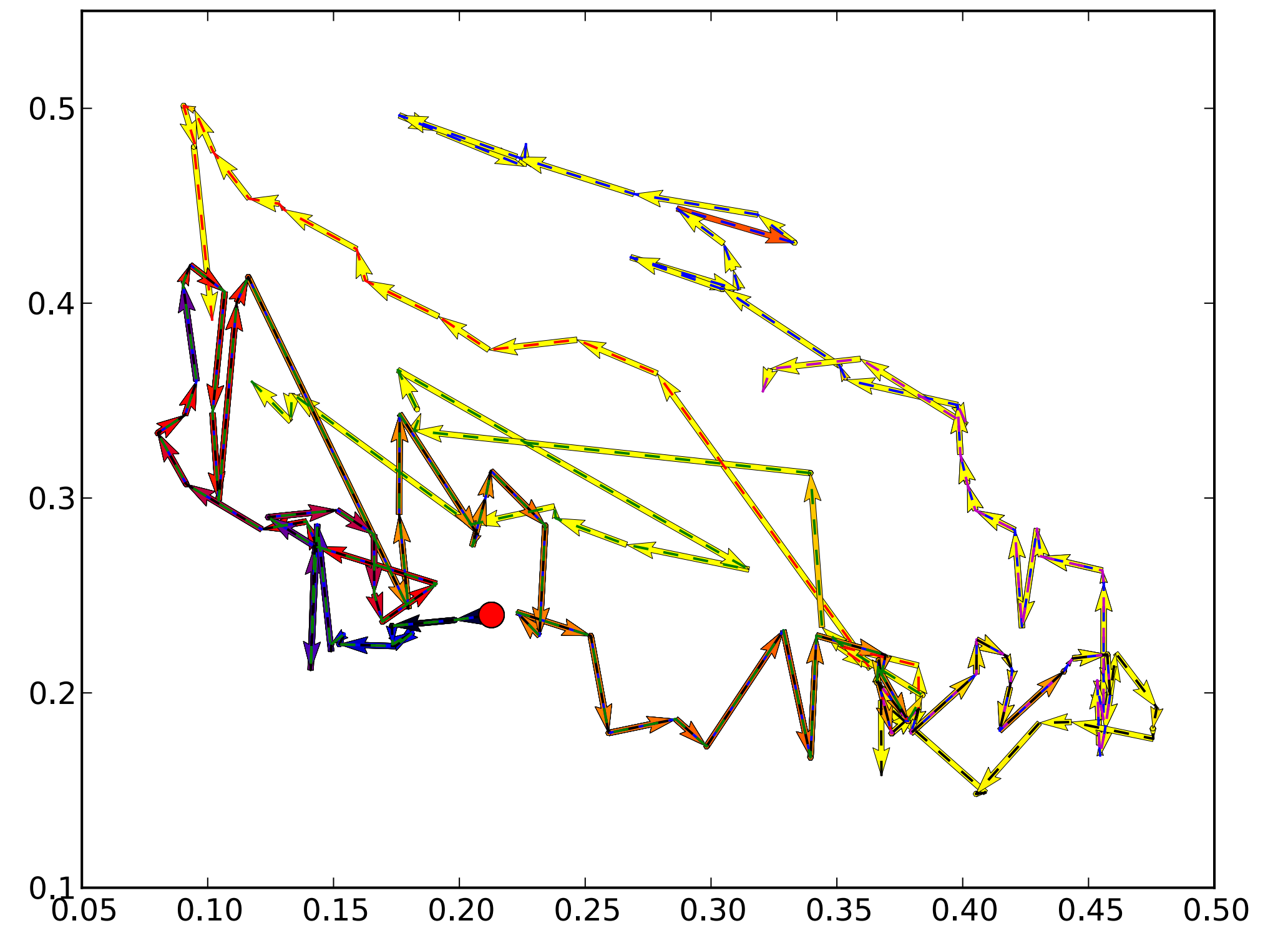
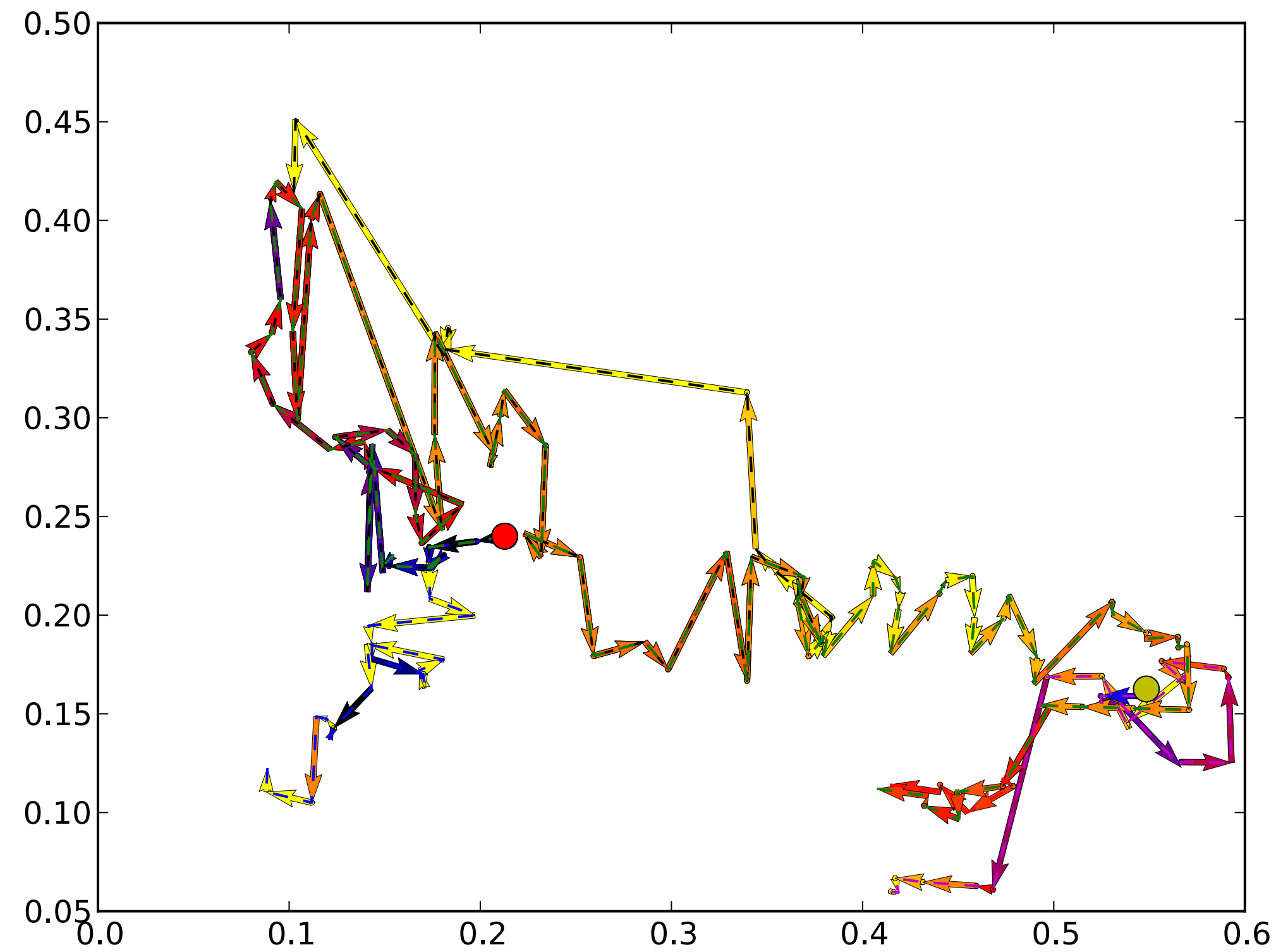


# Innovation Engines

Nguyen, Yosinski, Clune (2016)



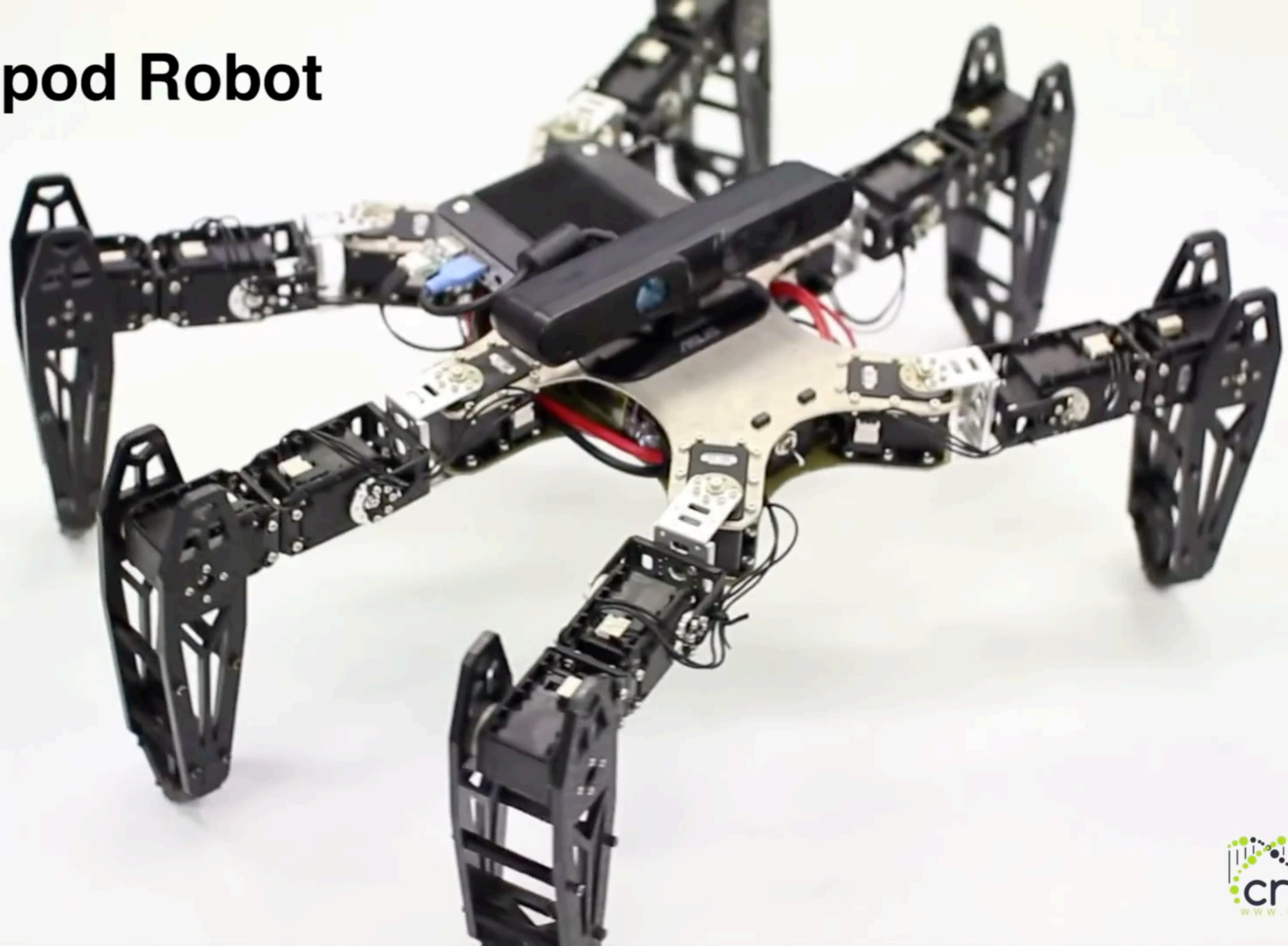
# MAP-Elites Lineages of a Few Final Solutions



Circles are iteration 0, color = reward



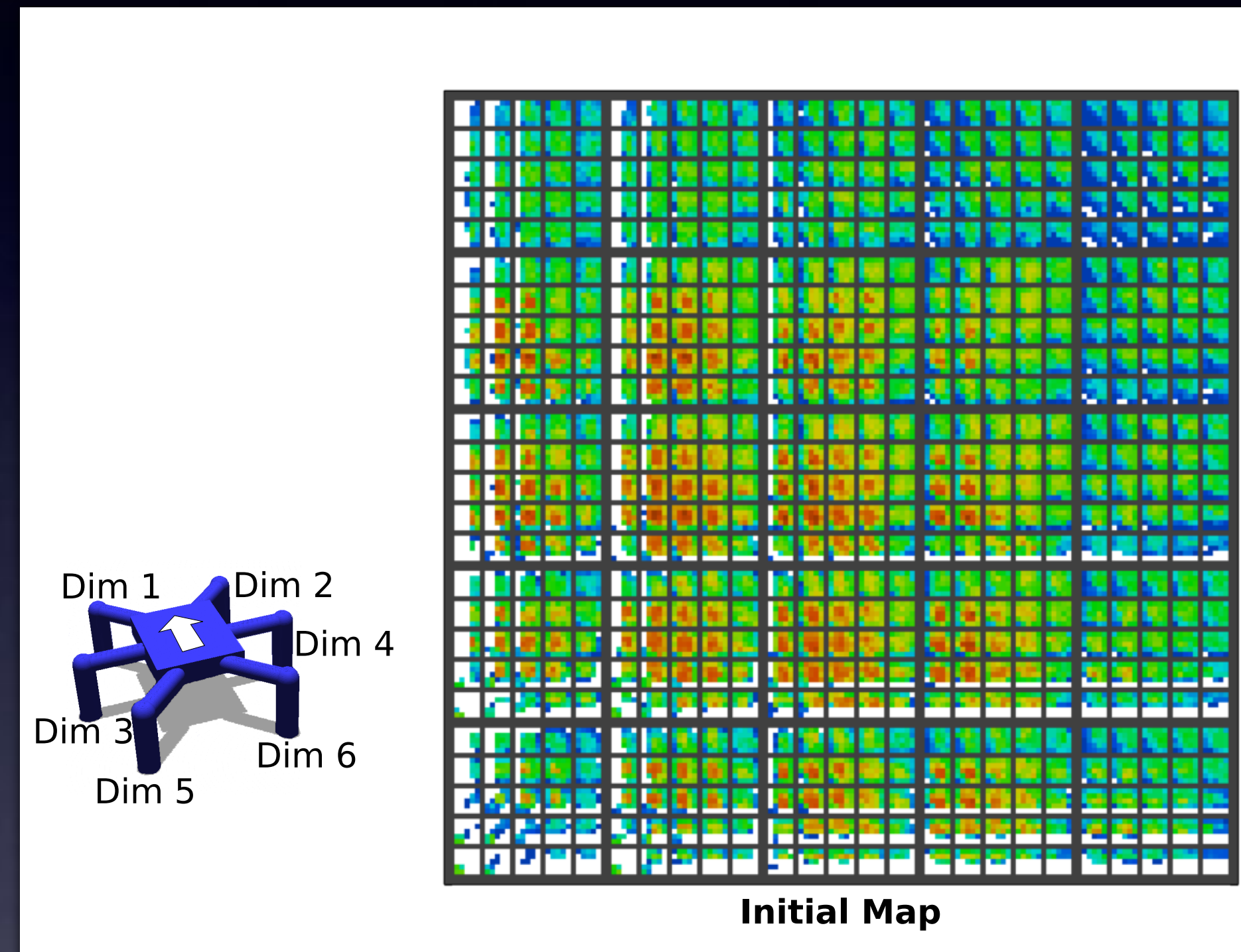
# Hexapod Robot





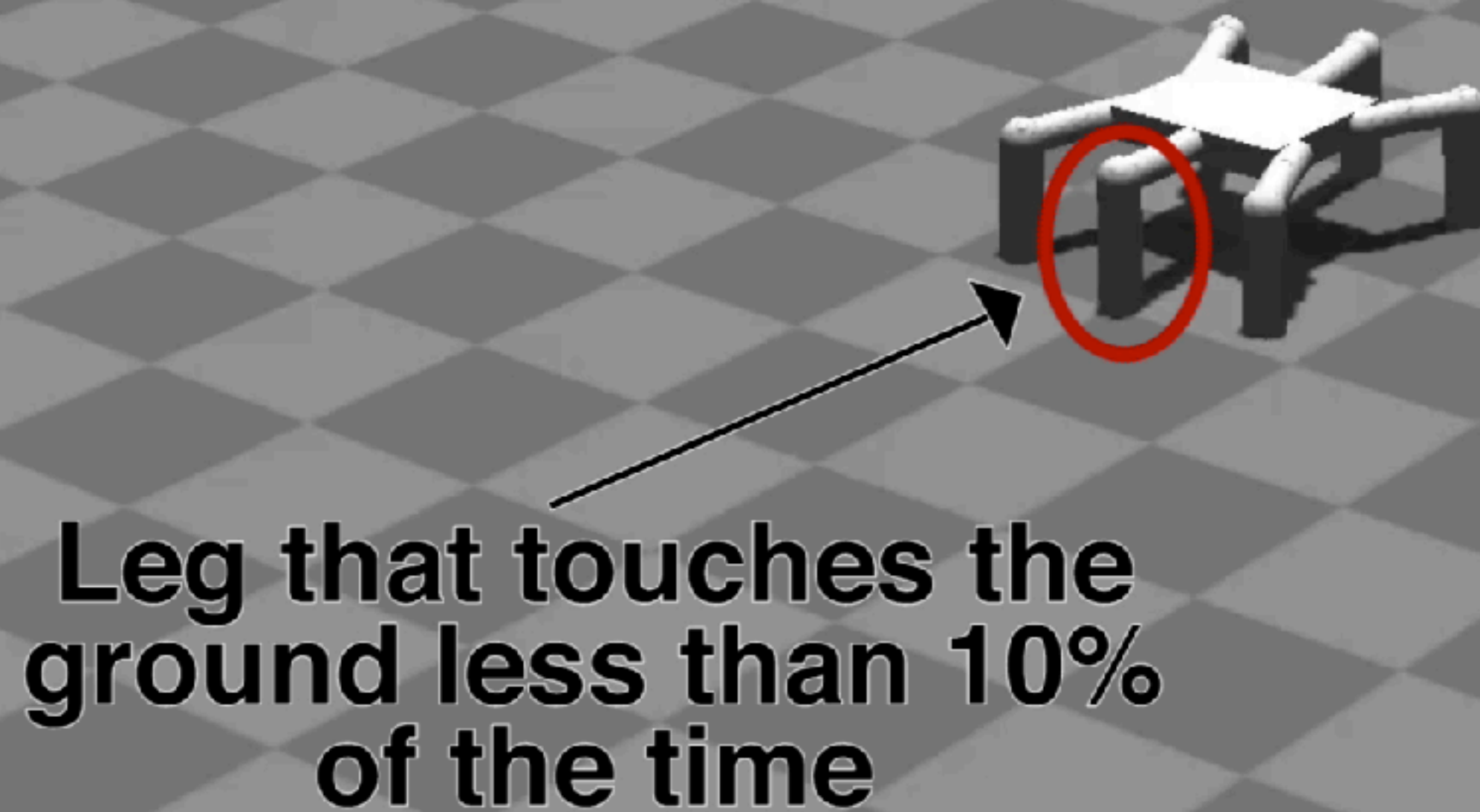
## intuitions about different ways to move

- Behavioral characterization
  - % of time each leg touches the ground (6-dimensional)
- Producing the map is expensive
  - 40 million evaluations per map (!)
  - But can be done once per robot pre-deployment
- Map has ~13,000 diverse, high-performing gaits



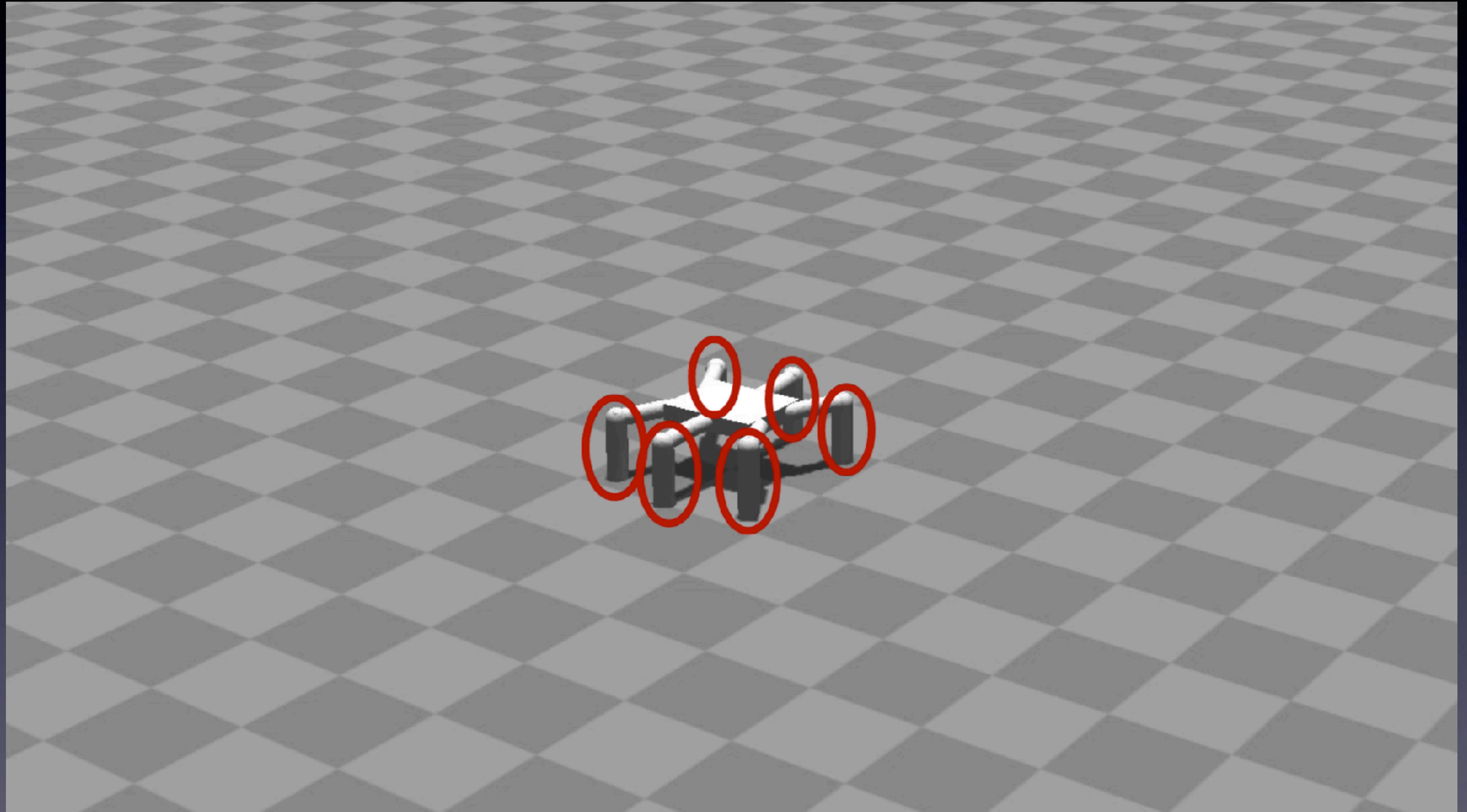


intuitions about  
different ways to move



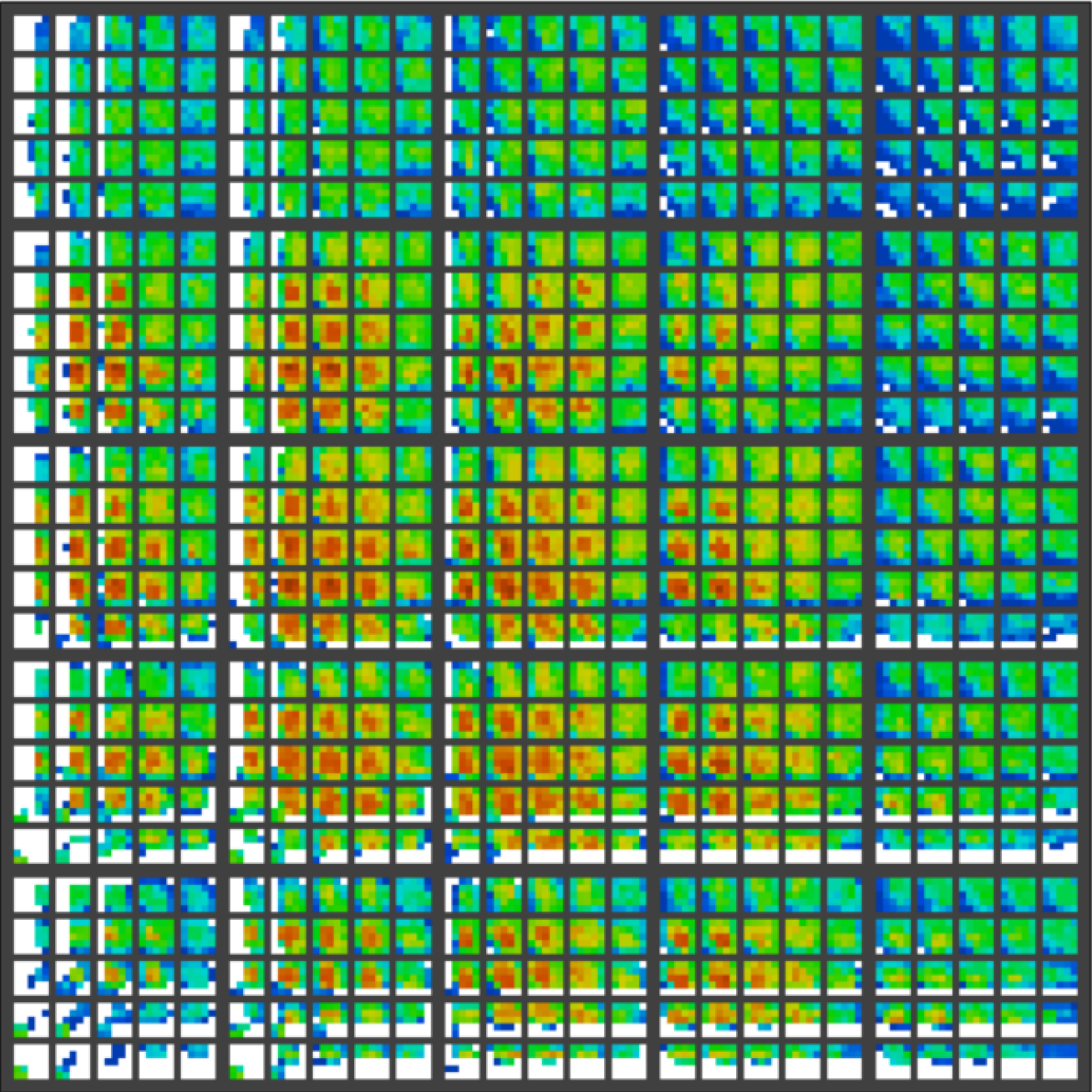
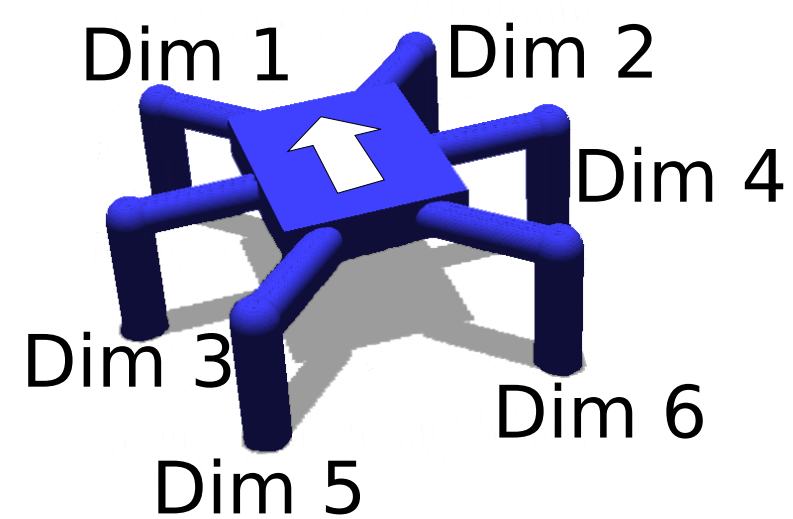
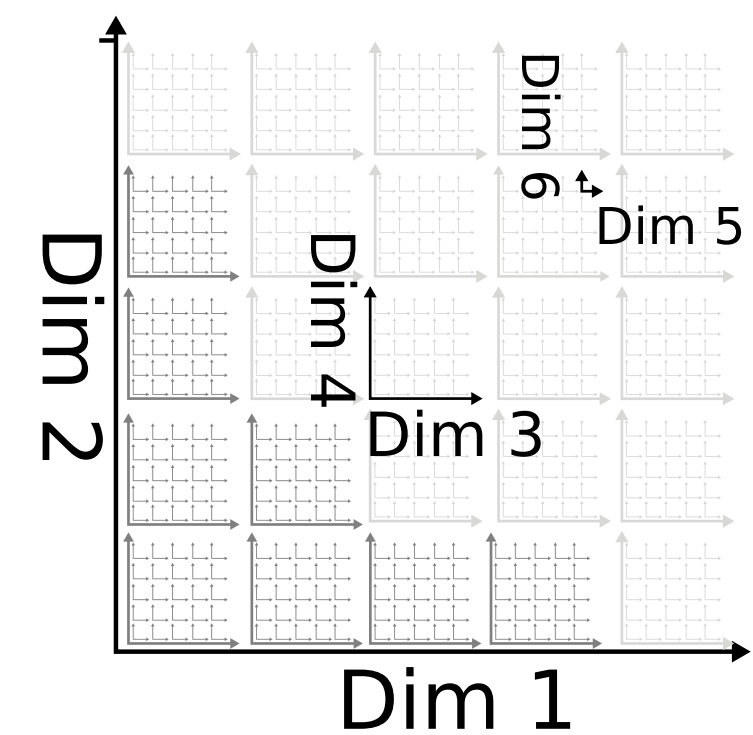


# Corner Case: Feet never touch the ground





intuitions about  
different ways to move

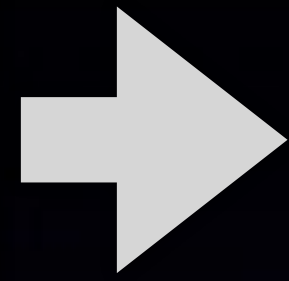


Initial Map

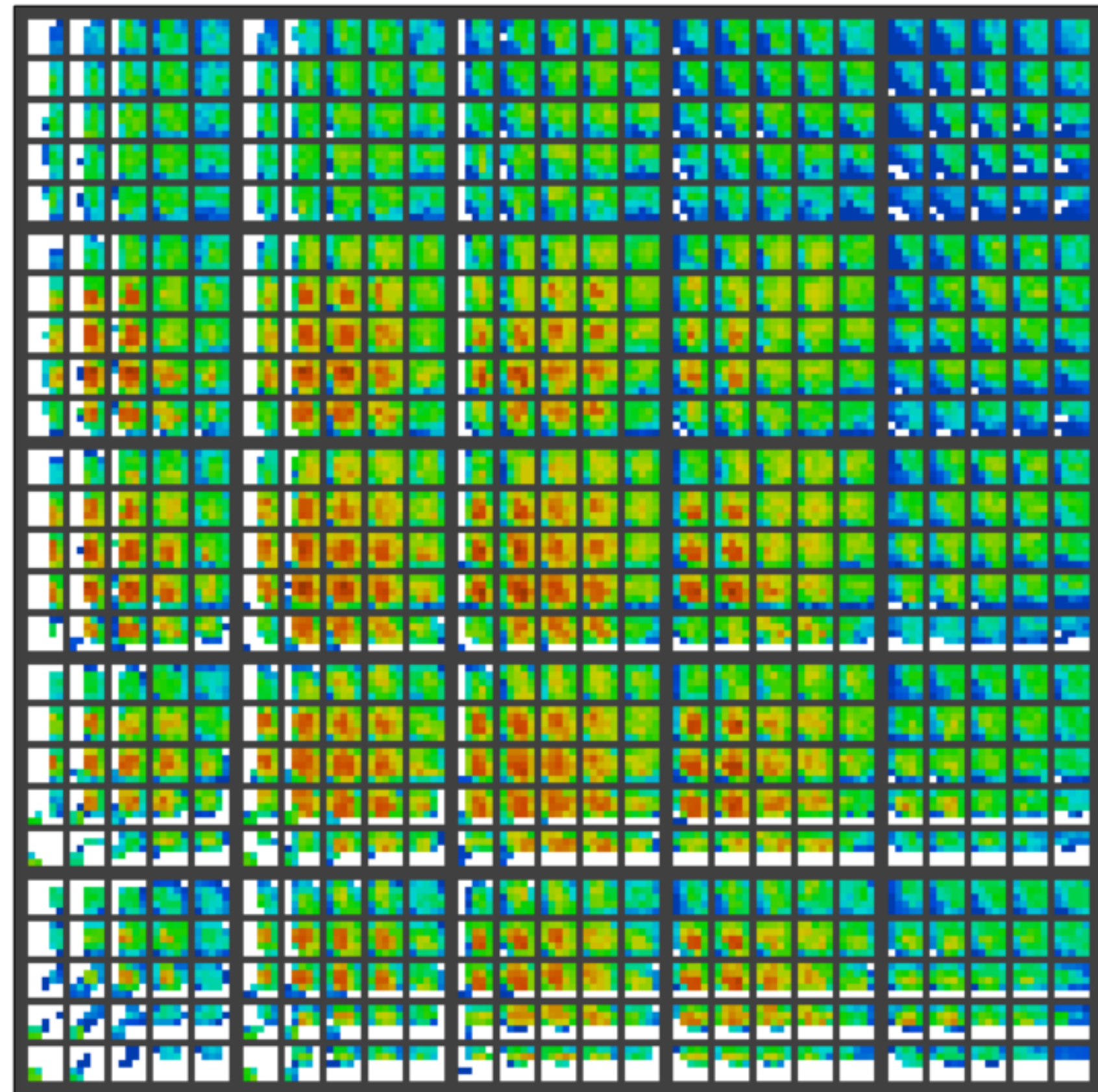
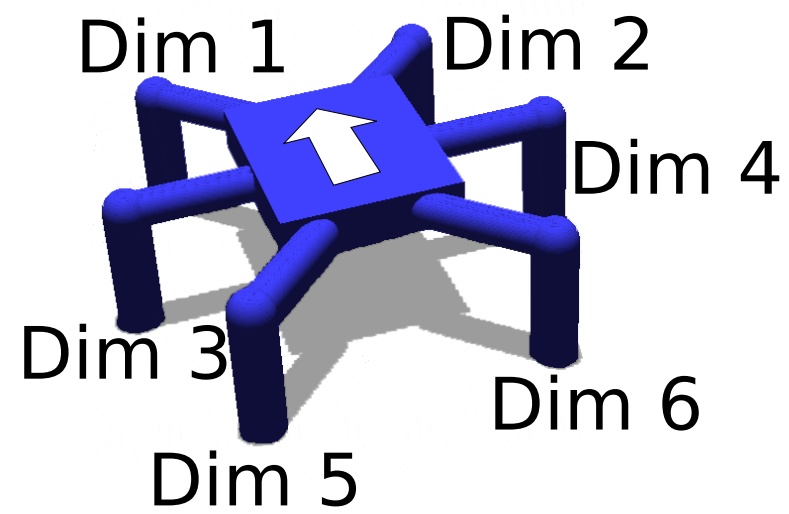
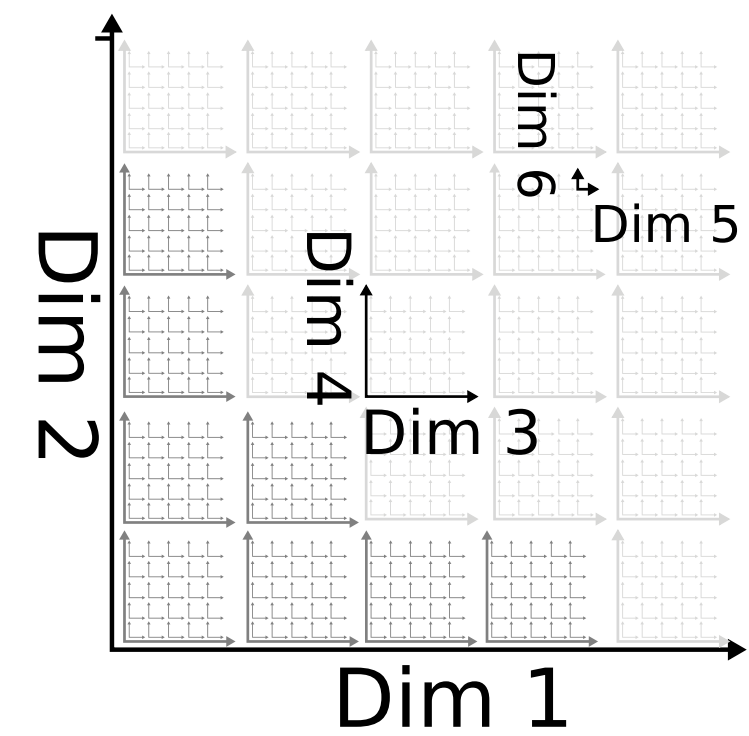
On the simulated,  
undamaged robot



intuitions about  
different ways to move



few, intelligent tests



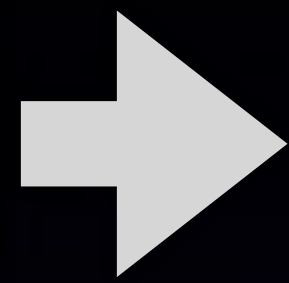
Initial Map

Which behaviors should we test?

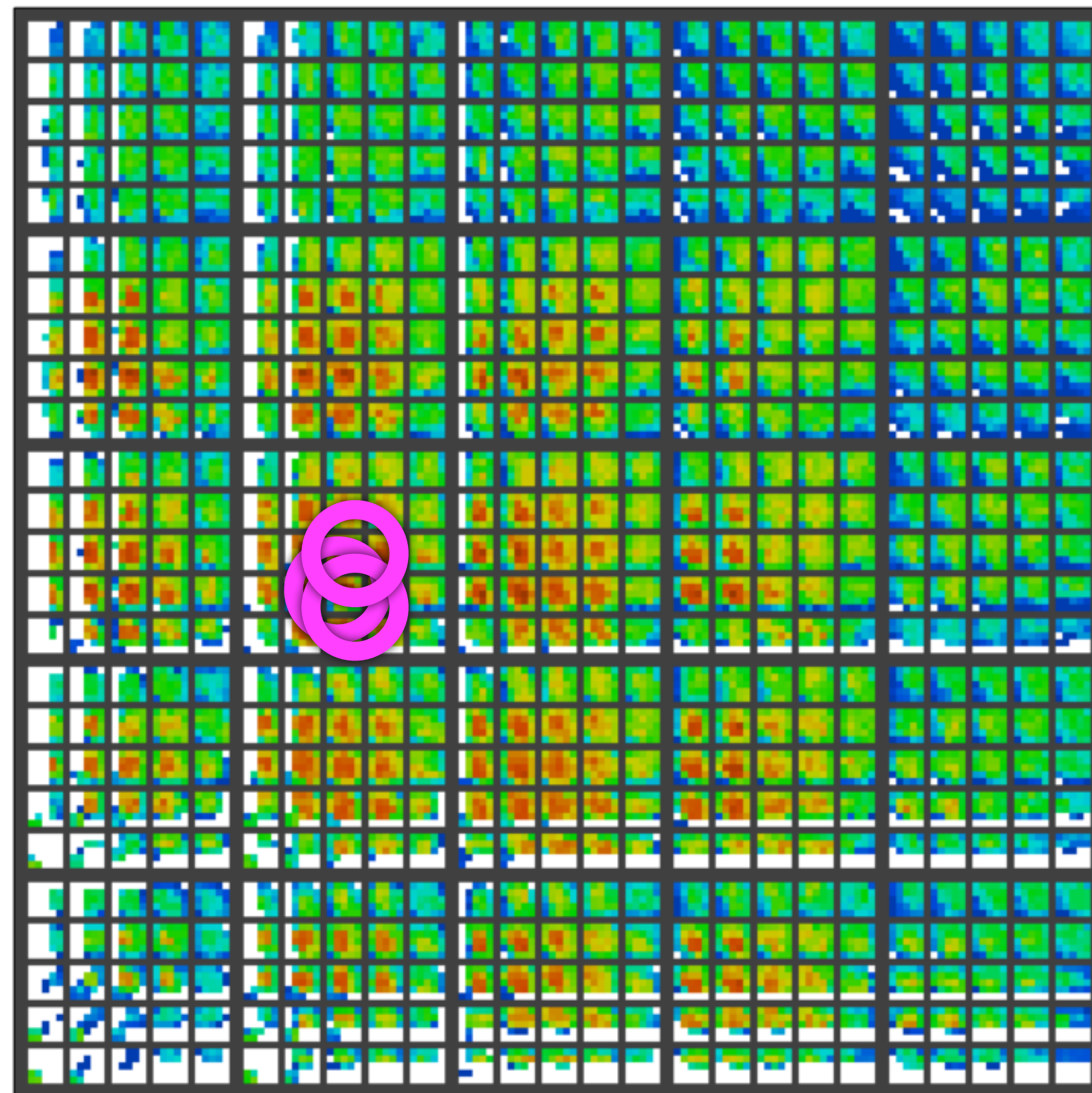
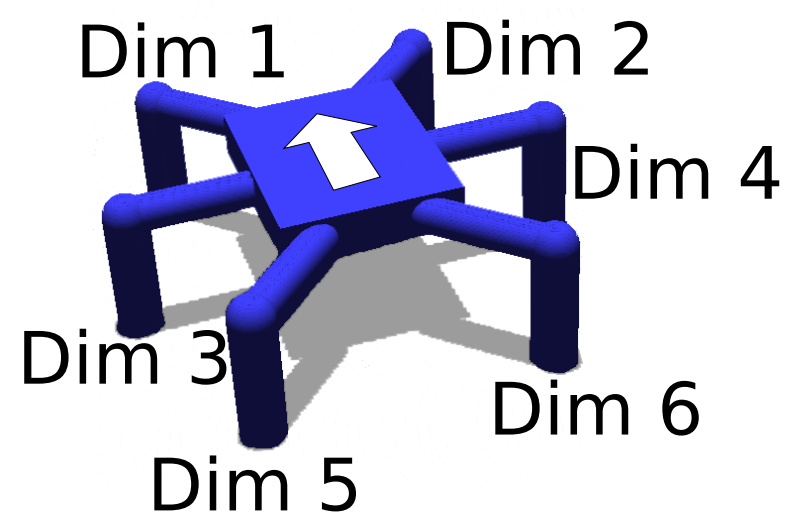
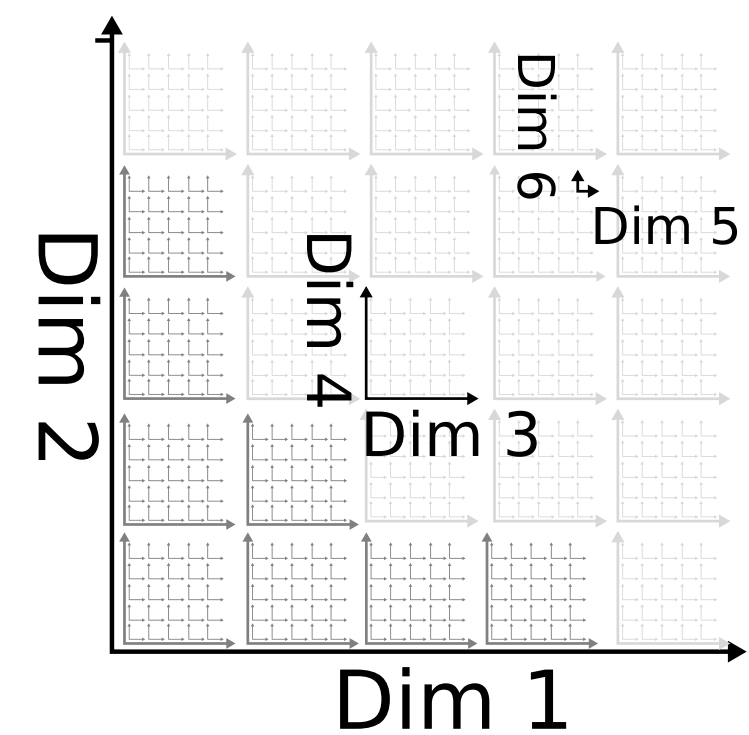




intuitions about  
different ways to move



few, intelligent tests



Initial Map

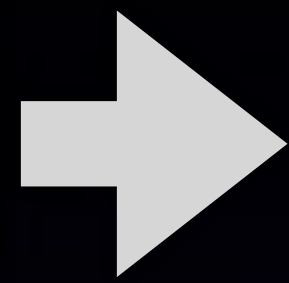
Could try top N:

But they are likely very similar.

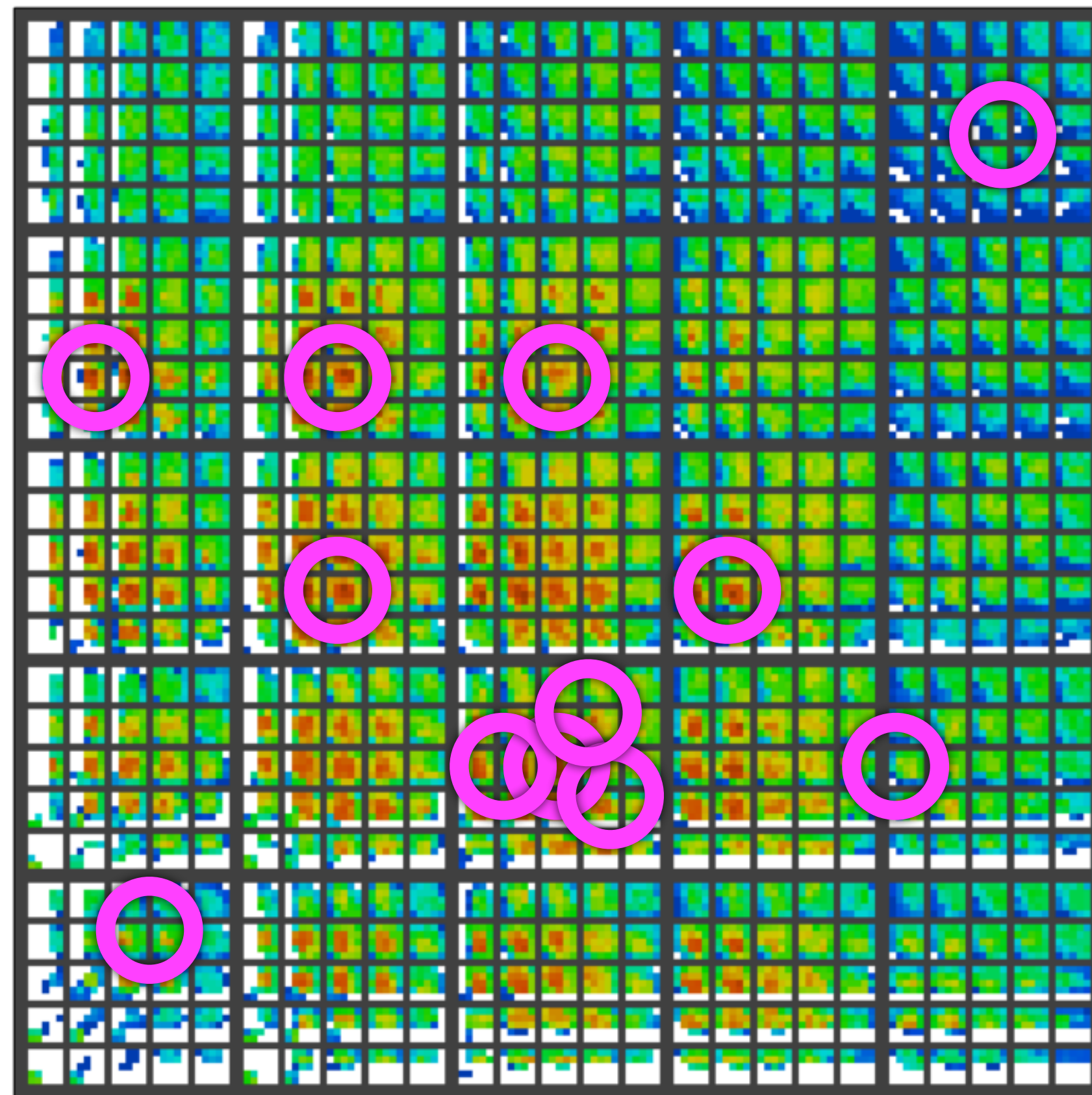
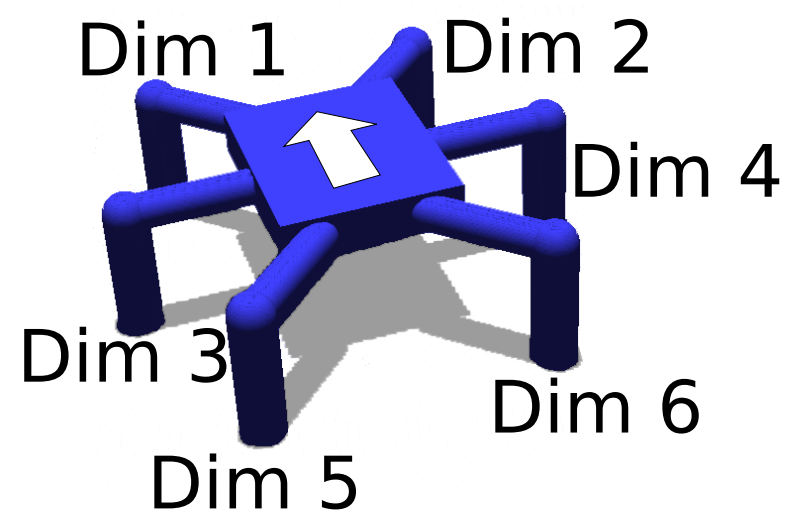
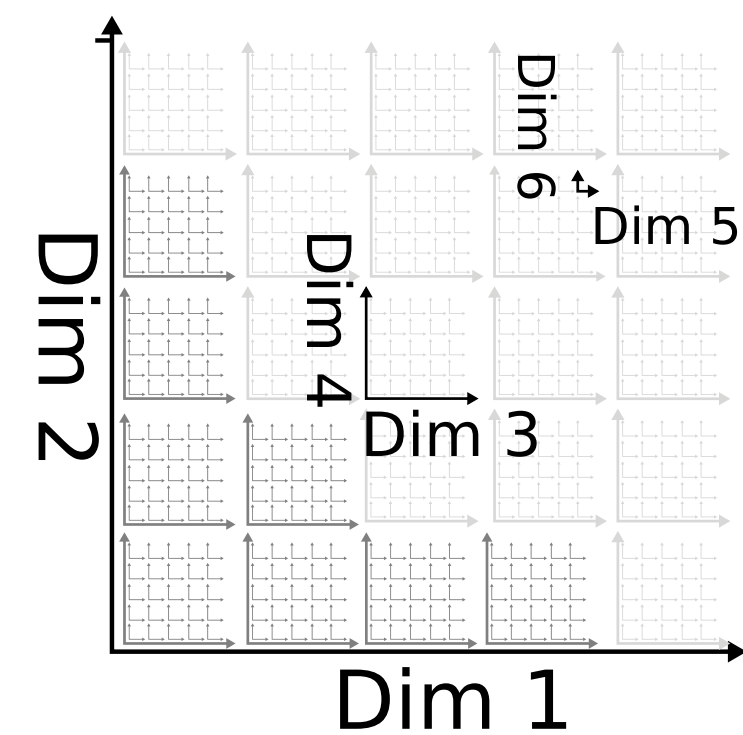




intuitions about  
different ways to move



few, intelligent tests



Initial Map

Bayesian Optimization:  
Tries different types solutions



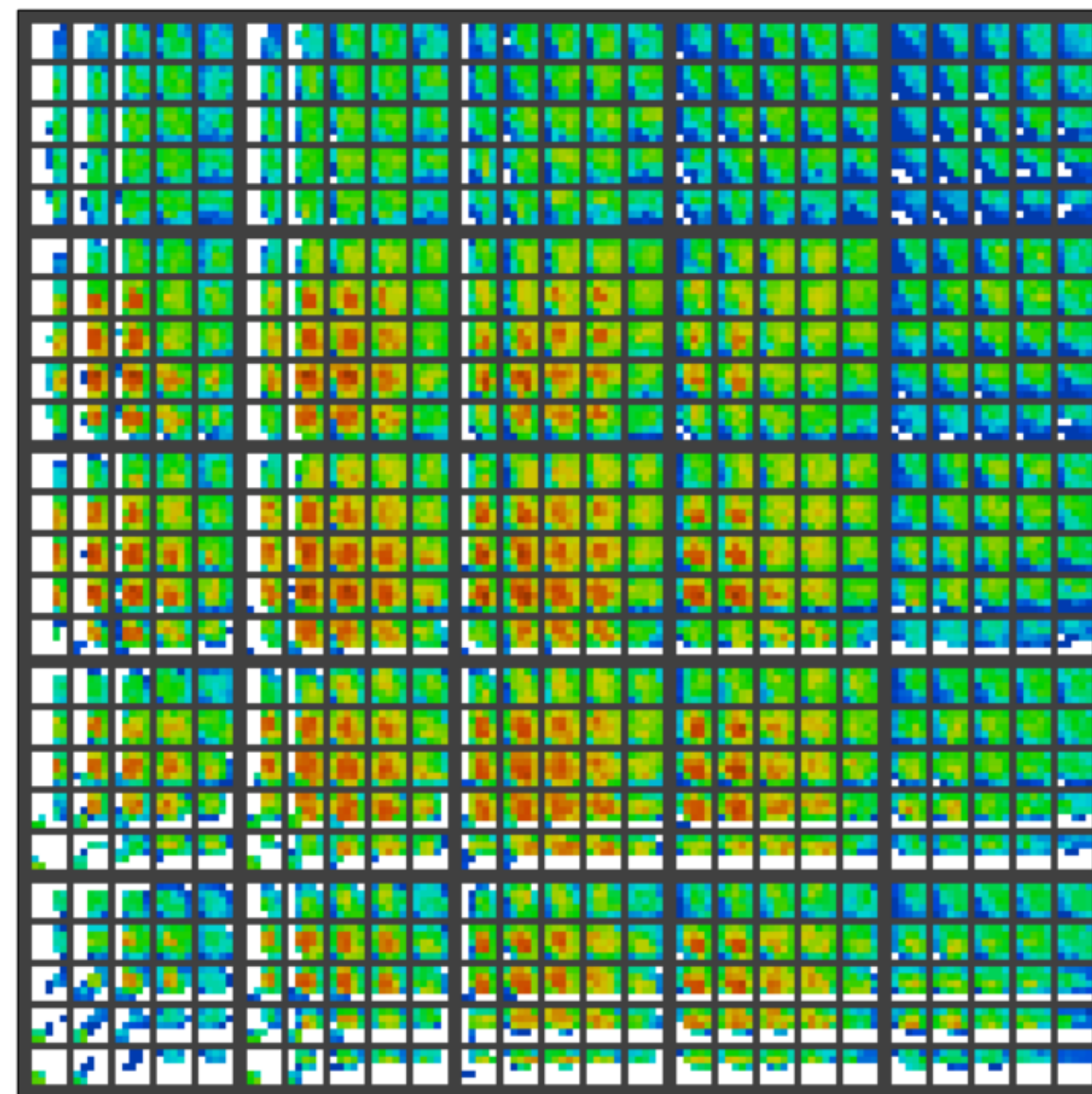
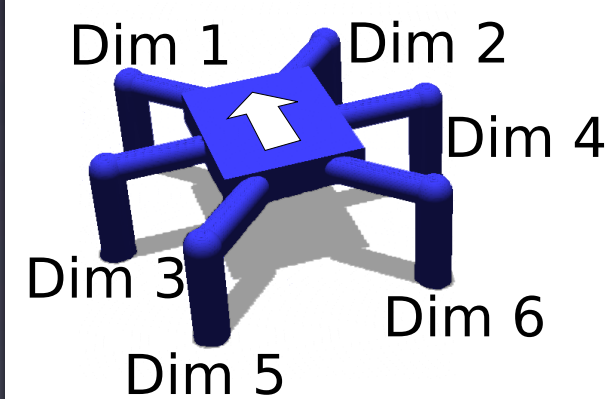
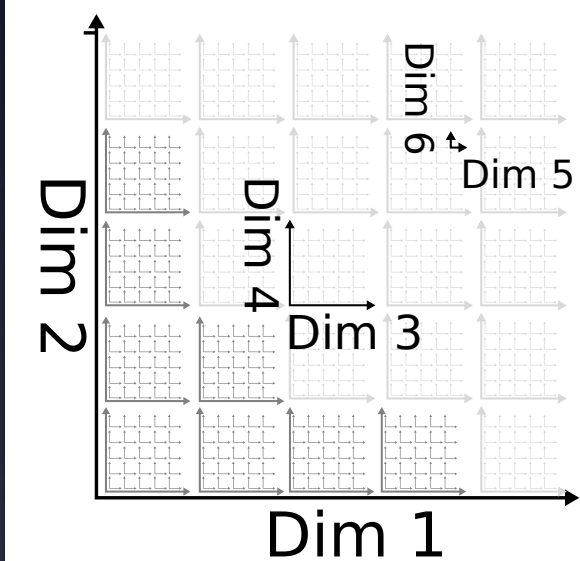


# Bayesian Optimization

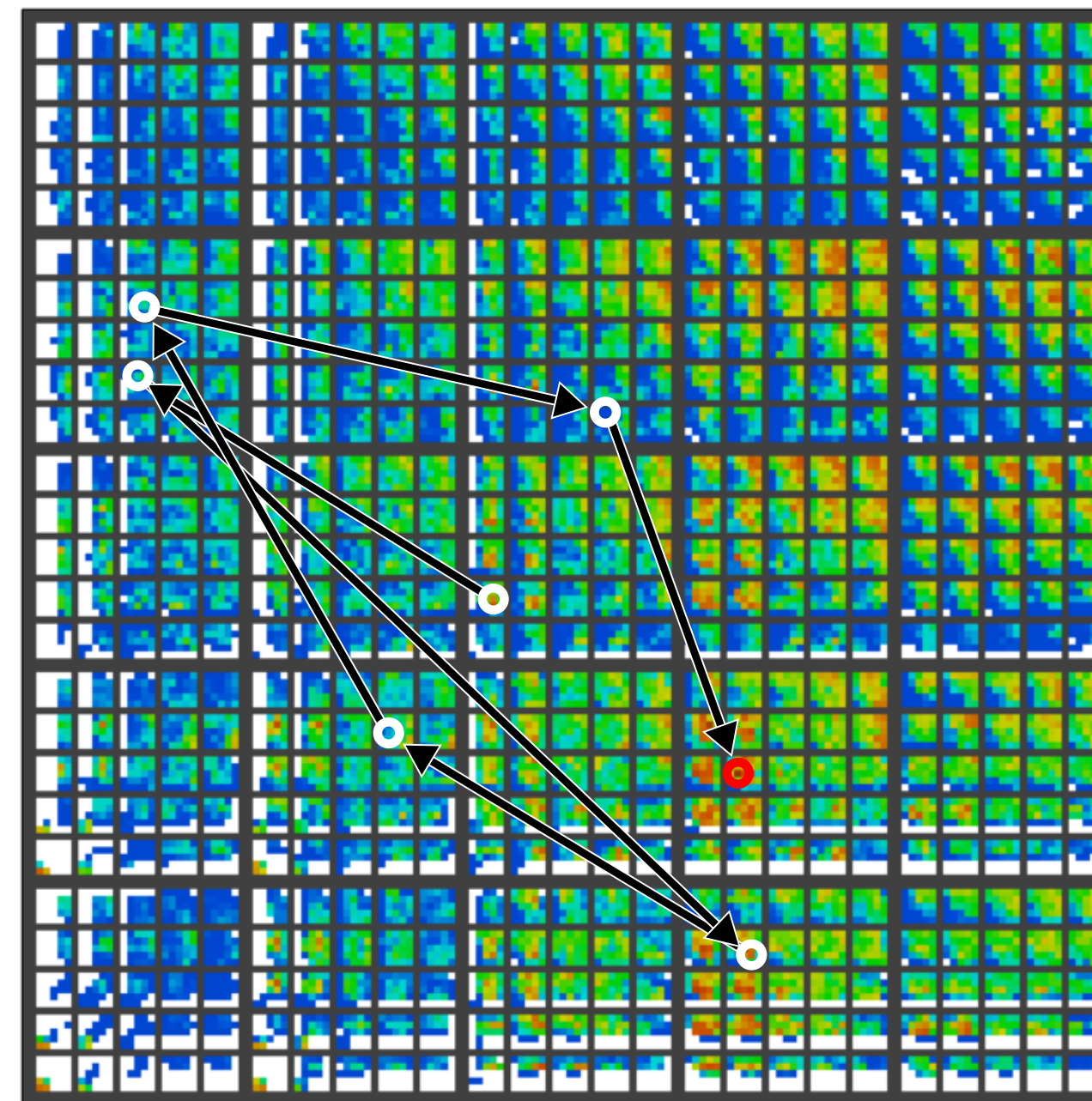
Prior:  
MAP-Elites Map

Posterior:  
Map updated after  
real-world tests

Stop when:  
A real-world  
behavior is  $>90\%$  of  
best untested point



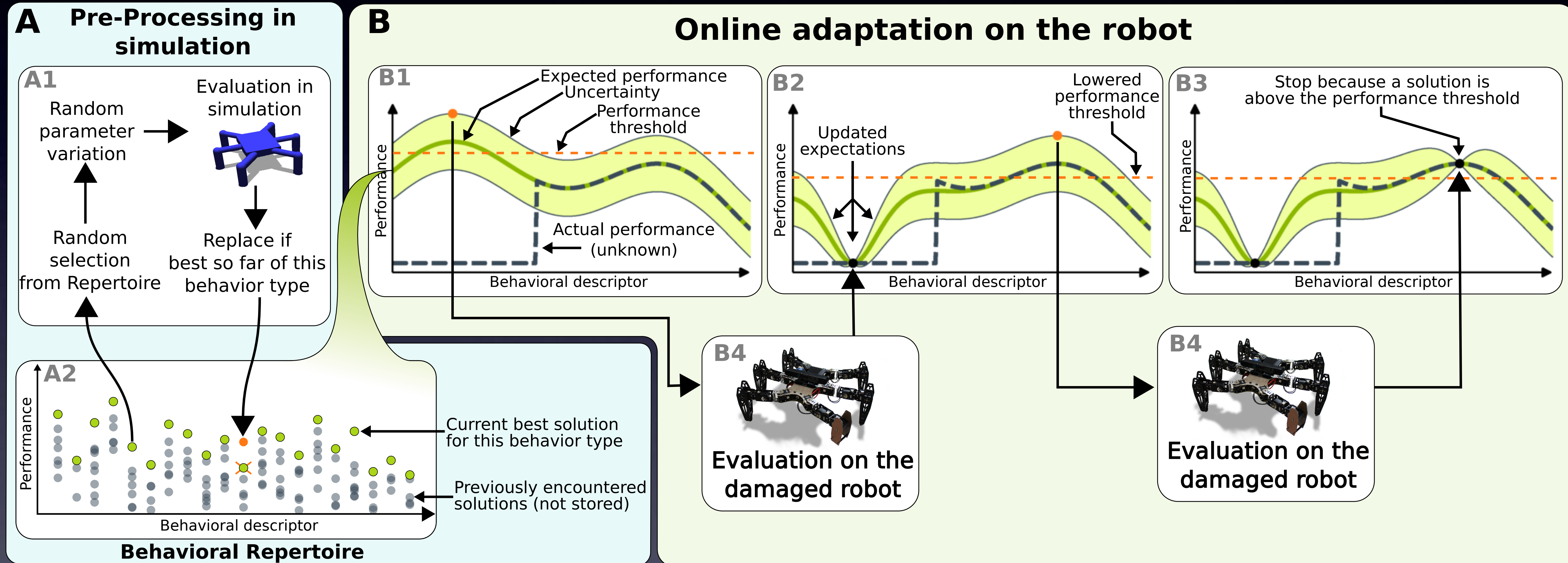
Initial Map



Posterior Map



# One-dimensional Example





# “Intelligent Trial & Error”



MAP-Elites Map

Bayesian  
Optimization  
w Map as Prior

Found >90% of  
Best Possible

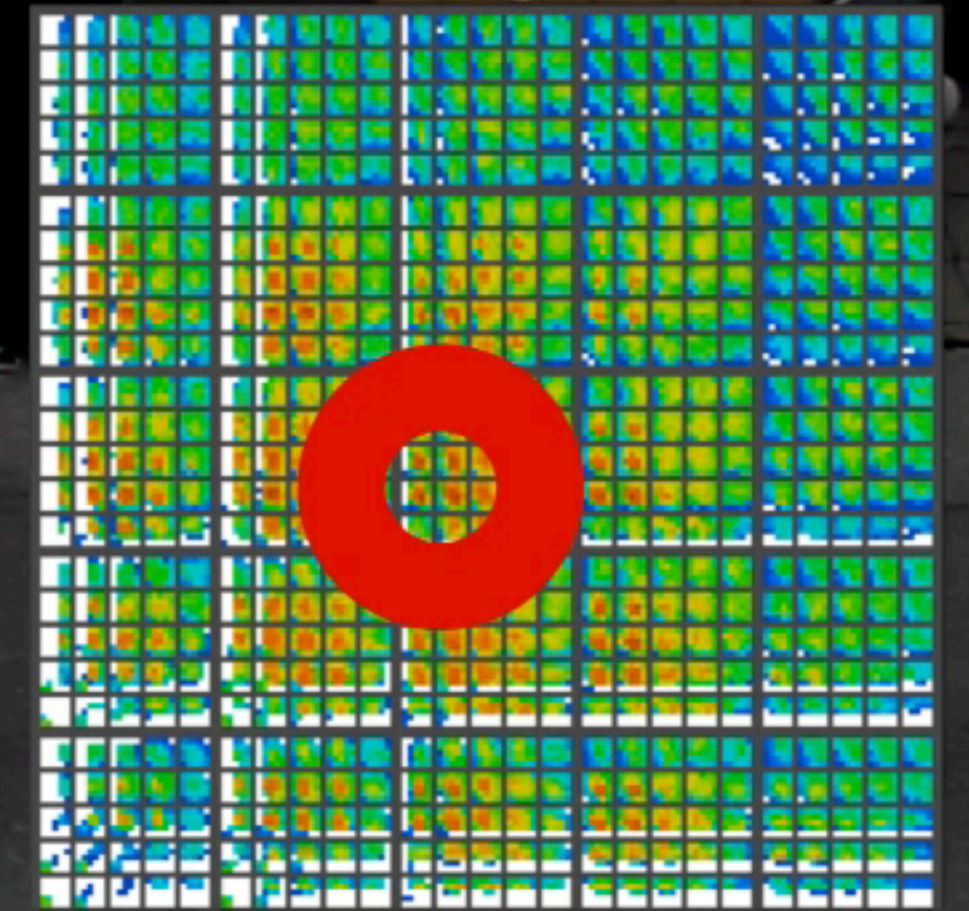


**Undamaged robot  
controlled with  
classic tripod gait**



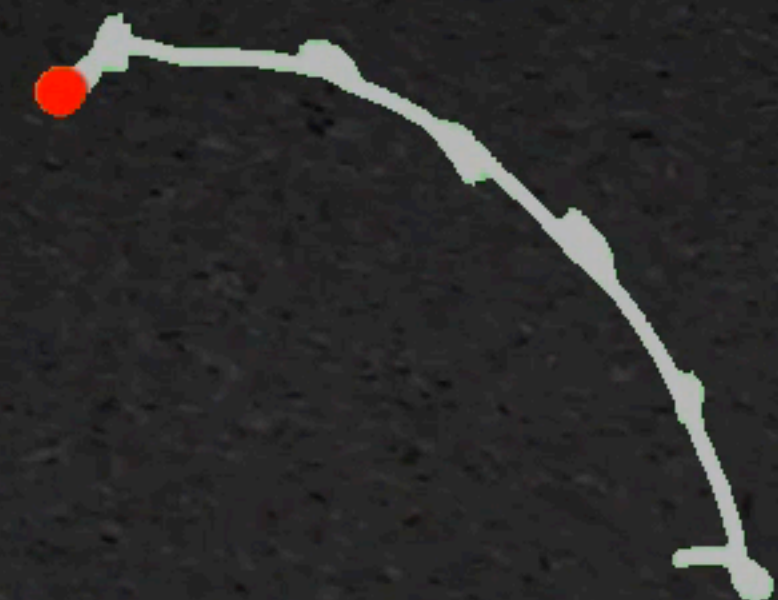
00:00:00

# Behavior-performance Map

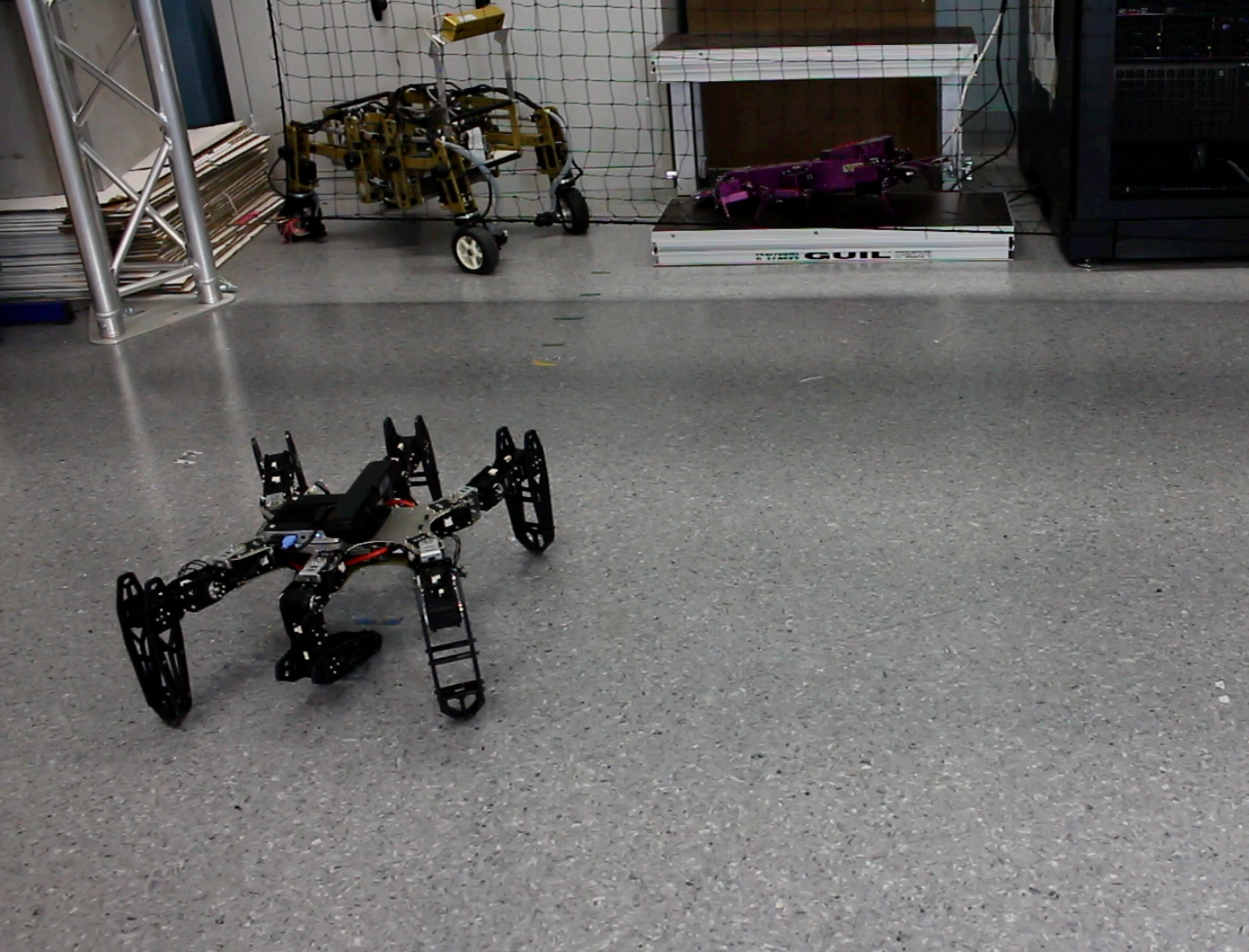


Forward Speed (m/s)  
0.13

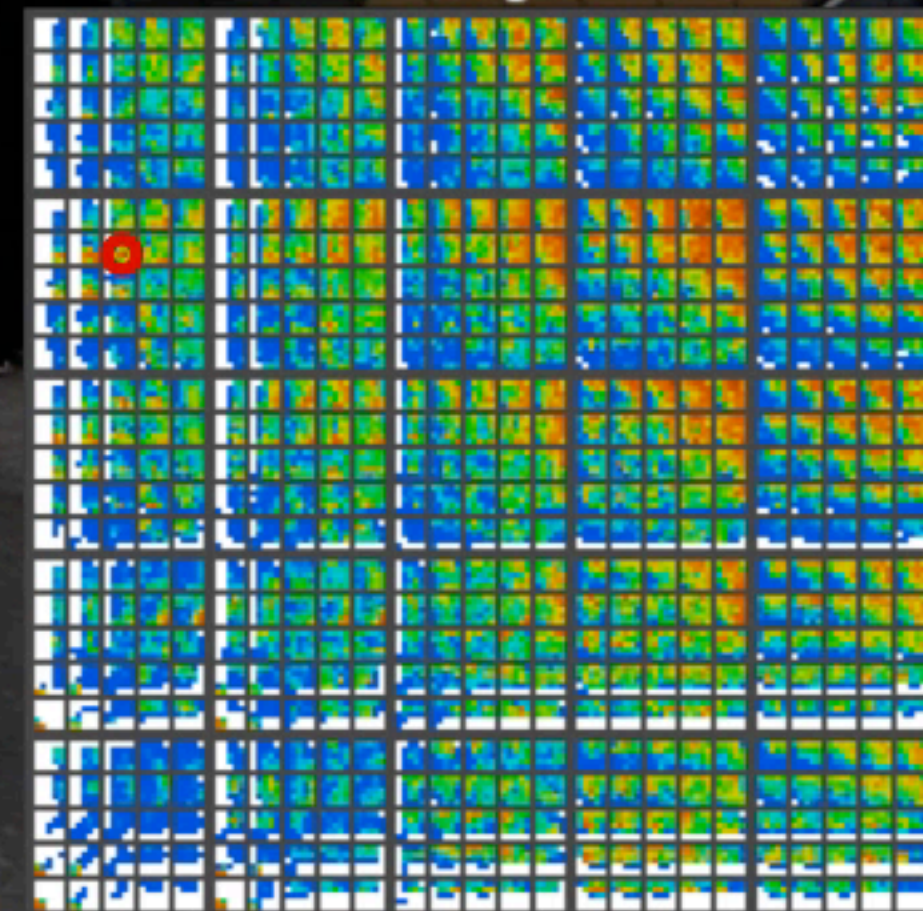
Trajectory







**X 0.25**  
**Behavior-performance**  
**Map**



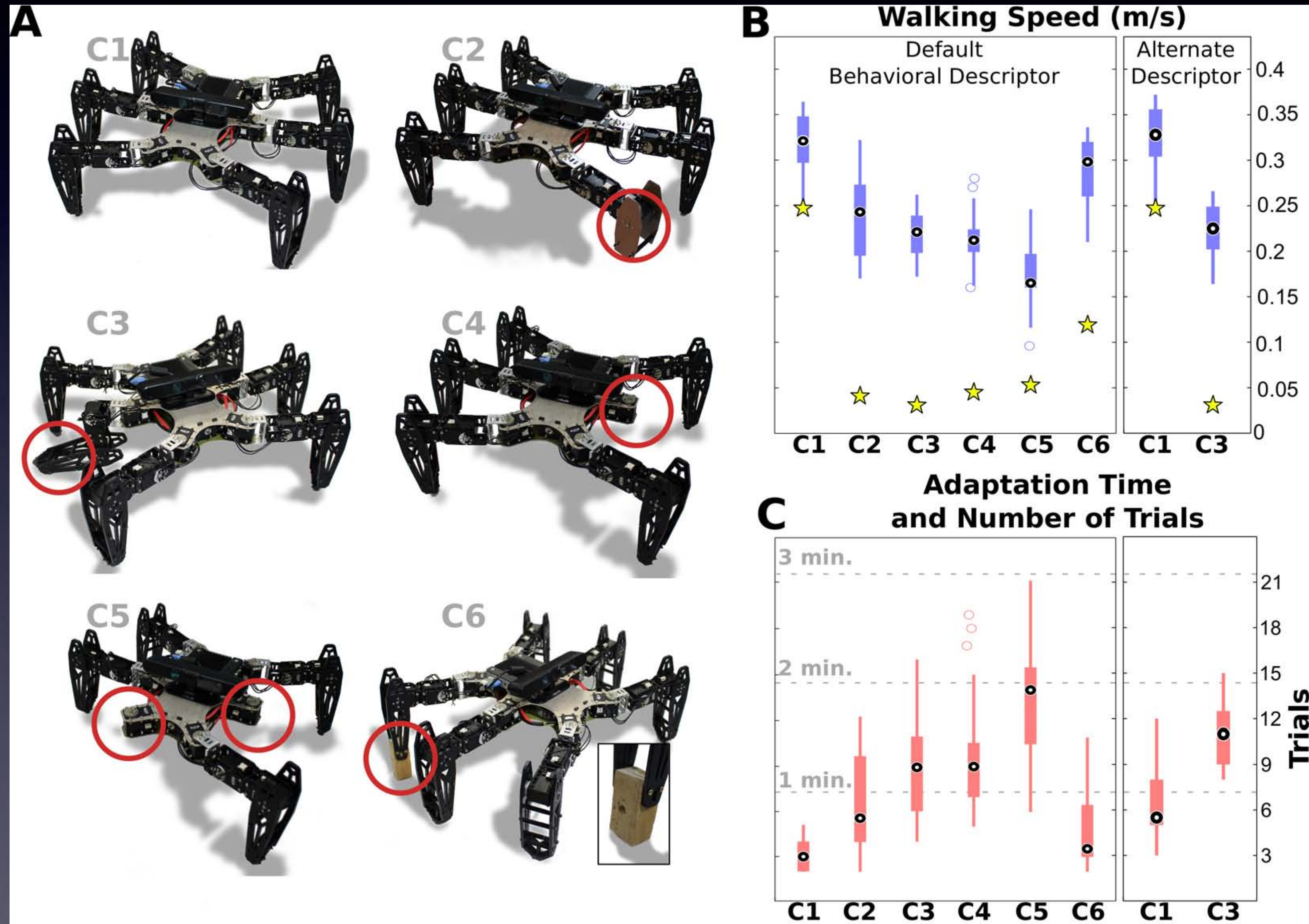
**Forward Speed (m/s)**

**0.24**  
**Trajectory**

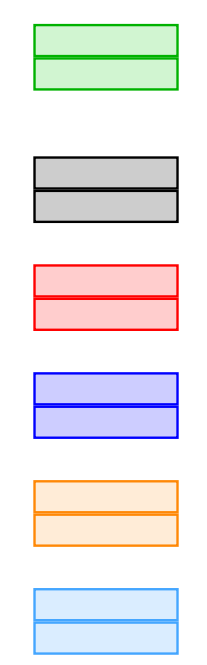
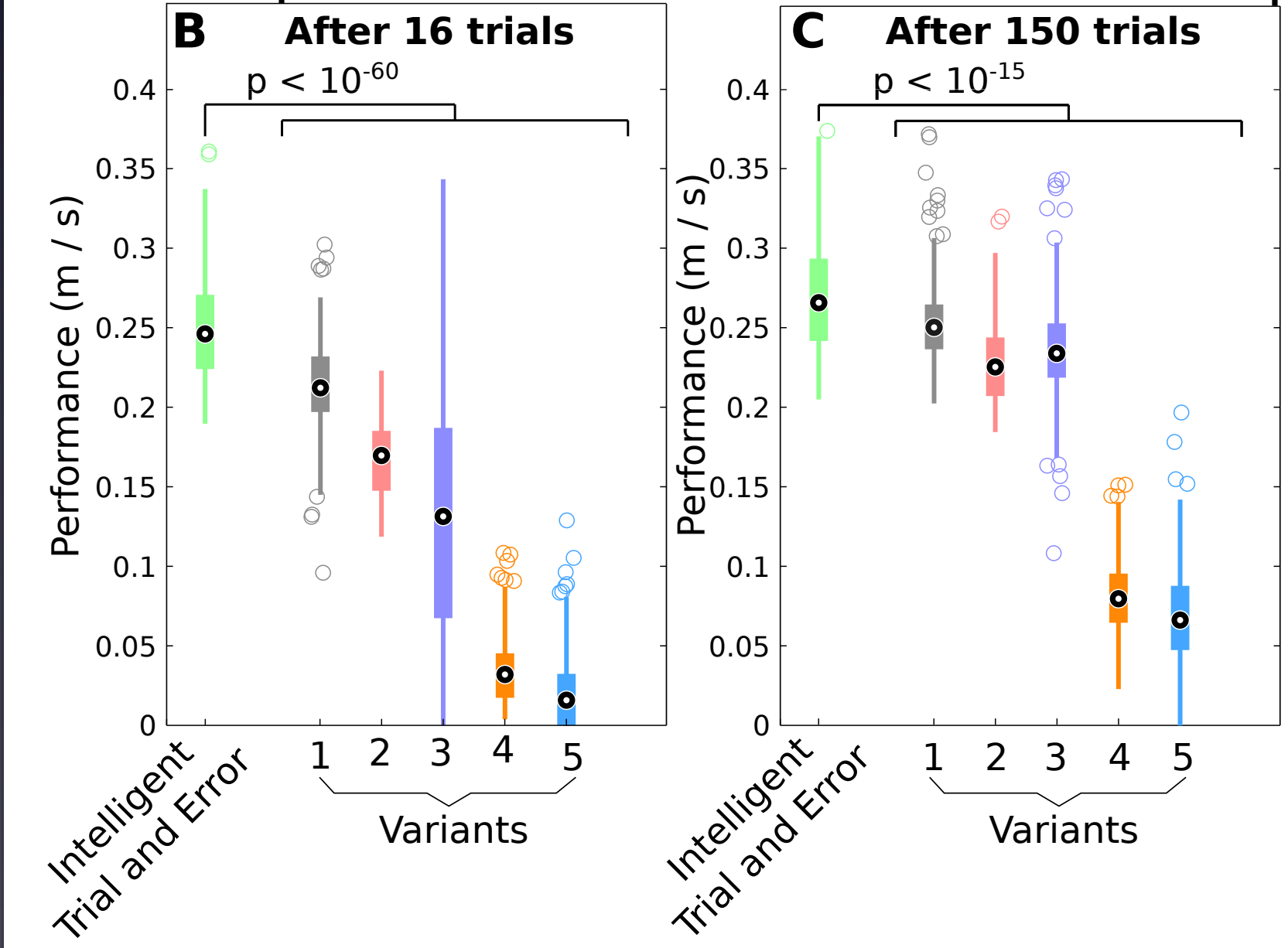
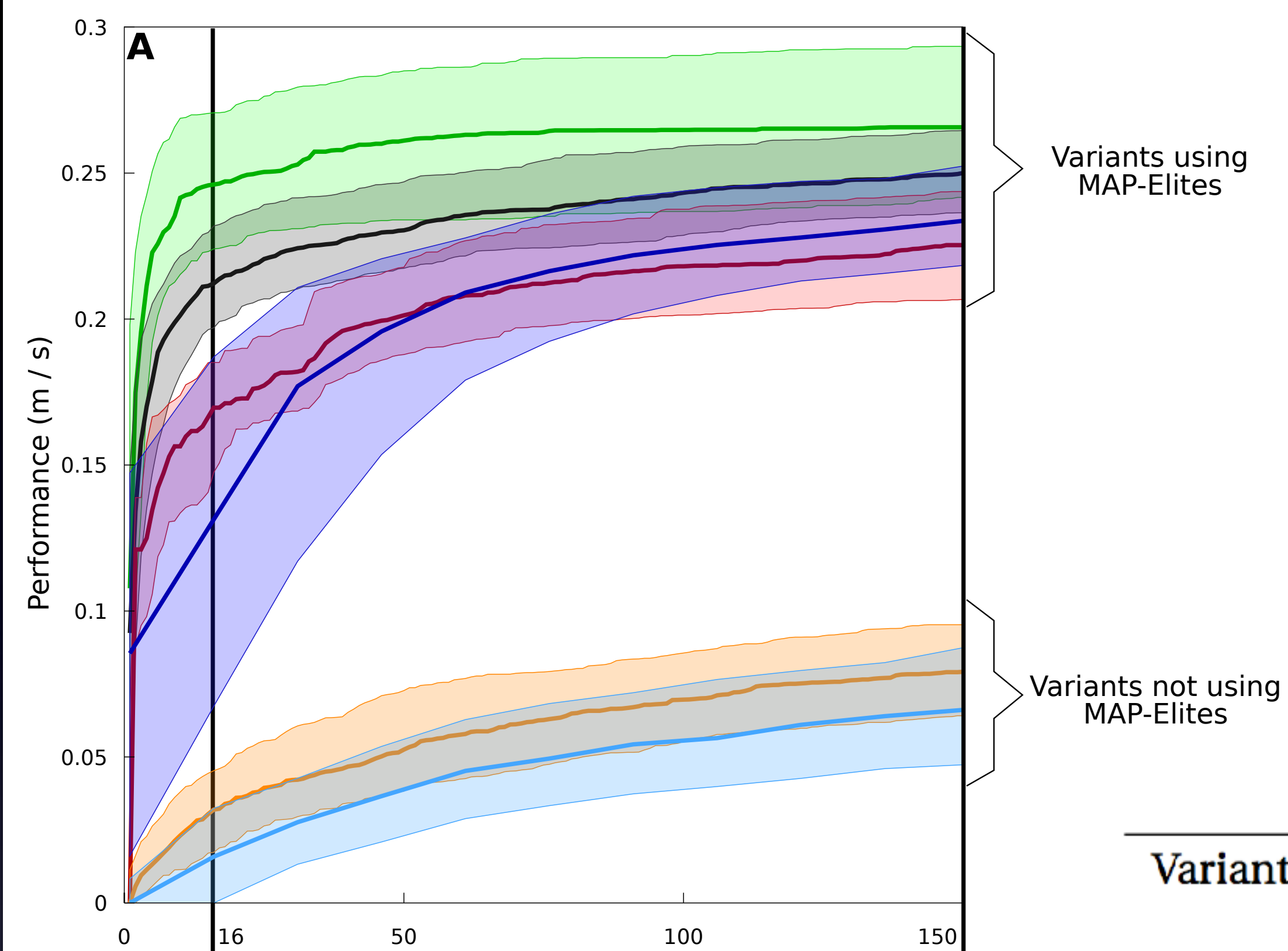




# Different Damage Conditions & Behavioral Descriptions







Variant	Behavioral repertoire creation	Priors on performance	Search algorithm
Intelligent Trial and Error	MAP-Elites	yes	Bayesian Optimization
Variant 1	MAP-Elites	none	random search
Variant 2	MAP-Elites	none	Bayesian optimization
Variant 3	MAP-Elites	none	policy gradient
Variant 4	none	none	Bayesian optimization
Variant 5	none	none	policy gradient



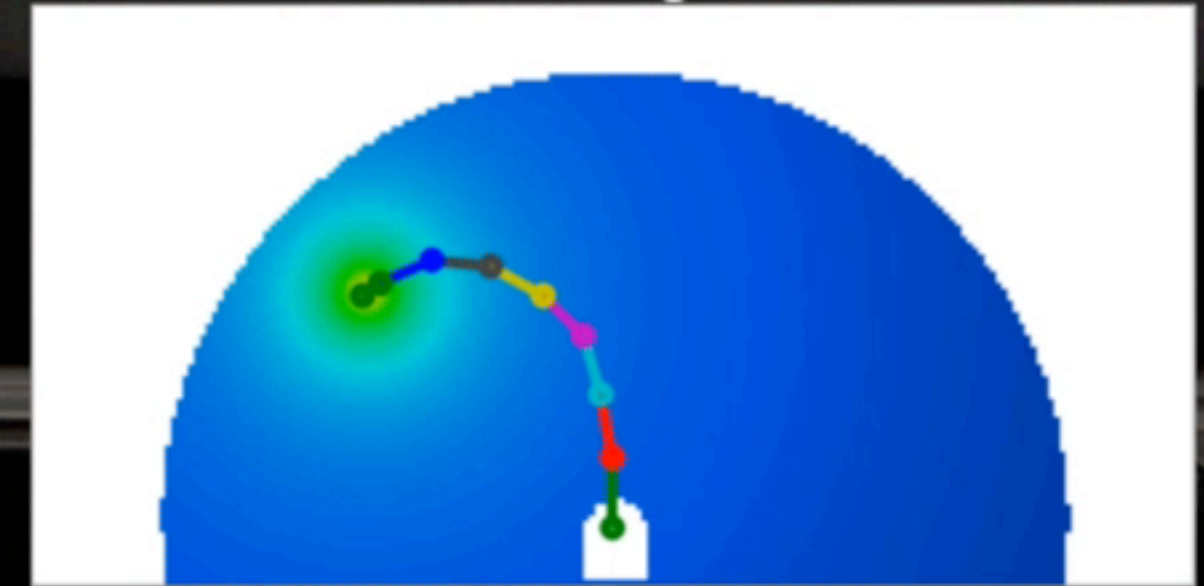
# Undamaged robotic arm





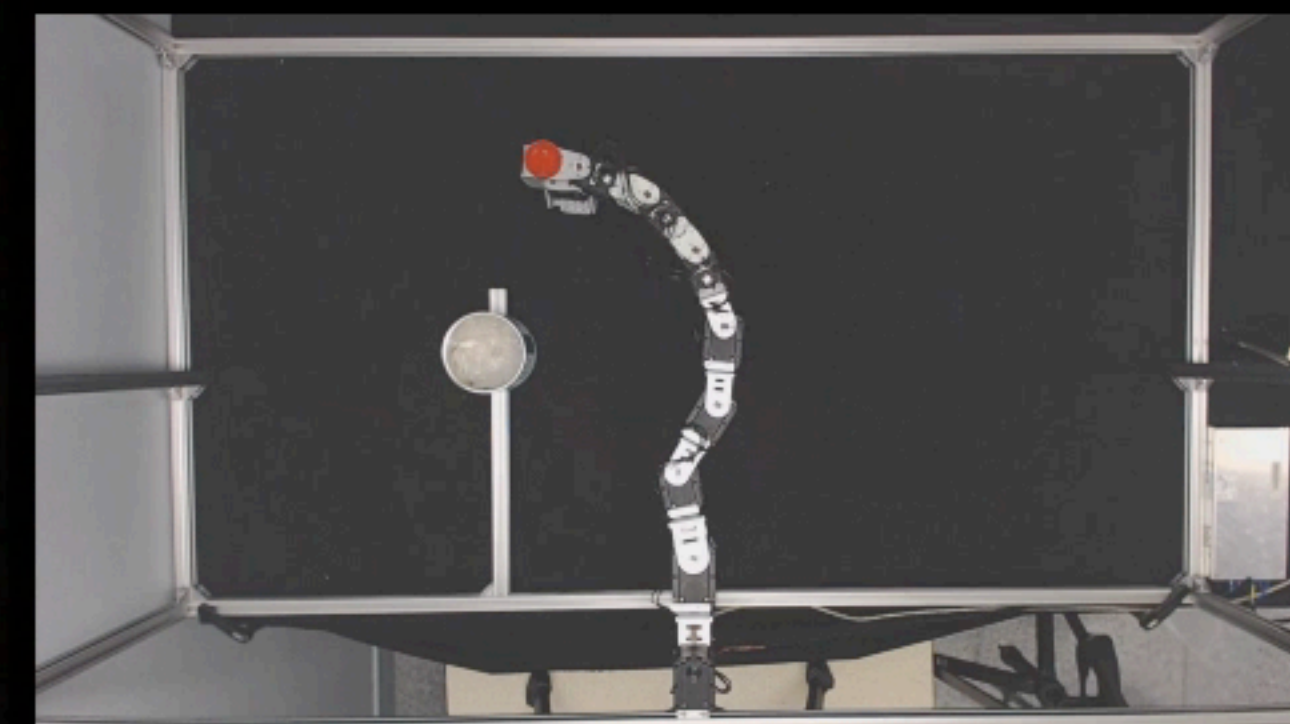
00:00:01

Behavior-performance  
Map



Position Error (m)  
0.24

Camera View





# Different Environments



# Deep Learning + IT&E

- Can swap in deep neural networks
- deep reinforcement learning

arXiv:1710.06117v2 [cs.RO] 18 Oct 2017

## Map-based Multi-Policy Reinforcement Learning: Enhancing Adaptability of Robots by Deep Reinforcement Learning

Ayaka Kume, Eiichi Matsumoto, Kuniyuki Takahashi, Wilson Ko and Jethro Tan

**Abstract**—In order for robots to perform mission-critical tasks, it is essential that they are able to quickly adapt to changes in their environment as well as to injuries and/or other bodily changes. Deep reinforcement learning has been shown to be successful in training robot control policies for operation in complex environments. However, existing methods typically employ only a single policy. This can limit the adaptability since a large environmental modification might require a completely different behavior compared to the learning environment. To solve this problem, we propose Map-based Multi-Policy Reinforcement Learning (MMPRL), which aims to search and store multiple policies that encode different behavioral features while maximizing the expected reward in advance of the environment change. Thanks to these policies, which are stored into a multi-dimensional discrete map according to its behavioral feature, adaptation can be performed within reasonable time without retraining the robot. An appropriate pre-trained policy from the map can be recalled using Bayesian optimization. Our experiments show that MMPRL enables robots to quickly adapt to large changes without requiring any prior knowledge on the type of injuries that could occur.

A highlight of the learned behaviors can be found here:  
<https://youtu.be/qwInb11XNOE>.

### I. INTRODUCTION

Humans and animals are well-versed in quickly adapting to changes in not only their surrounding environments, but also to changes to their own body, through previous experiences and information from their senses. Some example scenarios where such adaptation to environment changes takes place are walking in a highly crowded scene with a lot of other people and objects, walking on uneven terrain, or walking against a strong wind. On the other hand, examples of bodily changes could be wounds, incapability to use certain body parts due to task constraints, or when lifting or holding something heavy. In a future where robots are omnipresent and used in mission critical tasks, robots are not only expected to adapt to unfamiliar scenarios and disturbances autonomously, but also to recover from adversarial situations in order to continue and complete their tasks successfully. Furthermore, taking a long time to recover or adapt may result in mission failure, while external help might not be available or even desirable, for example in search-and-rescue missions. Therefore, robots need to be able to adapt to changes in both the environment and their own body state, within a limited amount of time.

Recently, deep reinforcement learning (DRL) has been shown to be successful in complex environments with both

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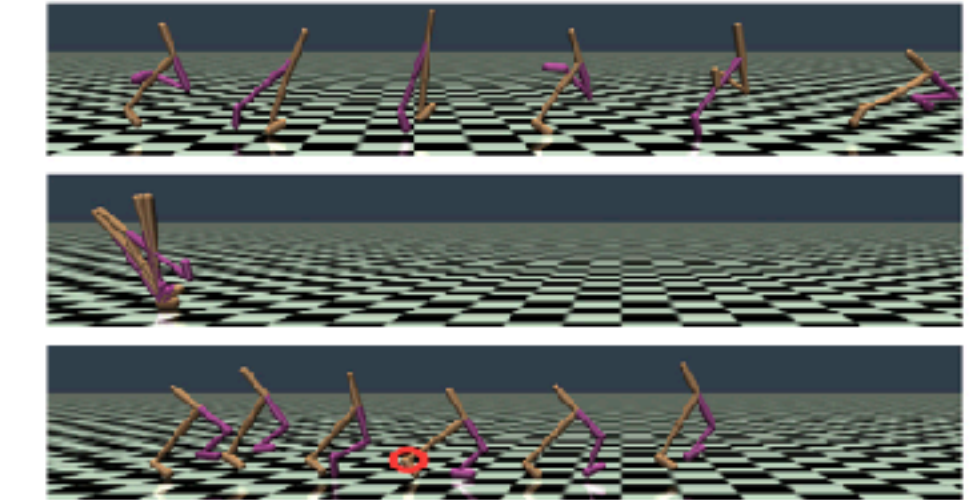


Fig. 1. Time lapse of the OpenAI Walker2D model walking for 360 time steps using a policy and succeeding while intact (top), failing due to a joint being limited (middle), and succeeding again post-adaptation despite the limited joint marked in red by selecting an appropriate policy using our proposed method (bottom).

high-dimensional action and state spaces [1], [2]. The success of these studies relies on a large number of samples in the orders of millions, so re-training the policy after the environment change is unrealistic. Some methods avoid re-training by increasing the robustness of an acquired policy and thus increasing adaptability. In robust adversarial RL, for example, an agent is trained to operate in the presence of a destabilizing adversary that applies disturbance forces to the system [3]. However, using only a single policy limits the adaptability of the robot to large modifications which requires completely different behaviors compared to its learning environment.

We propose Map-based Multi-Policy Reinforcement Learning (MMPRL), which trains many different policies by combining DRL and the idea of using a behavior-performance map [4]. MMPRL aims to search and store multiple possible policies which have different behavioral features while maximizing the expected reward in advance in order to adapt to the unknown environment change. For example, there are various ways for multi-legged robots to move forward: walking, jumping, running, side-walking, etc. In this example, only the fastest policy would survive when using ordinary RL, whereas MMPRL saves all of them as long as they have different behavioral features. These policies are stored into a multi-dimensional discrete map according to its behavioral feature. As a result, adaptation can be done within reasonable time without re-training the robot, but just by searching an appropriate pre-trained policy from the map using an efficient method like Bayesian optimization, see Figure 1. We show that, using MMPRL, robots are able to quickly adapt to large changes with little knowledge about what kind of accidents will happen.



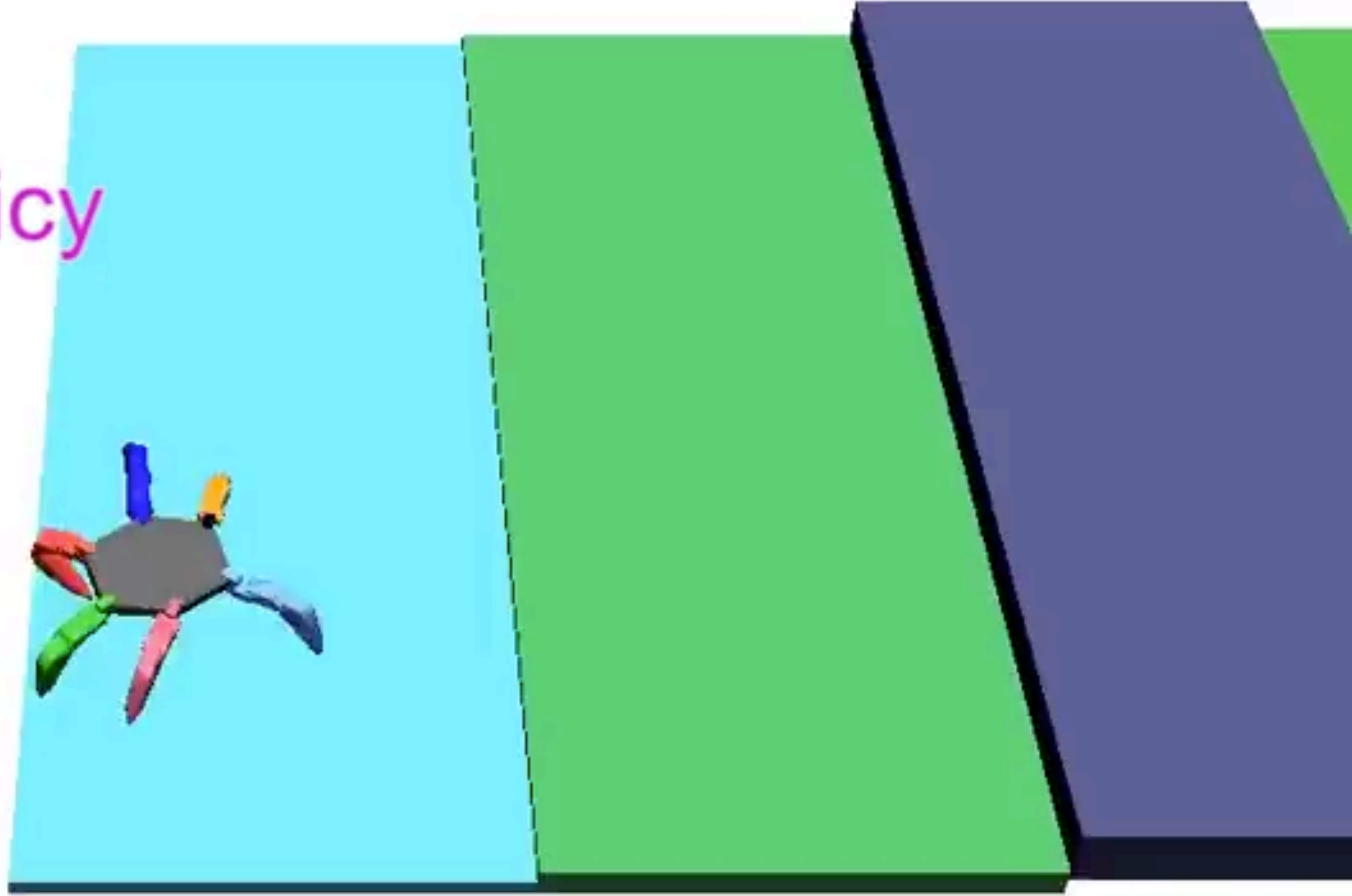


Deep reinforcement learning is promising for robot control, but existing methods cannot deal with large unexpected changes



# MMPRL

## Stairs, initial policy





# Other Applications of Quality Diversity Algorithms



# Go-Explore

A new approach for hard-exploration problems



Adrien Ecoffet



Joost Huizinga



Joel Lehman



Ken Stanley\*



Jeff Clune\*



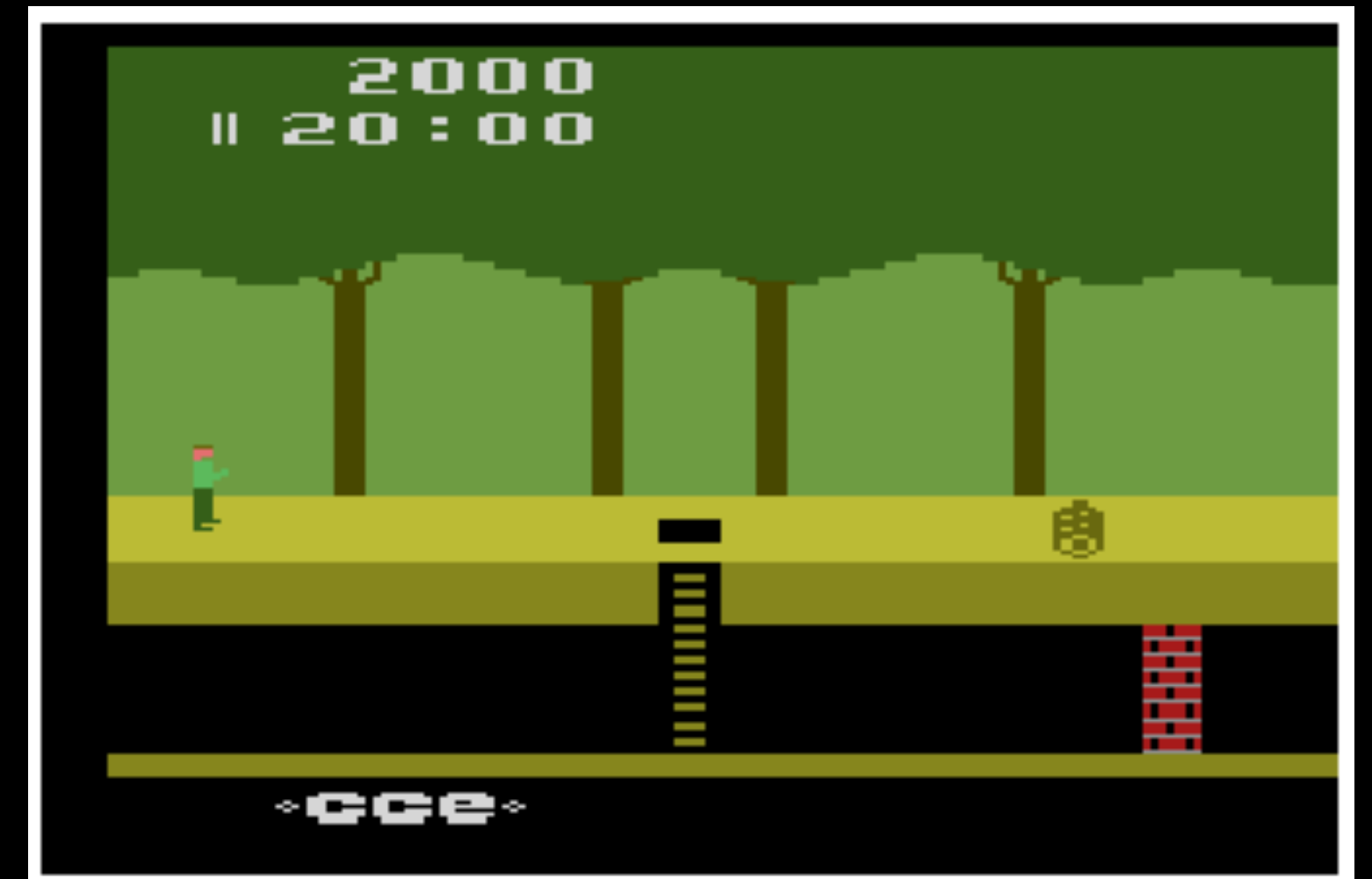
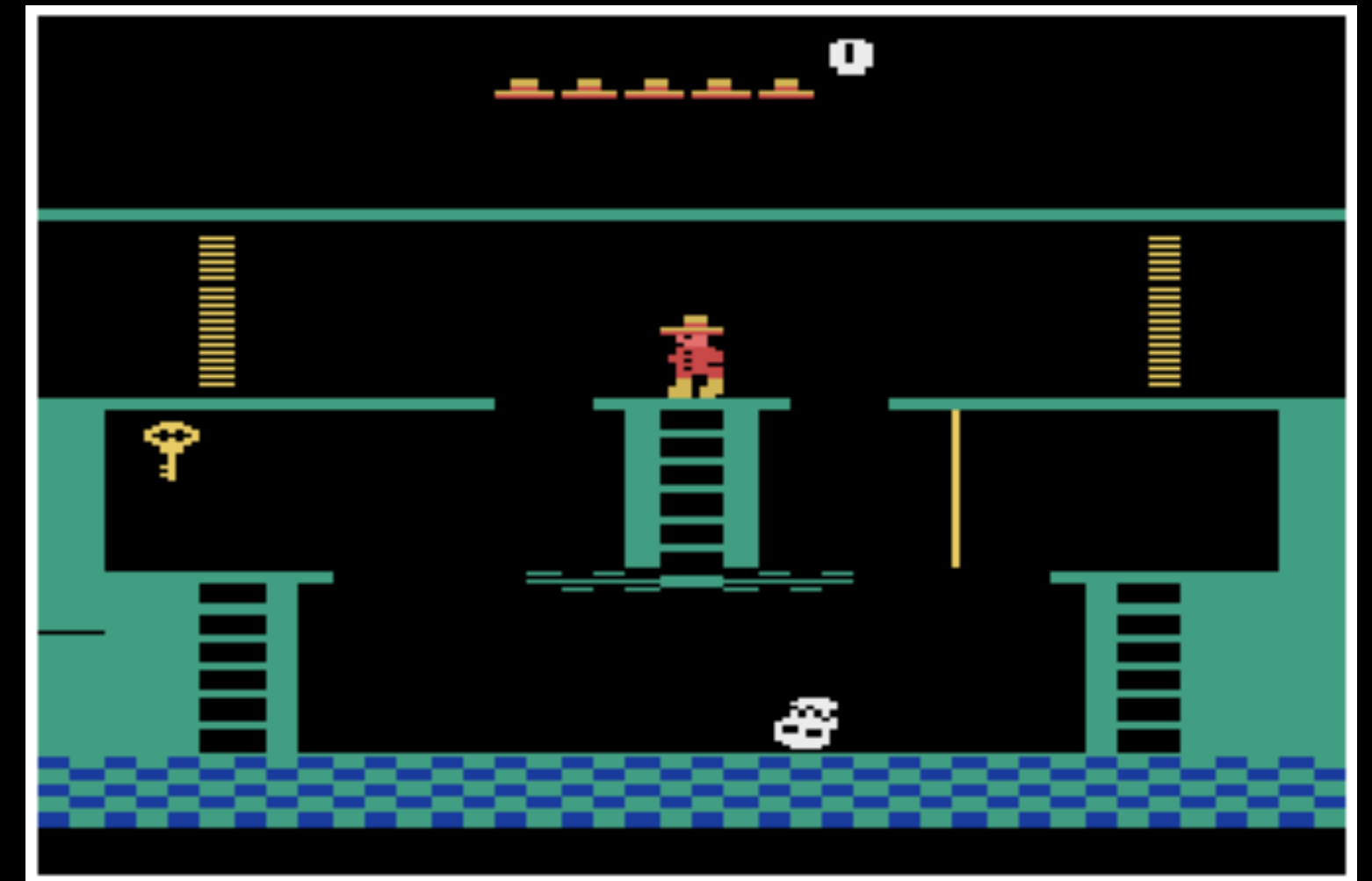
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# Grand Challenge in Deep RL

## Effective Exploration

- Hard-exploration problems
  - Sparse-reward problems
    - rare feedback
    - Montezuma's Revenge
  - Deceptive problems
    - wrong feedback (wrt global optimum)

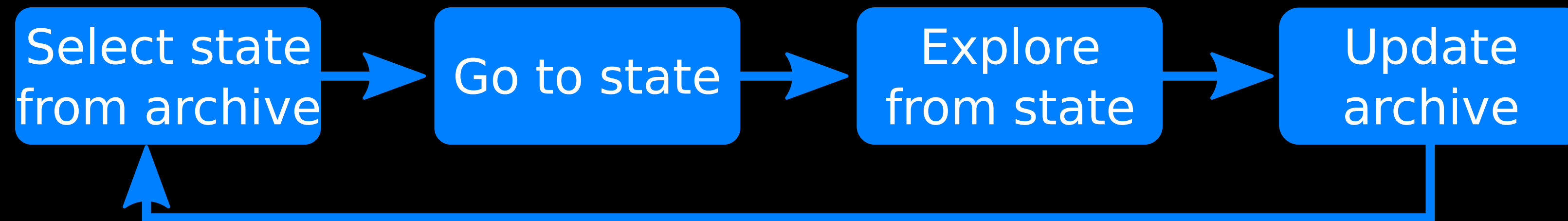




# Go-Explore

Separates learning a solution into two phases

## Phase 1: Explore Until Solved



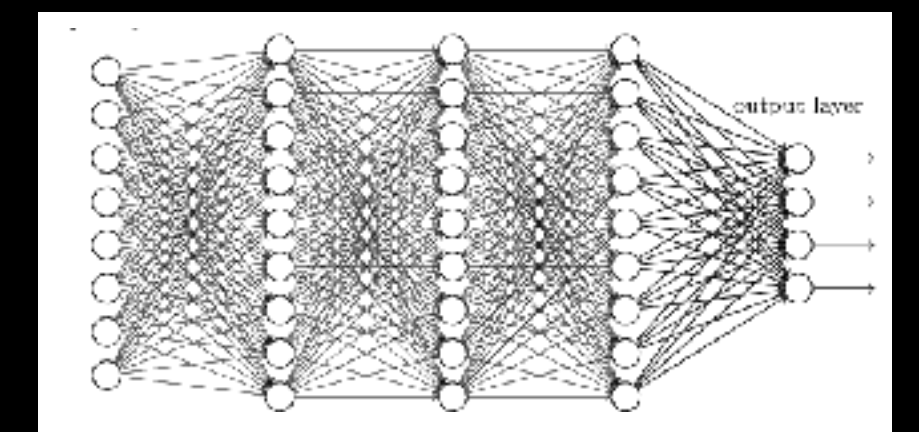
current work:  
exploits deterministic training, no neural networks



## Phase 2: Robustify (if necessary)



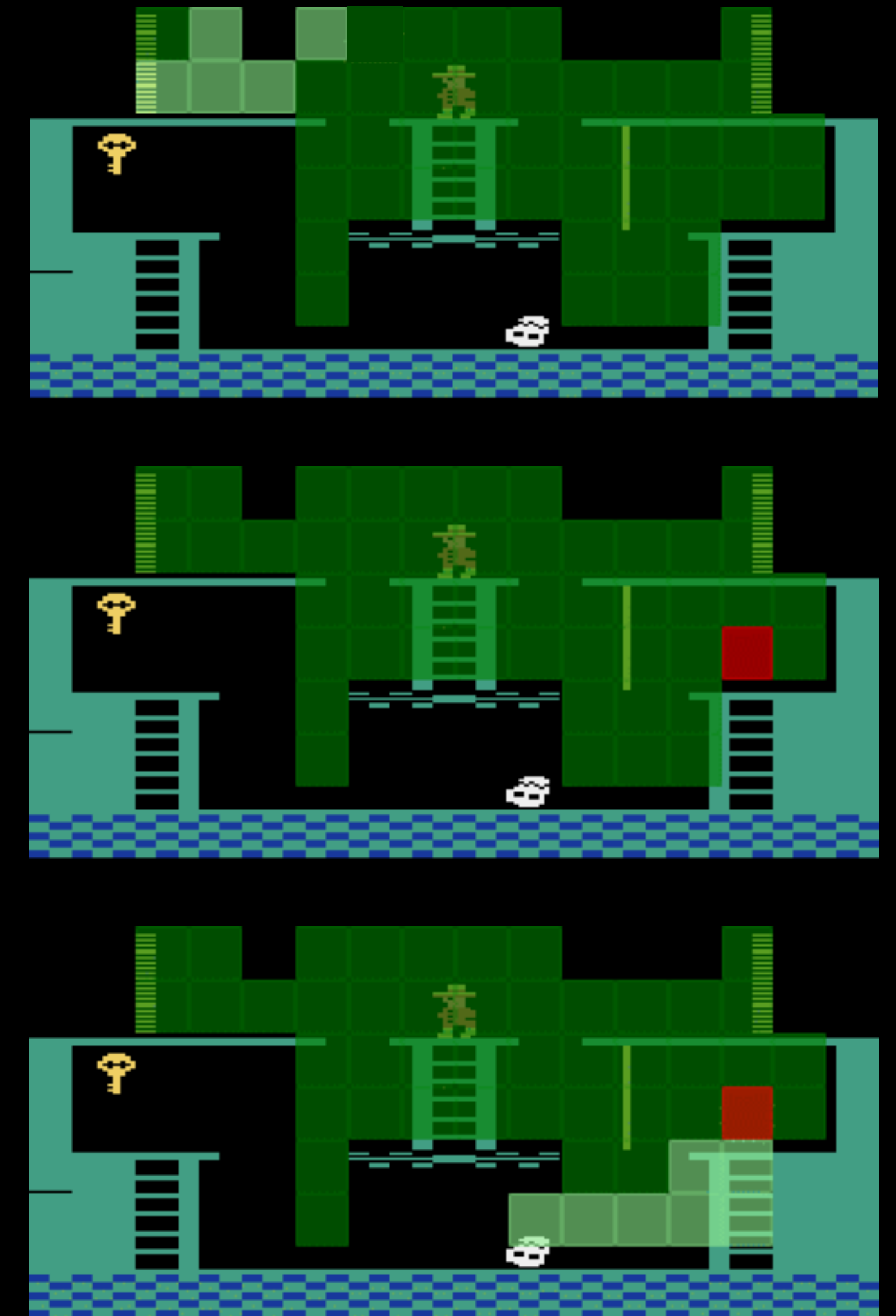
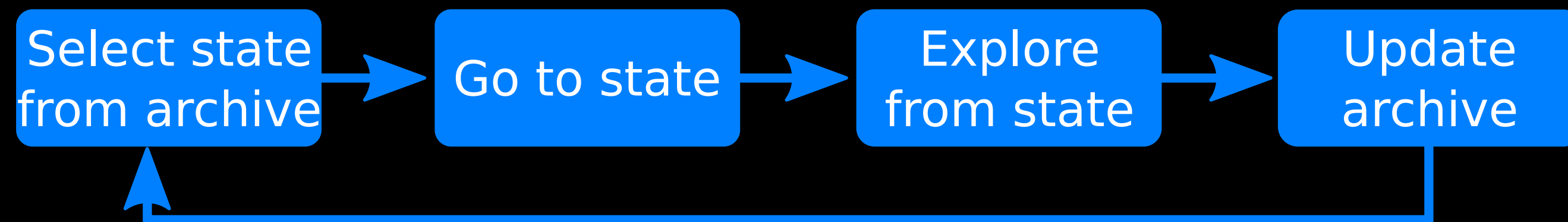
produces neural network  
robust to stochasticity





# Go-Explore: Phase 1

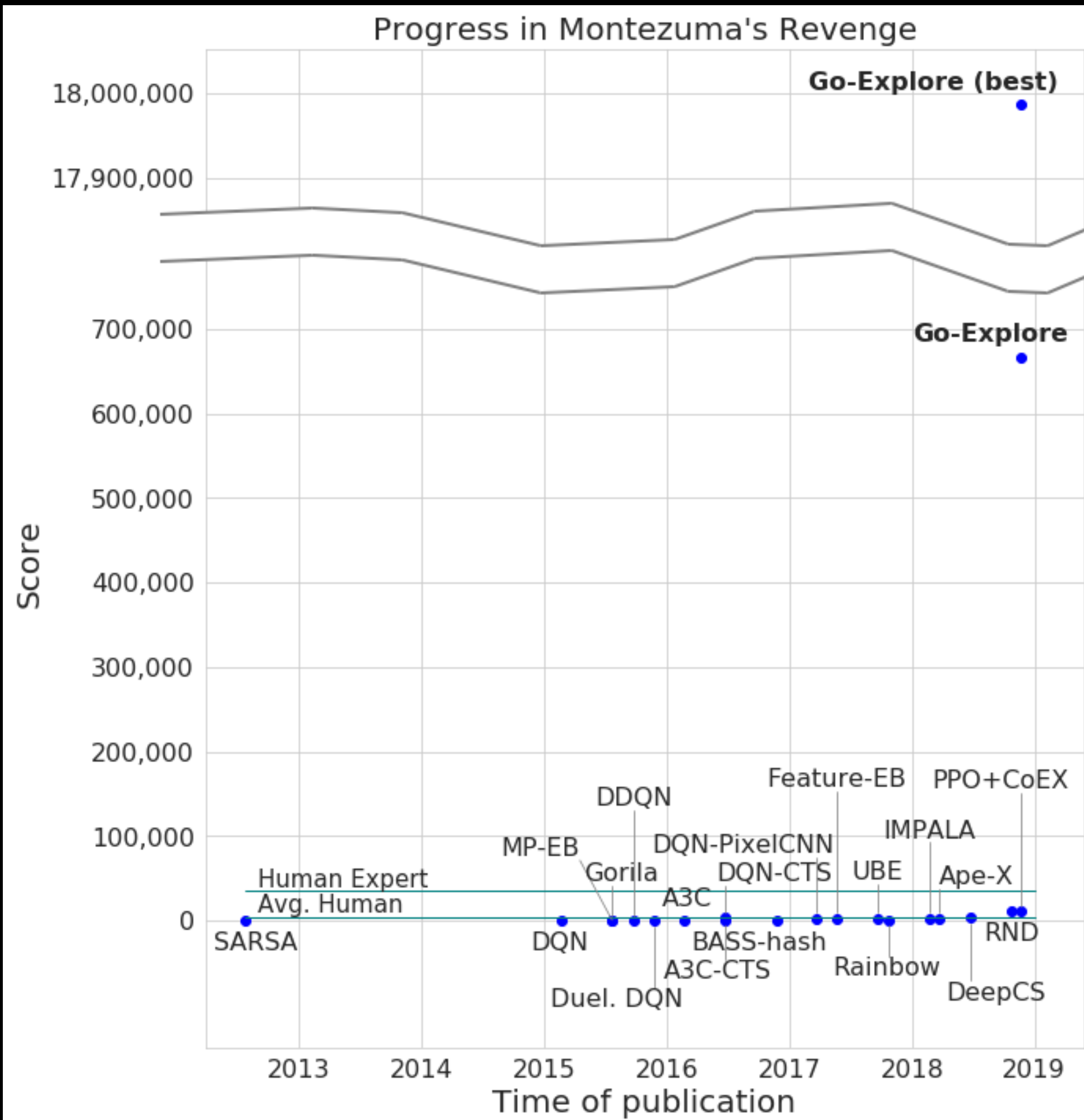
- Phase 1: explore until solved
  - A. choose a state from archive
  - B. Go back to it
  - C. Explore from it
  - D. add newly found states to archive
    - if better, replace old way of reaching state



An enhanced version of MAP-Elites



# Montezuma's Revenge Results

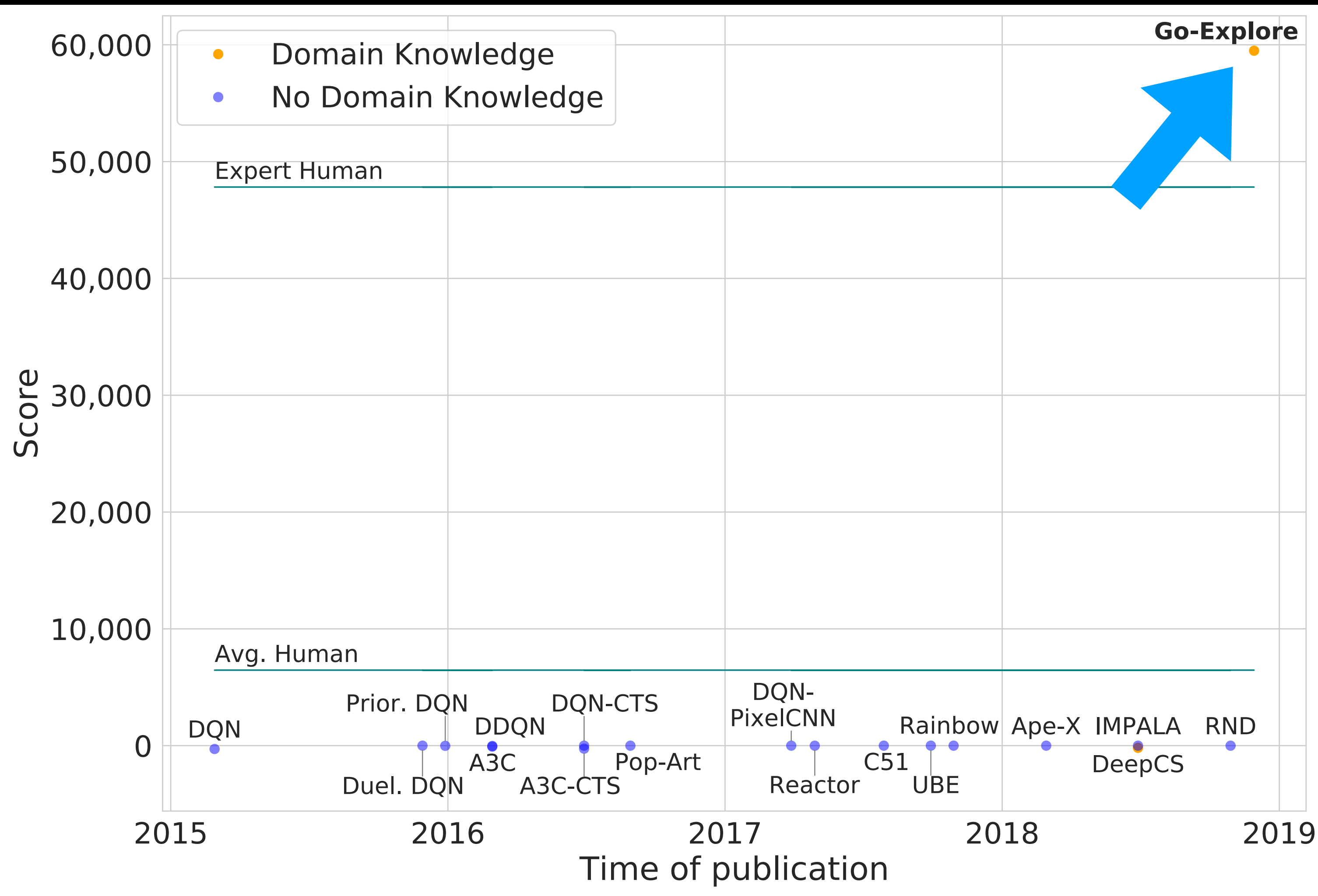


- Average score: 660,000
- Best Go-Explore policy
  - scores ~18 million
  - solved 1,141 levels
- Beats human world record
  - 1,219,200

Note: exploits deterministic training  
(unlike Burda et al. 2018)



# Pitfall Results

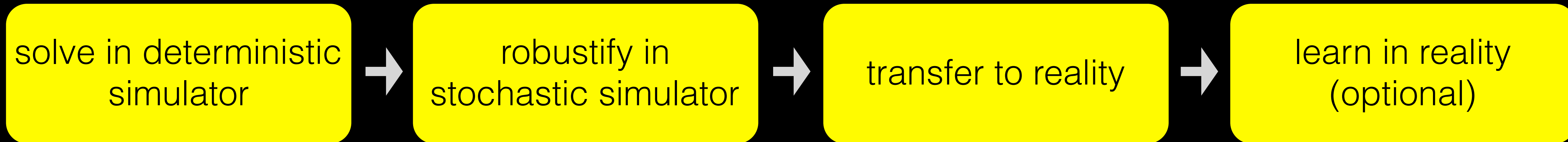


- no prior scores  $> 0$ 
  - without:
    - fully deterministic test environment
    - or human demonstration
- average score: 59,000
- max: 107,000
- significantly advances state of the art



# Robotics

- Solve hard problems in simulation
  - “Robot, find survivors”



e.g. intelligent trial & error

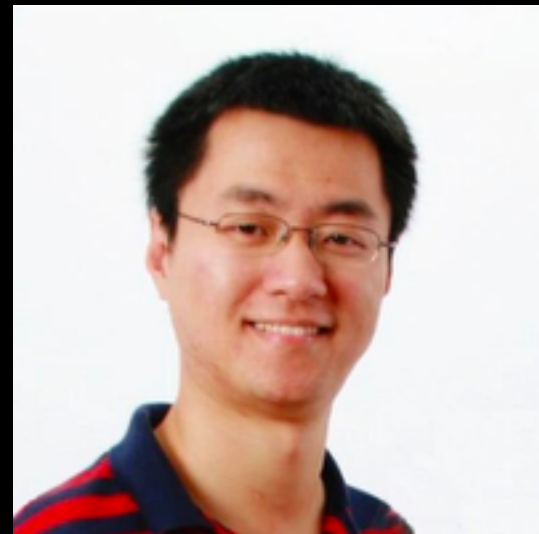
Cully, Tarapore, Mouret, & Clune





# Automatically generating training data and training environments

- Paired Open-Ended Trailblazer (POET)
- Generates Challenges and Solutions



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\*Co-senior authors

Uber Engineering AI Architecture Culture General Engineering Mobile Open Source Uber Data

## POET: Endlessly Generating Increasingly Complex and Diverse Learning Environments and their Solutions through the Paired Open-Ended Trailblazer

Rui Wang, Joel Lehman, Jeff Clune, and Kenneth O. Stanley January 8, 2019

Jeff Clune and Kenneth O. Stanley were co-senior authors.

**Diversity and complexity keep increasing (unlike in traditional optimization)**

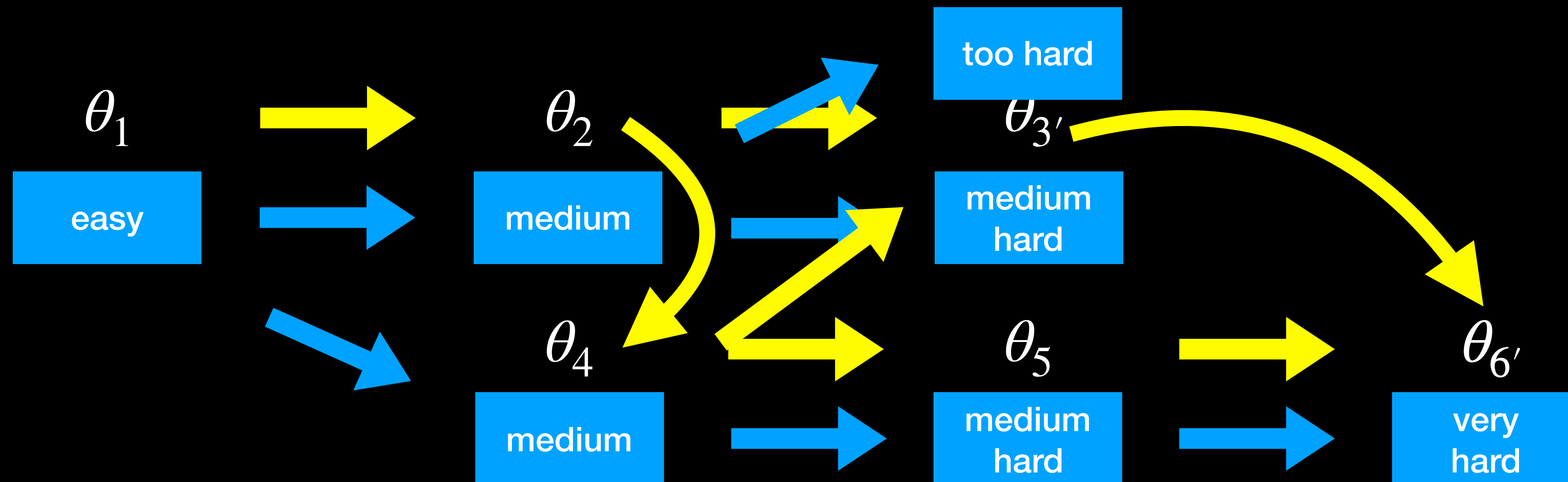
We are interested in [open-endedness](#) at Uber AI Labs because it offers the potential for generating a diverse and ever-expanding curriculum for machine learning entirely on its own. Having vast amounts of data often fuels success in machine learning, and we are thus working to create algorithms that generate their own training data.

Popular Articles

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# POET

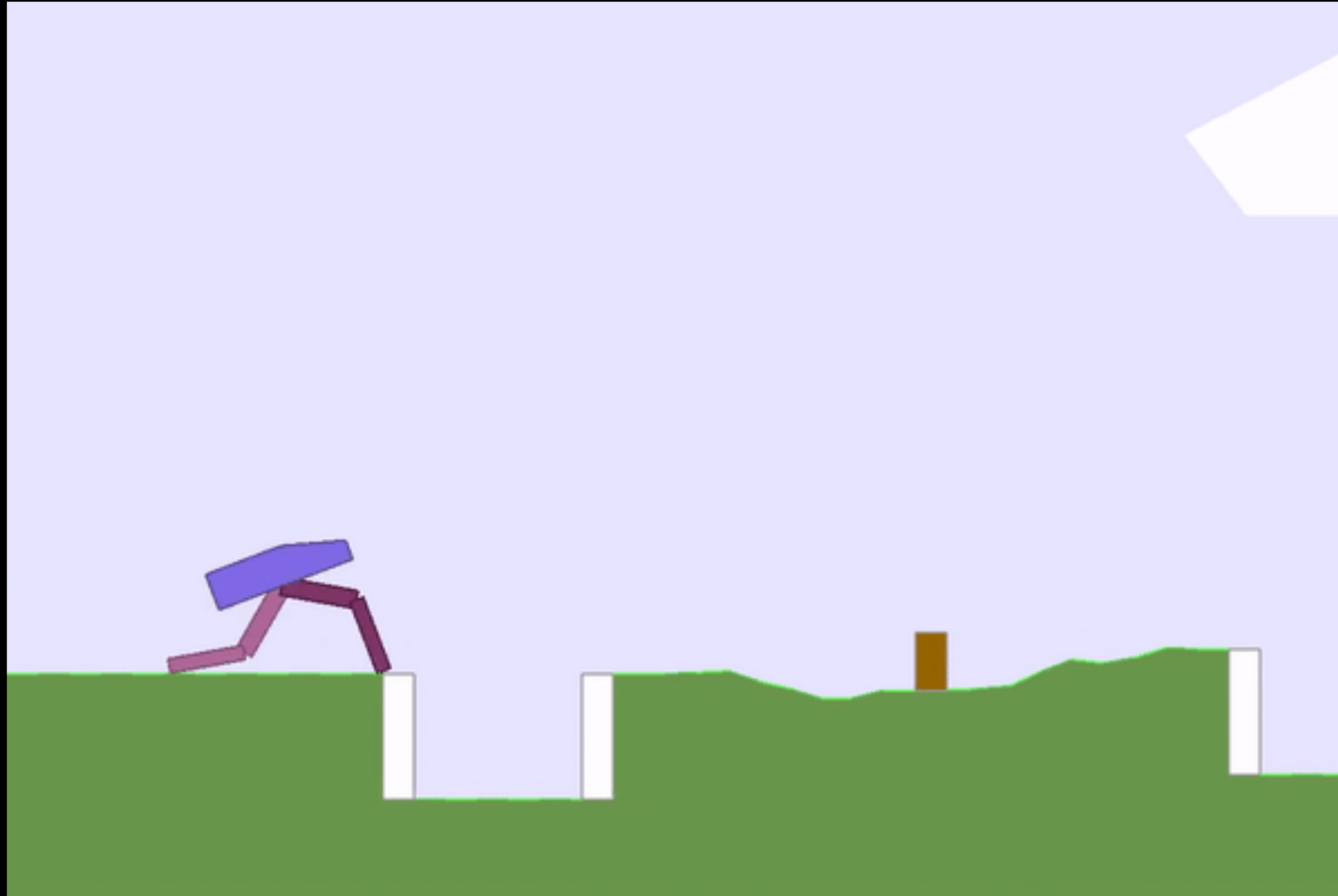


direct optimization fails

direct-path curriculum fails

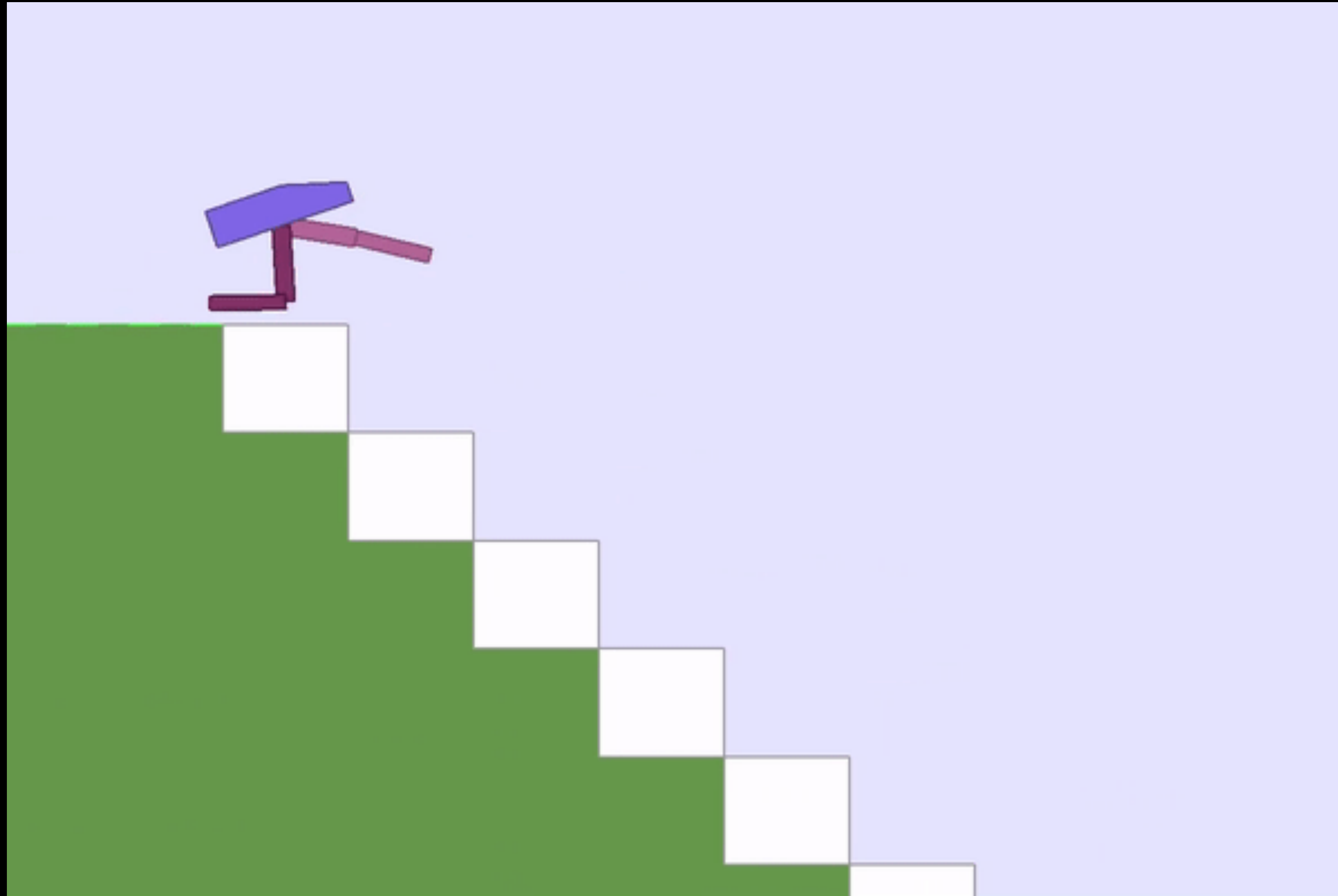


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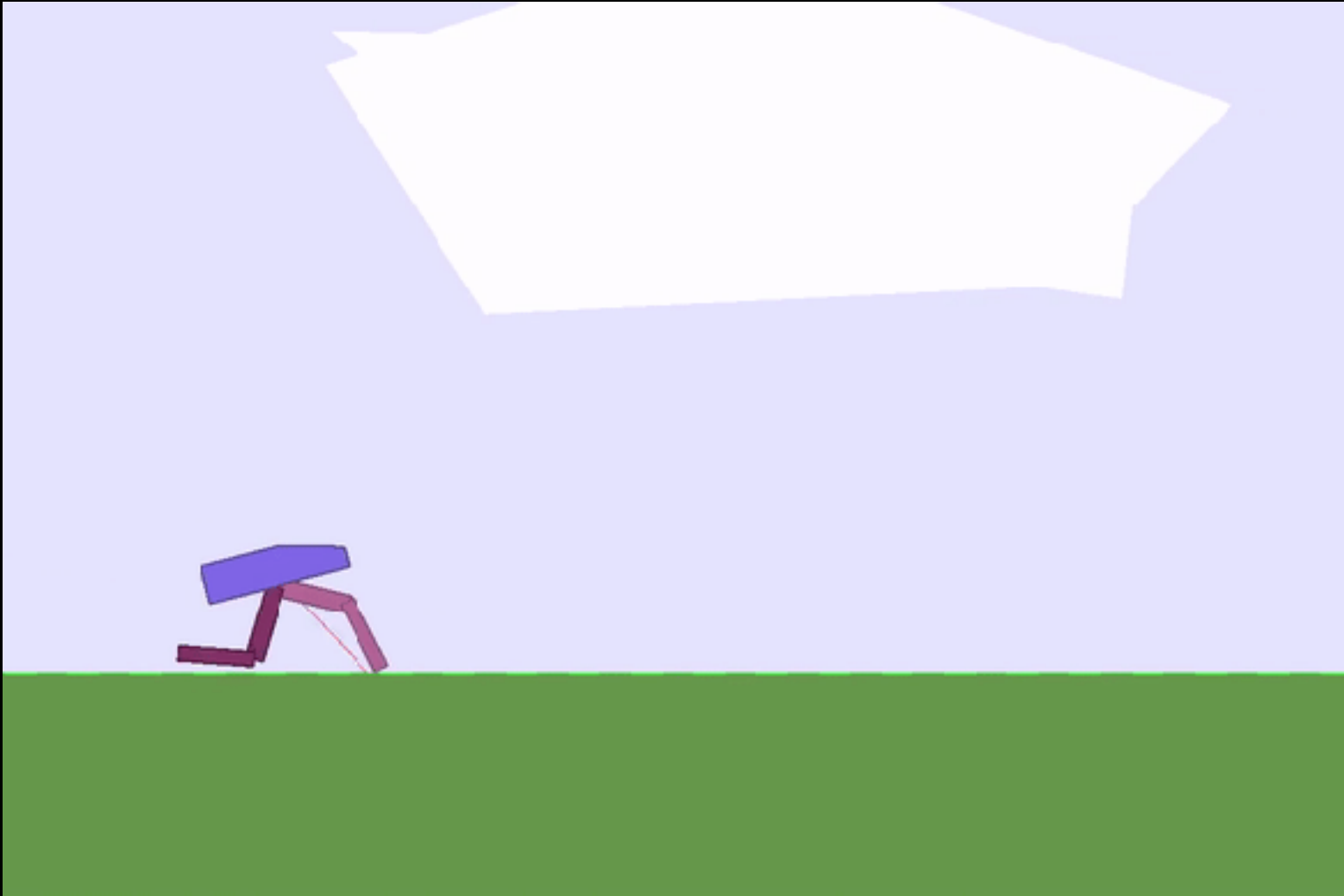




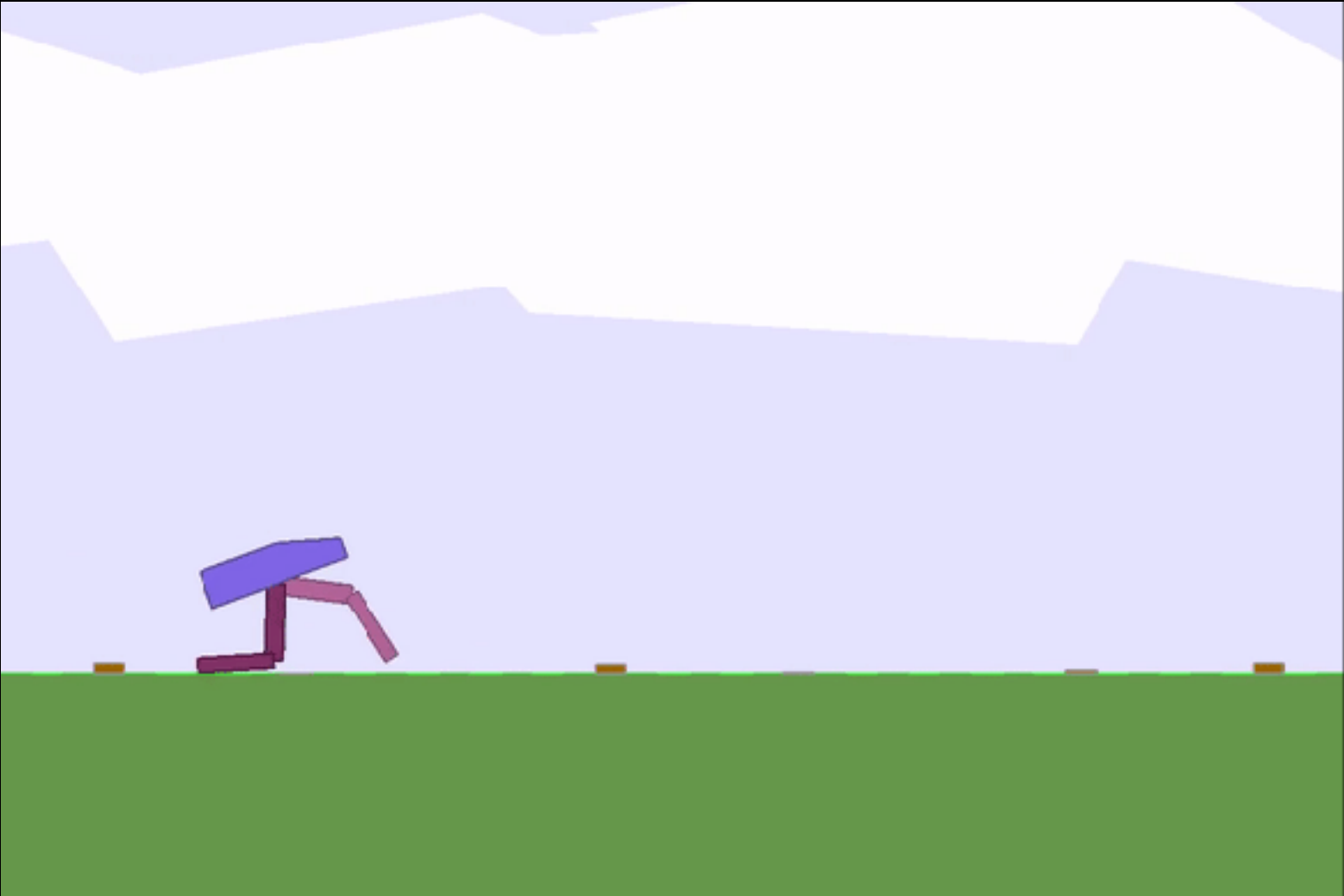
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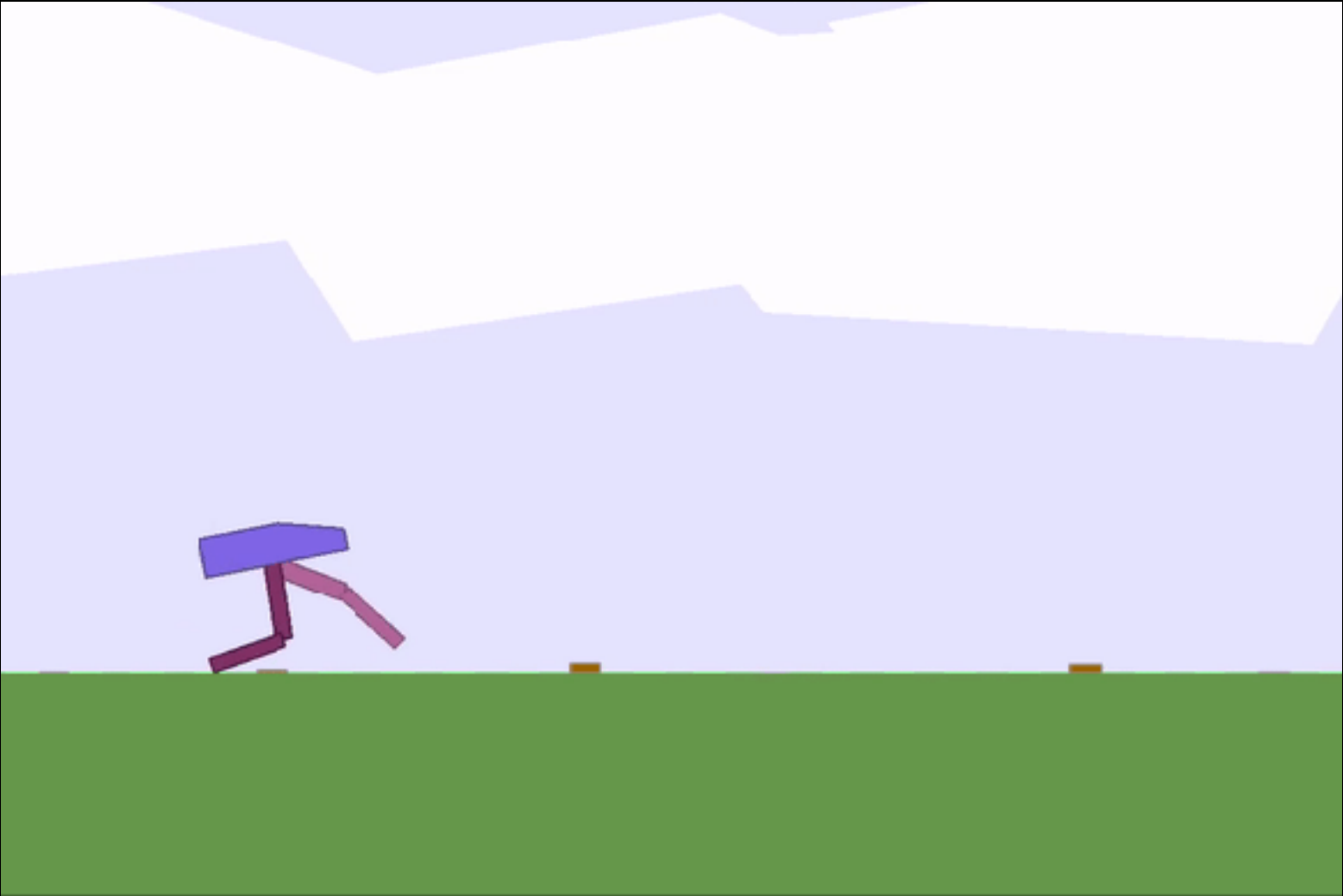




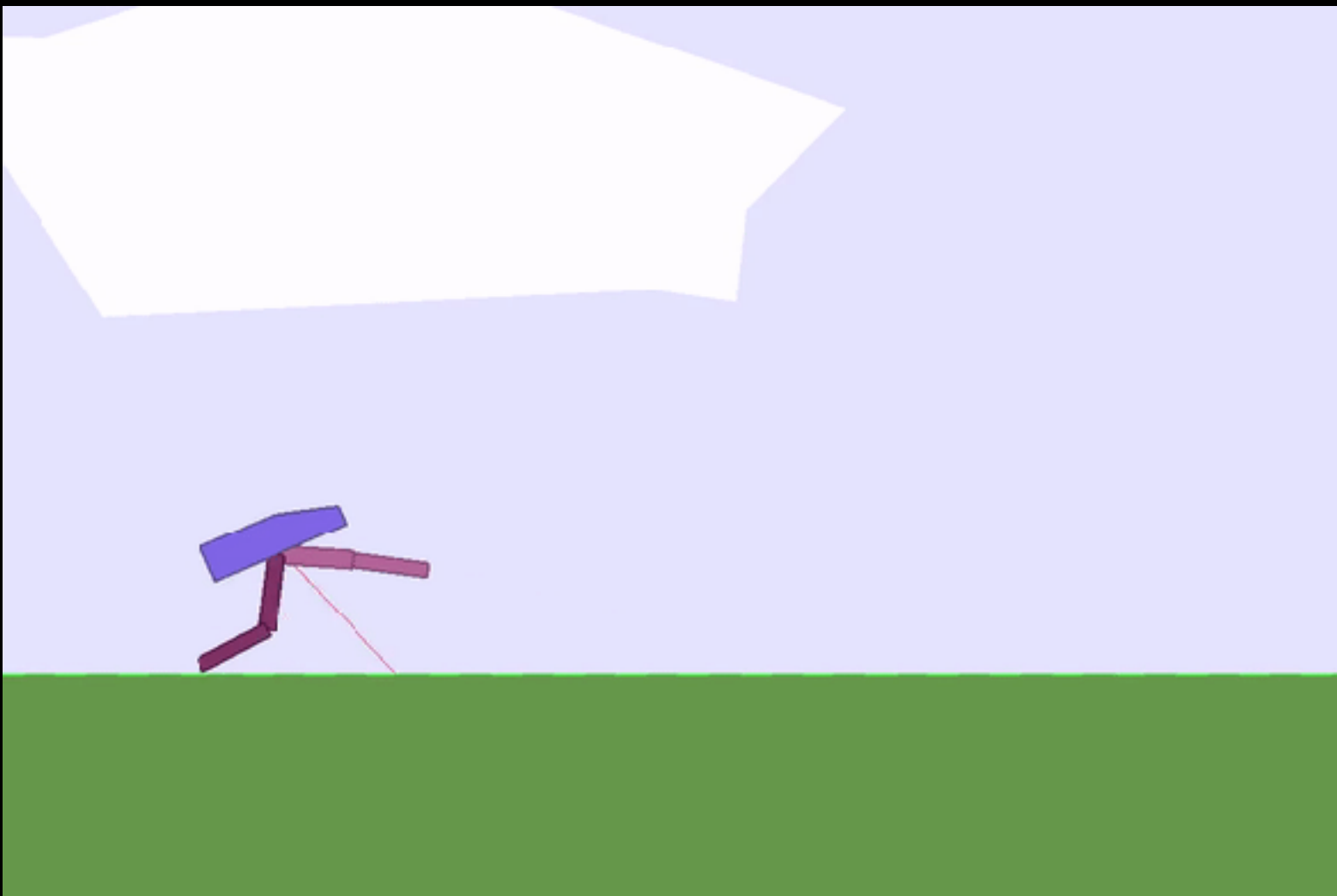
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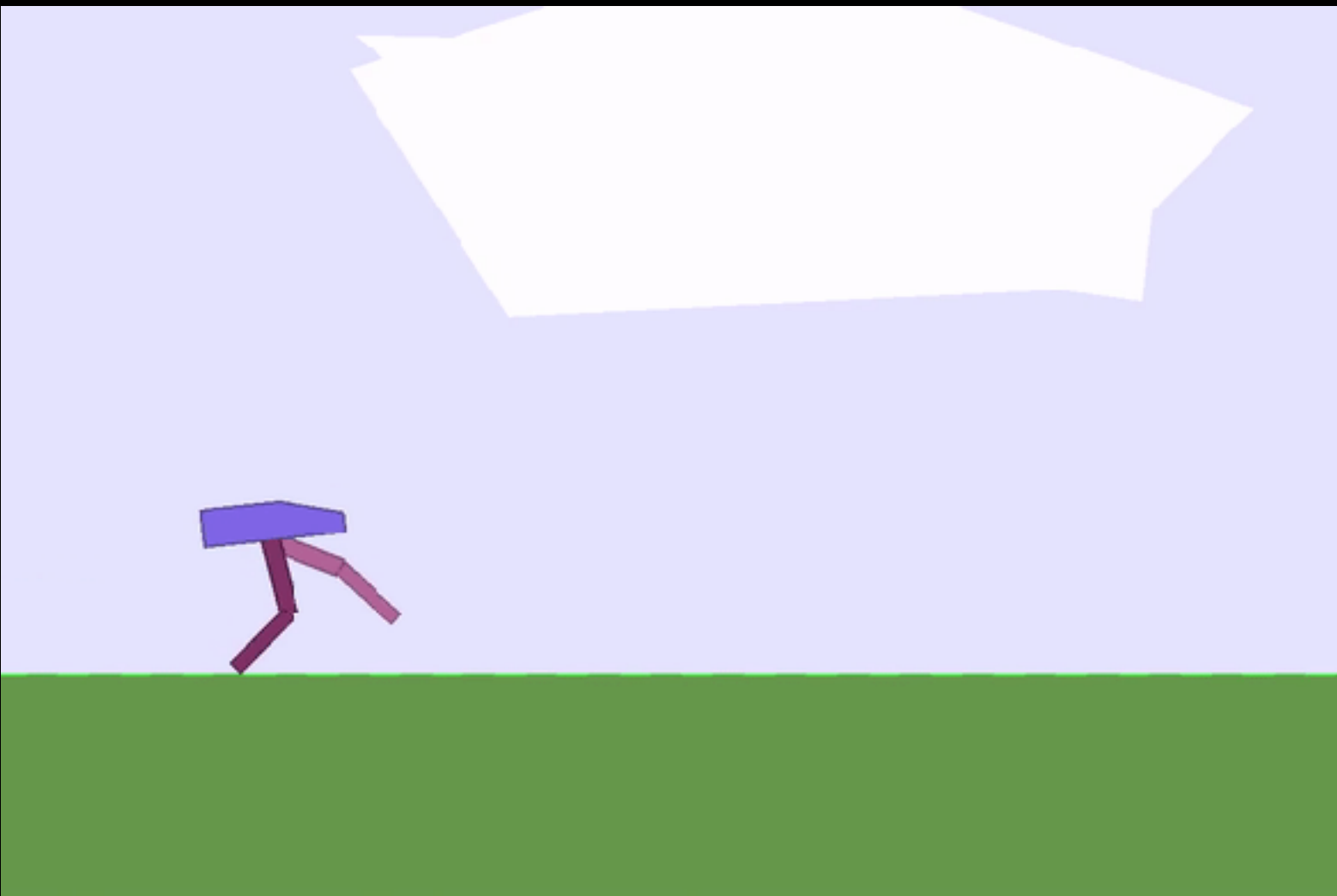
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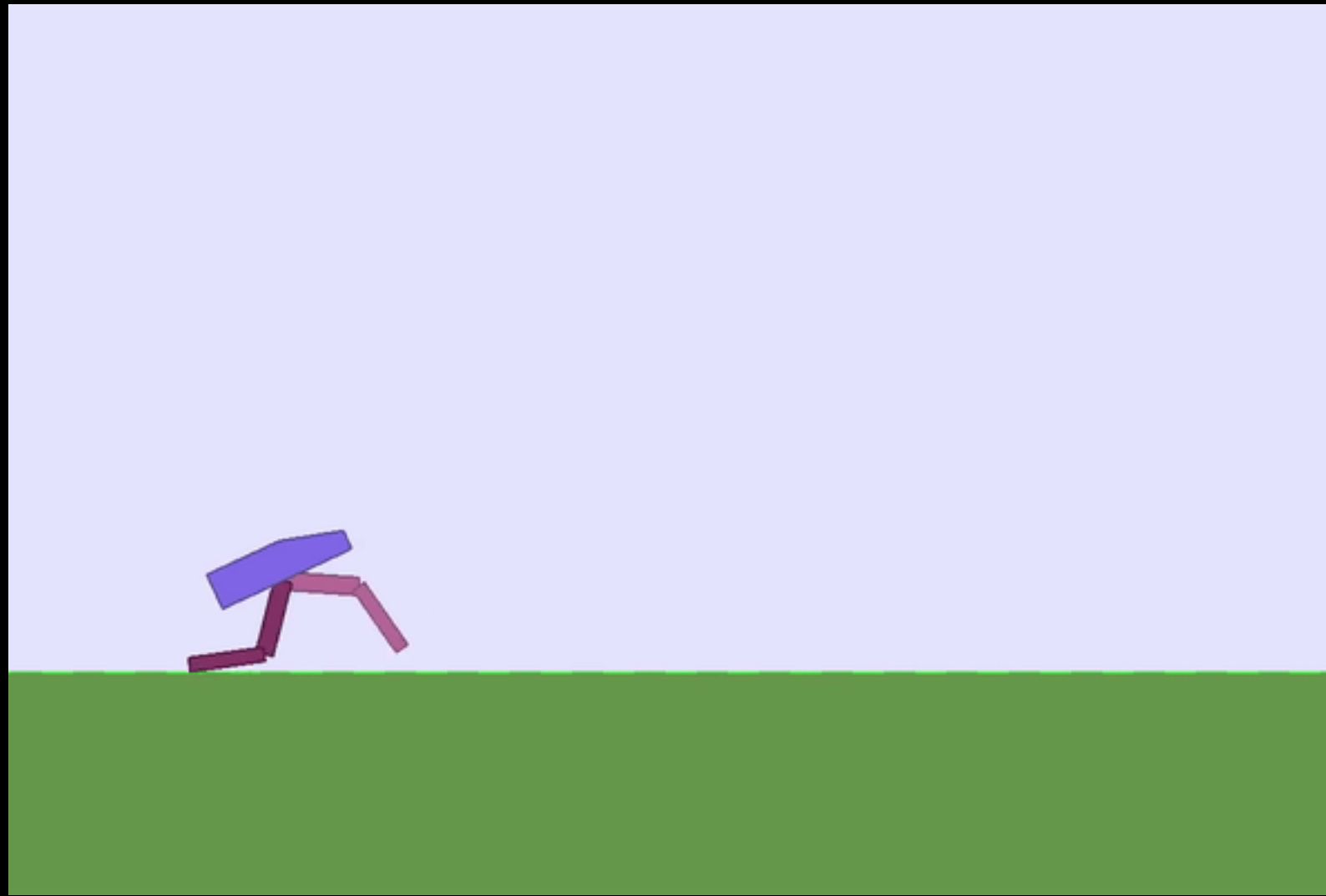
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# Conclusions: Intelligent Trial & Error

- State of the Art Robot Damage Recovery
  - adaptation, more broadly
- Adapts in  $< 2$  minutes
- Combines
  - expensive creativity of optimization (e.g. deep RL), in simulation
  - with data efficiency of Bayesian optimization, in the real world
- Shows benefits of learning diverse, high-performing sets of policies:  
“Quality Diversity Algorithms”





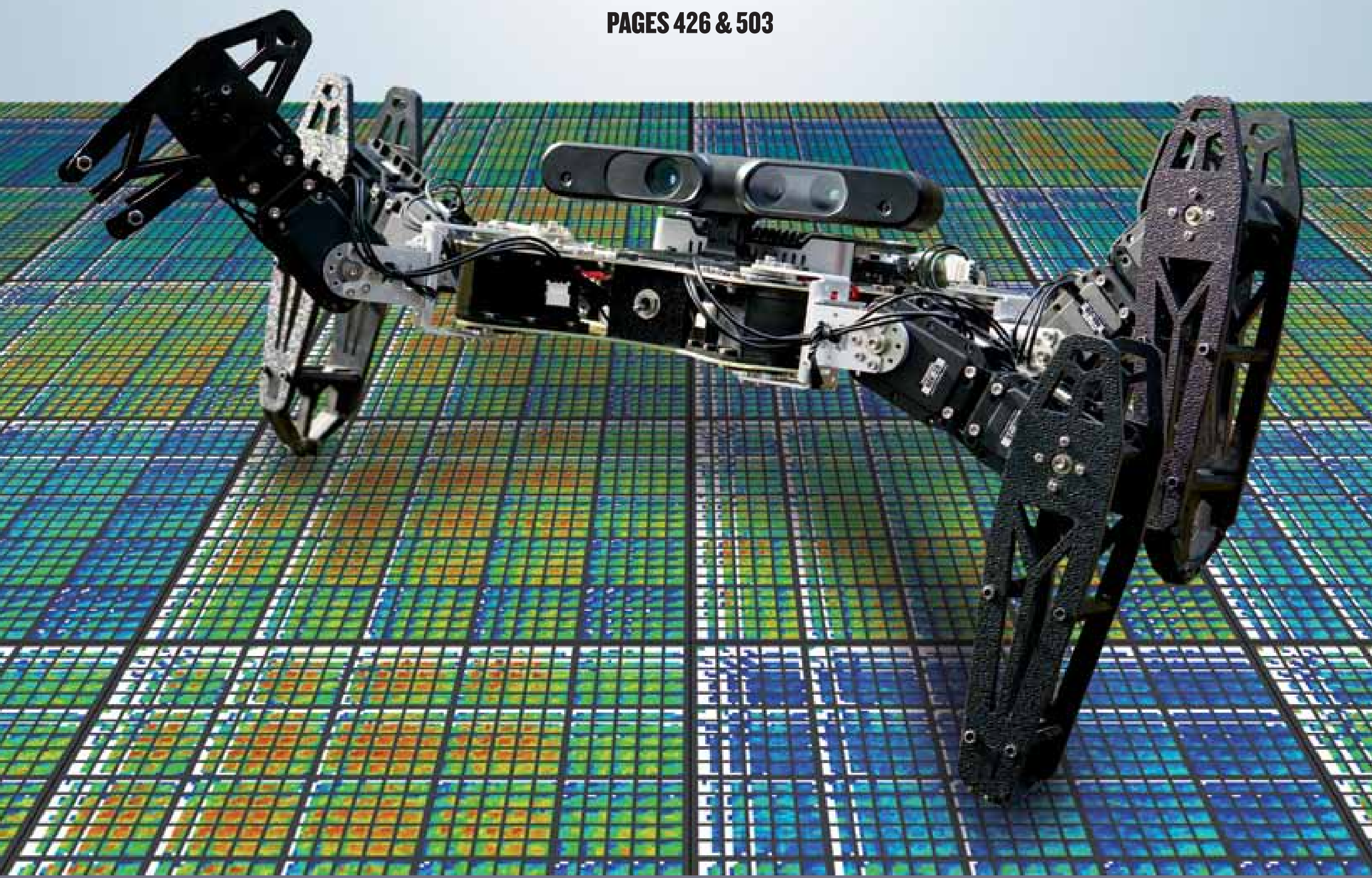
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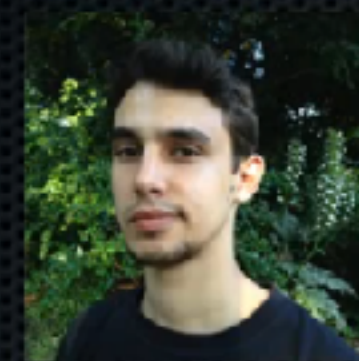
## Back on its feet

*Using an intelligent trial-and-error learning algorithm this robot adapts to injury in minutes*

PAGES 426 & 503



# Thanks!



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